### THE CONVEX HULL OF A BANACH-SAKS SET

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Dedicated to the memory of Nigel J. Kalton

ABSTRACT. A subset A of a Banach space is called Banach-Saks when every sequence in A has a Cesàro convergent subsequence. Our interest here focusses on the following problem: is the convex hull of a Banach-Saks set again Banach-Saks? By means of a combinatorial argument, we show that in general the answer is negative. However, sufficient conditions are given in order to obtain a positive result.

#### 1. Introduction

A classical theorem of S. Mazur asserts that the convex hull of a compact set in a Banach space is again relatively compact. In a similar way, Krein-Šmulian's Theorem says that the same property holds for weakly compact sets, that is, these sets have relatively weakly compact convex hull. There is a third property, lying between these two main kinds of compactness, which is defined in terms of Cesàro convergence. Namely, a subset A of a Banach space X is called Banach-Saks if every sequence in A has a Cesàro convergent subsequence (i.e. every sequence  $(x_n)_n$  in A has a subsequence  $(y_n)_n$  such that the sequence of arithmetic means  $((1/n)\sum_{i=1}^n y_i)_n$  is norm-convergent in X). In modern terminology, as it was pointed out by H. P. Rosenthal [27], this is equivalent to saying that no difference sequence in A generates an  $\ell_1$ -spreading model.

The Banach-Saks property has its origins in the work of S. Banach and S. Saks [6], after whom the property is named. In that paper it was proved that the unit ball of  $L_p$  ( $1 ) is a Banach-Saks set. Recall that a Banach space is said to have the Banach-Saks property when its unit ball is a Banach-Saks set. This property has been widely studied in the literature (see for instance [5], [8], [15]) and more recently in [4] and [12]. Observe that since a Banach space with the Banach-Saks property must be reflexive [25], it is clear that neither <math>L_1$  nor  $L_{\infty}$  have this property. However, weakly compact sets in  $L_1$  are Banach-Saks [30], and every sequence of disjoint elements in  $L_{\infty}$  is also a Banach-Saks set.

Since every compact set is Banach-Saks, and these sets are in turn weakly compact, taking into account both Mazur's and Krein-Šmulian's results, it may seem reasonable to expect that the convex hull of a Banach-Saks set is also Banach-Saks. We will show in Section 4 that this

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is not the case in general. We present a canonical example consisting of the weakly-null unit basis  $(u_n)_n$  of a Schreier-like space  $X_{\mathcal{F}}$  for a certain family of finite subsets  $\mathcal{F}$  on  $\mathbb{N}$  that we call a T-family (see Definitions 2.7 and 4.4). The role of the Schreier-like spaces and such families is not incidental. There are several equivalent conditions to the Banach-Saks property in terms of properties of certain families of finite subsets of  $\mathbb{N}$  (see Theorem 2.4), and in fact we prove in Theorem 2.8 that a possible counterexample must be of the form  $X_{\mathcal{F}}$  for a T-family  $\mathcal{F}$ . Therefore, an analysis of the families of finite subsets of integers is needed to understand the Banach-Saks property.

The example of a T-family we present is influenced on a classical construction P. Erdős and A. Hajnal [13] of a sequence of measurable subsets of the unit interval indexed by pairs of integers. These sequences of events behave in general in a completely different way than those indexed by integers, as it can be seen, for example, in the work of D. Fremlin and M. Talagrand [16]. Coming back to our space, every subsequence of the basis  $(u_n)_n$  has a further subsequence which is equivalent to the unit basis of  $c_0$ , yet there is a block sequence of averages of  $(u_n)_n$  generating an  $\ell_1$ -spreading model. There is also the reflexive counterpart, either by considering a Baernstein space associated to  $\mathcal{F}$ , or from a more general approach considering a Davis-Figiel-Johnson-Pelczynski interpolation space of  $X_{\mathcal{F}}$ .

As far as we know, the main question considered in this paper appeared explicitly in [19], where the authors also proved that every Banach-Saks set in the Schreier space has Banach-Saks convex hull. We will see in Theorem 3.5 that this fact can be further extended to Banach-Saks sets contained in generalized Schreier spaces.

The paper is organized as follows: In Section 2 we introduce some notation, basic definitions and facts concerning the Banach-Saks property, with a special interest on its combinatorial nature. In Section 3 several sufficient conditions are given for the stability of the Banach-Saks property under taking convex hulls. This includes the study of Banach-Saks sets in Schreier-like spaces  $X_{\mathcal{S}_{\alpha}}$  defined from any generalized Schreier family  $\mathcal{S}_{\alpha}$ . Finally, in Section 4 we present a canonical example of a Banach-Saks set whose convex hull is not, as well as the corresponding reflexive version.

#### 2. NOTATION, BASIC DEFINITIONS AND FACTS

We use standard terminology in Banach space theory from the monographs [1] and [21]. Let us introduce now some basic concepts in infinite Ramsey theory, that will be used throughout this paper. Unless specified otherwise, by a family  $\mathcal{F}$  on a set I we mean a collection of finite subsets of I. We denote infinite subsets by capital letters  $M, N, P, \ldots$ , and finite ones with  $s, t, u, \ldots$  Given a family  $\mathcal{F}$  on  $\mathbb{N}$ , and  $M \subseteq \mathbb{N}$ , we define the  $trace \mathcal{F}[M]$  of  $\mathcal{F}$  in M and the

restriction  $\mathcal{F} \upharpoonright M$  of  $\mathcal{F}$  in M as

$$\mathcal{F}[M] := \{ s \cap M : s \in \mathcal{F} \},$$
$$\mathcal{F} \upharpoonright M := \{ s \in \mathcal{F} : s \subseteq M \},$$

respectively. A family  $\mathcal{F}$  on I is called compact, when it is compact with respect to the topology induced by the product topology on  $2^I$ . The family  $\mathcal{F}$  is pre-compact, or relatively compact, when the topological closure of  $\mathcal{F}$  consists only of finite subsets of I. The family  $\mathcal{F}$  is hereditary when for every  $s \subseteq t \in \mathcal{F}$  one has that  $s \in \mathcal{F}$ . The  $\subseteq$ -closure of  $\mathcal{F}$  is the minimal hereditary family  $\widehat{\mathcal{F}}$  containing  $\mathcal{F}$ , i.e.  $\widehat{\mathcal{F}} := \{t \subseteq s : s \in \mathcal{F}\}$ . It is easy to see that  $\mathcal{F}$  is pre-compact if and only if  $\widehat{\mathcal{F}}$  is compact. Typical examples of pre-compact families are

$$\begin{split} &[I]^n := \{ s \subseteq I : \# s = n \}, \\ &[I]^{\leq n} := \{ s \subseteq I : \# s \leq n \}, \\ &[I]^{<\omega} := \{ s \subseteq I : \# s < \infty \}. \end{split}$$

A natural procedure to obtain pre-compact families is to consider, given a relatively weakly-compact subset  $\mathcal{K}$  of  $c_0$  and  $\varepsilon, \delta > 0$ , the sets

$$\begin{split} &\sup_{\varepsilon}(\mathcal{K}) := \{ \sup_{\varepsilon} x \, : \, x \in \mathcal{K} \}, \\ &\sup_{\varepsilon,+}(\mathcal{K}) := \{ \sup_{\varepsilon,+} x \, : \, x \in \mathcal{K} \}, \\ &\sup_{\varepsilon} {\delta \choose \varepsilon} := \{ \sup_{\varepsilon,+} x \, : \, x \in (\mathcal{K})_{\varepsilon}^{\delta} \}, \end{split}$$

where  $\operatorname{supp}_{\varepsilon} x := \{n \in \mathbb{N} : |(x)_n| \geq \varepsilon\}$ ,  $\operatorname{supp}_{\varepsilon,+} x := \{n \in \mathbb{N} : (x)_n \geq \varepsilon\}$ ,  $(\mathcal{K})_{\varepsilon}^{\delta} := \{x \in \mathcal{K} : \sum_{n \notin \operatorname{supp}_{\varepsilon} x} |(x)_n| \leq \delta\}$ , and  $(x)_n$  denotes the  $n^{\operatorname{th}}$  coordinate of x in the canonical unit basis of  $c_{00}$ .

In particular, when  $(x_n)_n$  is a weakly-convergent sequence to x in some Banach space X, and  $\mathcal{M}$  is an arbitrary subset of  $B_{X^*}$  the family  $\mathcal{K} := \{(x^*(x_n - x))_n : x^* \in \mathcal{M}\} \subseteq c_0$  is relatively weakly-compact. Given  $\varepsilon, \delta > 0$  and  $\mathcal{M} \subseteq B_{X^*}$ , we define

$$\mathcal{F}_{\varepsilon}((x_n)_n, \mathcal{M}) := \sup_{\varepsilon} (\mathcal{K}),$$
  
 $\mathcal{F}_{\varepsilon}^{\delta}((x_n)_n, \mathcal{M}) := \sup_{\varepsilon} (\mathcal{K}).$ 

When  $\mathcal{M} = B_{X^*}$  we will simply omit  $\mathcal{M}$  in the terminology above.

Given  $n \in \mathbb{N}$ , a family  $\mathcal{F}$  on I is called n-large in some  $J \subseteq I$  when for every infinite  $K \subseteq J$  there is  $s \in \mathcal{F}$  such that  $\#(s \cap K) \ge n$ . Or equivalently, when  $\mathcal{F}[K] \not\subseteq [K]^{\le n-1}$  for any  $K \subseteq J$ . The family  $\mathcal{F}$  is large on J when it is n-large on J for every  $n \in \mathbb{N}$ . Perhaps the first known example of a compact, hereditary and large family is the Schreier family

$$\mathcal{S} := \{ s \subseteq \mathbb{N} : \#s \le \min s \}.$$

Generalizing ideas used for families of sets, given  $\mathcal{K} \subseteq c_0$  and  $M \subseteq \mathbb{N}$ , we define  $\mathcal{K}[M] := \{\mathbb{1}_M \cdot x : x \in \mathcal{K}\}$  as the image of  $\mathcal{K}$  under the natural restriction to the coordinates in M. The following is a list of well-known results on compact families, commonly used by the specialist, which are necessary to understand most of the properties of Banach-Saks sets.

**Theorem 2.1.** Let K be a relatively weakly-compact subset of  $c_0$ ,  $\varepsilon$ ,  $\delta > 0$ . Then there is an infinite subset  $M \subseteq \mathbb{N}$  such that

- (a)  $\operatorname{supp}_{\varepsilon}(\mathcal{K}[M]) = \operatorname{supp}_{\varepsilon}^{\delta}(\mathcal{K}[M])$  and  $\operatorname{supp}_{\varepsilon}(\mathcal{K}[M])$  is hereditary, and
- (b.1) either there is some  $k \in \mathbb{N}$  such that supp  $_{\varepsilon}(\mathcal{K}[M]) = [M]^{\leq k}$ ,
- (b.2) or else  $_*(\mathcal{S} \upharpoonright M) := \{s \setminus \{\min s\} : s \in \mathcal{S} \upharpoonright M\} \subseteq \operatorname{supp}_{\varepsilon}(\mathcal{K}[M]), \text{ and consequently } \operatorname{supp}_{\varepsilon}(\mathcal{K}[M]) \text{ is large in } M.$

The proofs of these facts are mostly based on the Ramsey property of a particularly relevant type of pre-compact families called barriers on some set M, that were introduced by C. ST. J. A. Nash-Williams [24]. These are families  $\mathcal{B}$  on M such that every further subset  $N \subseteq M$  has an initial segment in  $\mathcal{B}$ , and such that there do not exist two different elements of  $\mathcal{B}$  which are subsets one of the other. Examples of barriers are  $[\mathbb{N}]^n$ ,  $n \in \mathbb{N}$ , and the Schreier barrier  $\mathfrak{S} := \{s \in \mathcal{S} : \#s = \min s\}$ . As it was proved by Nash-Williams, barriers have the Ramsey property, and in fact provide a characterization of it. The final ingredient is the fact that if  $\mathcal{F}$  is pre-compact, then there is a trace  $\mathcal{F}[M]$  of  $\mathcal{F}$  which is the closure of a barrier on M (we refer the reader to [2],[22]).

**Definition 2.2.** A subset A of a Banach space X is a Banach-Saks set (or has the Banach-Saks property) if every sequence  $(x_n)_n$  in A has a  $Ces\`{a}ro$ -convergent subsequence  $(y_n)_n$ , i.e. the sequence of averages  $((1/n)\sum_{k=1}^n y_k)_n$  is norm-convergent in X.

It is easy to see that compact sets are Banach-Saks, that the Banach-Saks property is hereditary (every subset of a Banach-Saks set is again Banach-Saks), it is closed under sums, and that it is preserved under the action of a bounded operator. It is natural to ask the following.

Question 1. Is the convex hull of a Banach-Saks set again a Banach-Saks set?

Using the localized notion of the Banach-Saks property, a space has the Banach-Saks property precisely when its unit ball is a Banach-Saks set. A classical work by T. Nishiura and D. Waterman [25] states that a Banach space with the Banach-Saks property is reflexive. Here is the local version of this fact.

Proposition 2.3. Every Banach-Saks set is relatively weakly-compact.

PROOF. Let A be a Banach-Saks subset of a Banach space X, and fix a sequence  $(x_n)_n$  in A. By Rosenthal's  $\ell_1$  Theorem, there is a subsequence  $(y_n)_n$  of  $(x_n)_n$  which is either equivalent to the

unit basis of  $\ell_1$  or weakly-Cauchy. The first alternative cannot occur, since the unit basis of  $\ell_1$  is not a Banach-Saks set. Let now  $x^{**} \in X^{**}$  be the weak\*-limit of  $(y_n)_n$ . Since A is a Banach-Saks subset of X, there is a further subsequence  $(z_n)_n$  of  $(y_n)_n$  which is Cesàro-convergent to some  $x \in X$ . It follows that  $x^{**} = x$ , and consequently  $(z_n)_n$  converges weakly to  $x \in X$ .

As the previous proof suggests, the unit basis of  $\ell_1$  plays a very special role for the Banach-Saks property. This is fully explained by the following characterization, due to H. P. Rosenthal [27] and S. Mercourakis [23] in terms of the asymptotic notions of *Spreading models* and *uniform weakly-convergence*.

**Definition 1.** Let X be a Banach space and let  $(x_n)_n$  be a sequence in X converging weakly to  $x \in X$ . Recall that  $(x_n)_n$  generates an  $\ell_1$ -spreading model when there is  $\delta > 0$  such that

$$\left\| \sum_{n \in s} a_n(x_n - x) \right\| \ge \delta \sum_{n \in s} |a_n| \tag{2.1}$$

for every  $s \subseteq \mathbb{N}$  with  $\#s \leq \min s$  and every sequence  $(a_n)_{n \in s}$  of scalars.

The sequence  $(x_n)_n$  uniformly weakly-converges to x when for every  $\varepsilon > 0$  there is an integer  $n(\varepsilon) > 0$  such that for every functional  $x^* \in B_{X^*}$ 

$$\#(\{n \in \mathbb{N} : |x^*(x_n - x)| \ge \varepsilon\}) \le n(\varepsilon). \tag{2.2}$$

The notion of  $\ell_1$  spreading model is orthogonal to the Banach-Saks property: Suppose that  $(x_n)_n$  weakly-converges to x and generates an  $\ell_1$ -spreading model. Let  $\delta > 0$  be witnessing that. Set  $y_n = x_n - x$  for each n. Since  $||y_n|| \ge \delta$  for all n, it follows by Mazur's Lemma that there is a subsequence  $(z_n)_n$  of  $(y_n)_n$  which is a 2-basic sequence. We claim that no further subsequence of  $(z_n)_n$  is Cesàro-convergent: Fix an arbitrary subset  $s \subseteq \mathbb{N}$  with even cardinality. Then the upper half part t of s satisfies that  $\#t \le \min t$ . So, using also that  $(z_n)_n$  is 2-basic,

$$\left\| \frac{1}{\#s} \sum_{n \in s} z_n \right\| \ge \frac{1}{2} \left\| \frac{1}{\#s} \sum_{n \in t} z_n \right\| \ge \frac{\delta}{2} \frac{\#t}{\#s} = \frac{\delta}{4}. \tag{2.3}$$

This immediately gives that no subsequence of  $(z_n)_n$  is Cesàro-convergent to 0.

On the other hand if  $(x_n)_n$  is uniformly weakly-convergent to some x, then every subsequence of  $(x_n)_n$  is Cesàro-convergent (indeed these conditions are equivalent [23]): Suppose that  $(y_n)_n$  is a subsequence of  $(x_n)_n$ . Now for each  $\varepsilon > 0$  let  $n(\varepsilon)$  be witnessing that (2.2) holds. Set  $z_n = y_n - x$  for each n. Now suppose that s is an arbitrary finite subset of  $\mathbb{N}$  with cardinality  $\geq n(\varepsilon)$ . Then, given  $x^* \in B_{X^*}$ , and setting  $t := \{n \in s : |x^*(z_n)| \geq \varepsilon\}$ , we have that

$$\left| x^* (\frac{1}{\#s} \sum_{n \in s} z_n) \right| \le \frac{1}{\#s} \sum_{n \in t} |x^*(z_n)| + \frac{1}{\#s} \sum_{n \in s \setminus t} |x^*(z_n)| \le \frac{n(\varepsilon)}{\#s} C + \varepsilon.$$
 (2.4)

Hence,

$$\left\| \frac{1}{\#s} \sum_{n \in s} z_n \right\| \le \frac{n(\varepsilon)}{\#s} C + \varepsilon. \tag{2.5}$$

This readily implies that  $(z_n)_n$  is Cesàro-convergent to 0, or, in other words,  $(y_n)_n$  is Cesàro-convergent to x. Next result summarizes the relationship between these three notions.

**Theorem 2.4.** Let A be an arbitrary subset of a Banach space X. The following are equivalent:

- (a) A is a Banach-Saks subset of X.
- (b) A is relatively weakly-compact and for every weakly-convergent sequence in A it never generates an  $\ell_1$ -spreading model.
- (c) A is relatively weakly-compact and for every weakly-convergent sequence  $(x_n)_n$  in A and every  $\varepsilon > 0$  the family  $\mathcal{F}_{\varepsilon}((x_n)_n)$  is not large in  $\mathbb{N}$ .
- (d) A is relatively weakly-compact and for every weakly convergent sequence  $(x_n)_n$  in A there is some norming set  $\mathcal{N}$  such that for every  $\varepsilon > 0$  the family  $\mathcal{F}_{\varepsilon}((x_n)_n, \mathcal{N})$  is not large.
- (e) For every sequence  $(a_n)_n$  in A there is a subsequence  $(b_n)_n$  and some norming set  $\mathcal{N}$  such that for every  $\varepsilon > 0$  there is  $m \in \mathbb{N}$  such that  $\mathcal{F}_{\varepsilon}((b_n)_n, \mathcal{N}) \subseteq [\mathbb{N}]^{\leq m}$ .
- (f) Every sequence in A has a uniformly weakly-convergent subsequence.

Recall that a  $\lambda$ -norming set,  $0 < \lambda \le 1$  is a subset  $\mathcal{N} \subseteq B_{X^*}$  such that

$$\lambda ||x|| \le \sup_{f \in \mathcal{N}} |f(x)|$$
 for every  $x \in X$ .

The subset  $\mathcal{N} \subseteq B_{X^*}$  is norming when it is  $\lambda$ -norming for some  $0 < \lambda \leq 1$ . Note we could rephrase (e) as saying that the sequence  $(b_n)_n$  is uniformly weakly-convergent with respect to  $\mathcal{N}$ .

The equivalences between (a) and (b), and between (a) and (f) are due to Rosenthal [27] and Mercourakis [23], respectively. For the sake of completeness, we give now hints of the proof of Theorem 2.4 using, mainly, Theorem 2.1:

(a) implies (b) because we have already seen that if a sequence  $(x_n)_n$  converges weakly to x, generates an  $\ell_1$ -spreading model and is such that  $(x_n - x)_n$  is basic, then it does not have Cesàro-convergent subsequences. We prove that (b) implies (c) by using Theorem 2.1. Let  $(x_n)_n$  be a weakly convergent sequence in A with limit x, and let us see that  $\mathcal{F}_{\varepsilon}((x_n))$  is not large for any  $\varepsilon > 0$ . Otherwise, by Theorem 2.1, there is some M such that

$$_*(\mathcal{S} \upharpoonright M) \subseteq \mathcal{F}_{\varepsilon}^{\delta}((x_n)_n)[M] = \mathcal{F}_{\varepsilon}((x_n)_n)[M].$$

Set  $y_n := x_n - x$  for each  $n \in M$ . It follows that  $(y_n)_{n \in M}$  is a non-trivial weakly-null sequence, hence by Mazur's Lemma, there is  $N \subseteq M$  such that  $(y_n)_{n \in N}$  is a 2-basic sequence. We claim that then  $(y_n)_{n \in N}$  generates an  $\ell_1$ -spreading model, which is impossible: Let  $s \in \mathcal{S} \upharpoonright N$ , and let  $(\lambda_k)_{k \in s}$  be a sequence of scalars. Let  $t \subseteq s$  be such that  $\lambda_k \cdot \lambda_l \geq 0$  for all  $k, l \in t$ ,  $|\sum_{k \in t} \lambda_k| \geq 1/4 \sum_{k \in s} |\lambda_k|$  and  $t \in {}_*(S \upharpoonright N)$ . Then let  $x^* \in B_{X^*}$  be such that

$$x^*(y_n) \ge \varepsilon$$
 for  $n \in t$ , and  $\sum_{n \in M \setminus t} |x^*(y_n)| \le \frac{\varepsilon}{4}$ .

It follows that

$$\left\| \sum_{k \in s} \lambda_k y_k \right\| \ge \left| x^* \left( \sum_{k \in s} \lambda_k y_k \right) \right| \ge \left| \sum_{k \in t} \lambda_k x^* (y_k) \right| - \frac{\varepsilon}{4} \max_{k \in s} |\lambda_k| \ge \varepsilon \left| \sum_{k \in t} \lambda_k \right| - \frac{\varepsilon}{4} \max_{k \in s} |\lambda_k|$$
$$\ge \frac{\varepsilon}{4} \sum_{k \in s} |\lambda_k| - \varepsilon \left\| \sum_{k \in s} \lambda_k y_k \right\|,$$

and consequently,

$$\left\| \sum_{k \in s} \lambda_k y_k \right\| \ge \frac{\varepsilon}{4(1+\varepsilon)} \sum_{k \in s} |\lambda_k|. \tag{2.6}$$

Now, we have that (c) implies (d) and (d) implies (e) trivially. For the implication (e) implies (f) we use the following classical result by J. Gillis [18].

**Lemma 2.5.** For any  $\varepsilon, \delta > 0$  and  $m \in \mathbb{N}$  there is  $n := \mathbf{n}(\varepsilon, \delta, m)$  such that whenever  $(\Omega, \Sigma, \mu)$  is a probability space and  $(A_i)_{i=1}^n$  is a sequence of  $\mu$ -measurable sets with  $\mu(A_i) \geq \varepsilon$  for every  $1 \leq i \leq n$ , there is  $s \subseteq \{1, \ldots, n\}$  of cardinality m such that

$$\mu(\bigcap_{i \in c} A_i) \ge (1 - \delta)\varepsilon^m.$$

Incidentally, the counterexample by P. Erdős and A. Hajnal of the natural generalization of Gillis' result concerning double-indexed sequences will be crucial for our solution to Question 1 (see Section 4).

We pass now to see that (e) implies (f): Fix a sequence  $(x_n)_n$  in A converging weakly to x and  $\varepsilon > 0$ . By (e), we can find a subsequence  $(y_n)_n$  of  $(x_n)_n$  and a  $\lambda$ -norming set  $\mathcal{N}$ ,  $0 < \lambda \le 1$ , such that  $(y_n)_n$  uniformly-weakly-converges with respect to  $\mathcal{N}$ . Going towards a contradiction, suppose  $(y_n)_n$  does not uniformly weakly-converge to x. Fix then  $\varepsilon > 0$  such that there are arbitrary large sets in  $\mathcal{F}_{\varepsilon}((y_n)_n)$ . In this case we see that then  $\mathcal{F}_{\lambda\varepsilon(1-\delta)}((y_n)_n,\mathcal{N})$  has also arbitrary large sets, contradicting our hypothesis. Set  $z_n := y_n - x$  for every  $n \in \mathbb{N}$ . Now given  $m \in \mathbb{N}$ , let  $x^* \in B_{X^*}$  be such that

$$s := \{ n \in M : |x^*(z_n)| \ge \varepsilon \} \text{ has cardinality } \ge \mathbf{n}(\frac{\varepsilon \delta \lambda}{2K}, \frac{1}{2}, m),$$

where  $K := \sup_n ||z_n||$ . By a standard separation result, there are  $f_1, \ldots, f_l \in \mathcal{N}$  and  $\nu_1, \ldots, \nu_l$  such that  $\sum_{i=1}^l |\nu_i| \leq \lambda^{-1}$  and

$$\left| \sum_{i=1}^{l} \nu_i f_i(z_n) \right| \ge \varepsilon (1 - \frac{\delta}{2}) \text{ for every } n \in s.$$
 (2.7)

Now on  $\{1, 2, ..., l\}$  define the probability measure induced by the convex combination

$$\left(\frac{1}{\sum_{j=1}^{l} |\nu_j|} |\nu_i|\right)_{i=1}^l.$$

For each  $n \in s$ , let

$$A_n := \{ j \in \{1, \dots, l\} : |f_i(z_n)| \ge \varepsilon (1 - \delta) \}.$$

Then, for every  $n \in s$  one has that

$$\varepsilon(1-\frac{\delta}{2}) \le \Big|\sum_{j=1}^{l} \nu_j f_j(z_n)\Big| \le \sum_{j \in A_n} |\nu_j| K + \varepsilon(1-\delta).$$

Hence,

$$\mu(A_n) \ge \frac{\delta \varepsilon \lambda}{2K}.$$

By Gillis' Lemma, it follows in particular that there is some  $t \subseteq \{1, ..., l\}$  of cardinality m such that  $\bigcap_{n \in t} A_n \neq \emptyset$ , so let j be in that intersection. It follows then that  $|f_j(z_n)| \geq \lambda \varepsilon (1 - \delta)$  for every  $n \in t$ , hence  $t \in \mathcal{F}_{\lambda \varepsilon (1 - \delta)}((y_n)_n, \mathcal{N})$ .

(f) implies (a) because uniformly weakly-convergent sequences are Cesàro-convergent. This finishes the proof.

Hence, Question 1 for weakly-null sequences can be reformulated as follows:

Question 2. Suppose that  $(x_n)_n$  is a weakly-null sequence such that some sequence in  $\operatorname{co}(\{x_n\}_n)$  generates an  $\ell_1$ -spreading model. Does there exist a subsequence of  $(x_n)_n$  generating an  $\ell_1$ -spreading model?

As a consequence of Theorem 2.4 we obtain the following well-known 0-1-law by P. Erdös and M. Magidor [14].

Corollary 2.6. Every bounded sequence in a Banach space has a subsequence such that either all its further subsequences are Cesàro-convergent, or none of them.

To see this, let  $(x_n)_n$  be a sequence in a Banach space. If  $A := \{x_n\}_n$  is Banach-Saks, then, by (e) above, there is a uniformly weakly-convergent subsequence  $(y_n)_n$  of  $(x_n)_n$ , and as we have mentioned above, every further subsequence of  $(y_n)_n$  is Cesàro-convergent. Now, if A is not Banach-Saks, then by (b) there is a weakly-convergent sequence  $(y_n)_n$  in A with limit y generating an  $\ell_1$ -spreading model. We have already seen that if  $(z_n)_n$  is a basic subsequence of  $(y_n - y)_n$ , then no further subsequence of it is Cesàro-convergent.

We introduce now the Schreier-like spaces, which play an important role for the Banach-Saks property.

**Definition 2.7.** Given a family  $\mathcal{F}$  on  $\mathbb{N}$ , we define the Schreier-like norm  $\|\cdot\|_{\mathcal{F}}$  on  $c_{00}(\mathbb{N})$  as follows. For each  $x \in c_{00}$  let

$$||x||_{\mathcal{F}} = \max\{||x||_{\infty}, \sup_{s \in \mathcal{F}} \sum_{n \in s} |(x)_n|\},$$
 (2.8)

where  $(x)_n$  denotes the  $n^{\text{th}}$ -coordinate of x in the usual Hamel basis of  $c_{00}(\mathbb{N})$ . We define the Schreier-like space  $X_{\mathcal{F}}$  as the completion of  $c_{00}$  under the  $\mathcal{F}$ -norm.

Note that  $X_{\mathcal{F}} = X_{\widehat{\mathcal{F}}}$  for every family  $\mathcal{F}$ , so the hereditary property of  $\mathcal{F}$  plays no role for the corresponding space. It is clear that the unit vector basis  $(u_n)_n$  is a 1-unconditional Schauder basis of  $X_{\mathcal{F}}$ , and it is weakly-null if and only if  $\mathcal{F}$  is pre-compact. In fact, otherwise there will be a subsequence of  $(u_n)_n$  1-equivalent to the unit basis of  $\ell_1$ . So, Schreier-like spaces will be assumed to be constructed from pre-compact families. It follows then that for pre-compact families  $\mathcal{F}$ , the space  $X_{\mathcal{F}}$  is  $c_0$ -saturated. This can be seen, for example, by using Pták's Lemma, or by the fact that  $X_{\mathcal{F}} = X_{\widehat{\mathcal{F}}} \hookrightarrow C(\widehat{\mathcal{F}})$  isometrically, and the fact that the function spaces C(K) for K countable are  $c_0$ -saturated, by a classical result of K. Pelczynski and K. Semadeni [26].

Observe that the unit basis of the Schreier space  $X_{\mathcal{S}}$  generates an  $\ell_1$ -spreading model, so no subsequence of it can be Cesàro-convergent. In fact, the same holds for the Schreier-like space  $X_{\mathcal{F}}$  of an arbitrary large family  $\mathcal{F}$ . However, it was proved by M. González and J. Gutiérrez in [19] that the convex hull of a Banach-Saks subset of the Schreier space  $X_{\mathcal{S}}$  is again Banach-Saks. In fact, we will see in Subsection 3.1 that the same holds for the spaces  $X_{\mathcal{F}}$  where  $\mathcal{F}$  is a generalized Schreier family. Still, a possible counterexample for Question 1 has to be a Schreier like space, as we see from the following characterization.

#### **Theorem 2.8.** The following are equivalent:

- (a) There is a normalized weakly-null sequence having the Banach-Saks property and whose convex hull is not a Banach-Saks set.
- (b) There is a Shreier-like space  $X_{\mathcal{F}}$  such that its unit basis  $(u_n)_n$  is Banach-Saks and its convex hull is not.
- (c) There is a compact and hereditary family  ${\mathcal F}$  on  ${\mathbb N}$  such that:
  - (c.1)  $\mathcal{F}$  is not large in any  $M \subseteq \mathbb{N}$ .
  - (c.2) There is a partition  $\bigcup_n I_n = \mathbb{N}$  in finite sets  $I_n$  a probability measure  $\mu_n$  on  $I_n$  and  $\delta > 0$  such that the set

$$\mathcal{G}^{\bar{\mu}}_{\delta}(\mathcal{F}) := \{ t \subseteq \mathbb{N} : \text{ there is } s \in \mathcal{F} \text{ such that } \min_{n \in t} \mu_n(s \cap I_n) \ge \delta \}$$
 is large. (2.9)

For the proof we need the following useful result.

**Lemma 2.9.** Let  $(x_n)_n$  and  $(y_n)_n$  be two bounded sequences in a Banach space X.

- (a) If  $\sum_{n} ||x_n y_n|| < \infty$ , then  $\{x_n\}_n$  is Banach-Saks if and only if  $\{y_n\}_n$  is Banach-Saks.
- (b)  $co(\{x_n\}_n)$  is a Banach-Saks set if and only if every block sequence in  $co(\{x_n\}_n)$  has the Banach-Saks property.

PROOF. The proof of (a) is straightforward. Let us concentrate in (b): Suppose that  $co(\{x_n\}_n)$  is not Banach-Saks, and let  $(y_n)_n$  be a sequence in  $co(\{x_n\}_n)$  without Cesàro-convergent subsequences. Write  $y_n := \sum_{k \in F_n} \lambda_k^{(n)} x_k$ ,  $(\lambda_k^{(n)})_{k \in F_n}$  a convex combination, for each n. By a Cantor

diagonalization process we find M such that  $((\lambda_k^{(n)})_{k\in\mathbb{N}})_{n\in M}$  converges pointwise to a (possibly infinite) convex sequence  $(\lambda_k)_k \in B_{\ell_1}$ . Set  $\mu_k^{(n)} := \lambda_k^{(n)} - \lambda_k$  for each  $n \in M$ . Then there is an infinite subset  $N \subseteq M$  and a block sequence  $((\eta_k^{(n)})_{k\in s_n})_{n\in N}$ ,  $\sum_{k\in s_n} |\eta_k^{(n)}| \leq 2$ , such that

$$\sum_{n \in N} \sum_{k \in \mathbb{N}} |\mu_k^{(n)} - \eta_k^{(n)}| < \infty.$$
 (2.10)

Setting  $z_n := \sum_{k \in s_n} \eta_k^{(n)} x_k$  for each n, it follows from (2.10) that

$$\sum_{n \in N} \|y_n - z_n\| < \infty. \tag{2.11}$$

By (a), no subsequence of  $(z_n)_{n\in N}$  is Cesàro-convergent. Now set  $t_n:=\{k\in s_n:\eta_k^{(n)}\geq 0\}$ ,  $u_n=s_n\setminus t_n,\ z_n^{(0)}:=\sum_{k\in t_n}\eta_k^{(n)}$  and  $z_n^{(1)}:=z_n-z_n^{(0)}$ . Then, either  $\{z_n^{(0)}\}_{n\in N}$  or  $\{z_n^{(1)}\}_{n\in N}$  is not Banach-Saks. So, without loss of generality, let us assume that  $\{z_n^{(0)}\}_{n\in N}$  is not Banach-Saks. Then, using again (a), and by going to a subsequence if needed, we may assume that  $\sum_{k\in t_n}\eta_k^{(n)}=\eta$  for every  $n\in N$ . It follows that the block sequence  $((1/\eta)\sum_{k\in t_n}\eta_k^{(n)}x_n)_{n\in N}$  in  $\operatorname{co}(\{x_n\}_n)$  does not have that Banach-Saks property.

PROOF OF THEOREM 2.8. It is clear that (b) implies (a). Let us prove that (c) implies (b). We fix a family  $\mathcal{F}$  as in (c). We claim that  $X_{\mathcal{F}}$  is the desired Schreier space: Let  $(u_n)_n$  be the unit basis of  $X_{\mathcal{F}}$ , and let

$$\mathcal{N} := \{ \pm u_n^* \}_n \cup \{ \sum_{n \in s} \pm u_n^* : s \in \mathcal{F} \},$$

where  $(u_n^*)$  is the biorthogonal sequence to  $(u_n)_n$ . Then

$$\mathcal{F}_{\varepsilon}((u_n), \mathcal{N}) = \mathcal{F} \cup [\mathbb{N}]^1$$

for every  $\varepsilon > 0$ , so it follows from our hypothesis (c.1) and Theorem 2.4 (d) that  $\{u_n\}_n$  is Banach-Saks. Define now for each  $n \in \mathbb{N}$ ,  $x_n := \sum_{k \in I_n} (\mu_n)_k u_k$ . Then

$$\mathcal{F}_{\delta}((x_n)_n, \mathcal{N}) = \mathcal{G}_{\delta}(\mathcal{F})$$

so  $\mathcal{F}_{\delta}((x_n)_n)$  is large, hence  $\{x_n\}_n \subseteq \operatorname{co}(\{u_n\}_n)$  is not Banach-Saks.

Finally, suppose that (a) holds and we work to see that (c) also holds. Let  $(x_n)_n$  be a weakly-null sequence in some space X with the Banach-Saks property but such that  $co(\{x_n\}_n)$  is not Banach-Saks. By the previous Lemma 2.9 (b), we may assume that there is a block sequence  $(y_n)_n$  with respect to  $(x_n)_n$  in  $co(\{x_n\}_n)$  without the Banach-Saks property. By Theorem 2.4 there is some subsequence  $(z_n)_n$  of  $(y_n)_n$  and  $\varepsilon > 0$  such that

$$\mathcal{F}_{\varepsilon}((z_n)_n)$$
 is large. (2.12)

By re-enumeration if needed, we may assume that  $\bigcup_n \operatorname{supp} z_n = \mathbb{N}$ , where the support is taken with respect to  $(x_n)$ . Let

$$\mathcal{F} := \mathcal{F}_{\frac{\varepsilon}{2}}((x_n)_n).$$

On the other hand, since  $(x_n)_n$  is weakly-null, it follows that  $\mathcal{F}$  is pre-compact, and, since it is hereditary by definition, it is compact. Again by invoking Theorem 2.4 we know that  $\mathcal{F}$  is not large in any  $M \subseteq \mathbb{N}$ . Now let  $I_n := \text{supp } z_n$  and let  $\mu_n$  be the convex combination with support  $I_n$  such that  $z_n = \sum_{k \in I_n} (\mu_n)_k x_k$  for each  $n \in \mathbb{N}$ . Then  $(I_n)_n$  is a partition of  $\mathbb{N}$  and  $\mu_n$  is a probability measure on  $I_n$ . We see now that (2.9) holds for  $\delta := \varepsilon/2$ : Fix an infinite subset  $M \subseteq \mathbb{N}$ , and fix  $m \in \mathbb{N}$ . By (2.12), we can find  $x^* \in B_{X^*}$  such that

$$s := \{ n \in M : |x^*(z_n)| \ge \varepsilon \} \text{ has cardinality } \ge m.$$
 (2.13)

We claim that  $s \in \mathcal{G}^{\bar{\mu}}_{\varepsilon/2}(\mathcal{F})$ : Fix  $n \in s$ , and let  $s_n := \{k \in I_n : |x^*(x_k)| \ge \varepsilon/2\}$  and  $t_n := I_n \setminus s_n$ . Then

$$\varepsilon \le x^*(z_n) \le \sum_{k \in s_n} (\mu_n)_k + \sum_{k \in t_n} (\mu_n)_k \frac{\varepsilon}{2} \le \sum_{k \in s_n} (\mu_n)_k + \frac{\varepsilon}{2}$$

hence  $\mu_n(s_n) \geq \varepsilon/2$ , and so  $s \in \mathcal{G}^{\bar{\mu}}_{\varepsilon/2}(\mathcal{F})$ .

### 3. Stability under convex hull: positive results

Recall that a Banach space X is said to have the weak Banach-Saks property if every weakly convergent sequence in X has a Cesàro convergent subsequence. Equivalently, every weakly compact set in X has the Banach-Saks property. Examples of Banach spaces with the weak Banach-Saks property but without the Banach-Saks property are  $L^1$  and  $c_0$  (see [30]).

The following simple observation provides our first positive result concerning the stability of Banach-Saks sets under convex hulls.

**Proposition 3.1.** Let X be Banach space with the weak Banach-Saks property. Then the convex hull of a Banach-Saks subset of X is also Banach-Saks.

PROOF. If  $A \subseteq X$  has the Banach-Saks property, then A is relatively weakly compact. Therefore, by Krein-Šmulian's Theorem, co(A) is also relatively weakly compact. Since X has the weak Banach-Saks property, it follows that co(A) has the Banach-Saks property.

However, the weak Banach-Saks property is far from being a necessary condition. For instance, the Schreier space  $X_{\mathcal{S}}$  does not have the weak Banach-Saks property [30], but the convex hull of any Banach-Saks set is again a Banach-Saks set (see [19, Corollary 2.1]). In Section 3.1, we will see that this result can be extended to generalized Schreier spaces.

Another partial result is the following.

**Proposition 3.2.** Let  $(x_n)_n$  be a sequence in a Banach space X such that every subsequence is Cesàro convergent. Then  $co(\{x_n\})$  is a Banach-Saks set.

PROOF. As we mentioned in Section 2, the hypothesis is equivalent to saying that  $(x_n)_n$  is uniformly weakly-convergent to some  $x \in X$  [23, Theorem 1.8]. Now, by Lemma 2.9 (b), it

suffices to prove that every block sequence  $(y_n)_n$  with respect to  $(x_n)_n$  in  $\operatorname{co}(\{x_n\}_n)$  is Banach-Saks. Indeed we are going to see that such sequence  $(y_n)_n$  is uniformly weakly-convergent to x. Fix  $\varepsilon > 0$ , and let m be such that

$$\mathcal{F}_{\varepsilon}((x_n)_n) \subseteq [\mathbb{N}]^{\leq m}. \tag{3.1}$$

We claim that  $\mathcal{F}((y_n)_n, \varepsilon) \subseteq [\mathbb{N}]^{\leq m}$  as well: So, let  $x^* \in B_{X^*}$  and define  $s := \{n \in \mathbb{N} : |x^*(y_n - x)| \geq \varepsilon\}$ . Using that  $\{y_n\}_n \subseteq \operatorname{co}(\{x_n\}_n)$  we can find for each  $n \in s$ ,an integer  $l(n) \in \mathbb{N}$  such that  $|x^*(x_{l(n)} - x)| \geq \varepsilon$ . Since  $(y_n)_n$  is a block sequence with respect to  $(x_n)_n$ , it follows that  $(l(n))_{n \in s}$  is a 1-1 sequence. Finally, since  $\{l(n)\}_{n \in s} \in \mathcal{F}_{\varepsilon}((x_n)_n)$ , it follows from (3.1) that  $\#s \leq m$ .

It is worth to point out that the hypothesis and conclusion in the previous proposition are not equivalent: The unit basis of the space  $(\bigoplus_n \ell_1^n)_{c_0}$  is not uniformly weakly-convergent (to 0) but its convex hull is a Banach-Saks set.

Recall that for a  $\sigma$ -field  $\Sigma$  over a set  $\Omega$  and a Banach space X, a function  $\mu : \Sigma \to X$  is called a (countably additive) vector measure if it satisfies

- 1.  $\mu(E_1 \cup E_2) = \mu(E_1) + \mu(E_2)$ , whenever  $E_1, E_2 \in \Sigma$  are disjoint, and
- 2. for every pairwise disjoint sequence  $(E_n)_n$  in  $\Sigma$  we have that  $\mu(\bigcup_{n=1}^{\infty} E_n) = \sum_{n=1}^{\infty} \mu(E_n)$  in the norm of X.

**Proposition 3.3.** If a Banach-Saks set A is contained in the range of some vector measure, then co(A) is also Banach-Saks.

PROOF. J. Diestel and C. Seifert proved in [11] that every set contained in the range of a vector measure is Banach-Saks. Although the range of a vector measure  $\mu(\Sigma)$  need no be a convex set, by a classical result of I. Kluvanek and G. Knowles [20, Theorems IV.3.1 and V.5.1], there is always a (possibly different) vector measure  $\mu'$  whose range contains the convex hull of  $\mu(\Sigma)$ . Thus if a set A is contained in the range of a vector measure, then co(A) is also a Banach-Saks set.

However, there are Banach-Saks sets which are not the range of a vector measure: consider for instance the unit ball of  $\ell_p$  for 1 [11].

3.1. A result for generalized Schreier spaces. We present here a positive answer to Question 1 for a large class of Schreier-like spaces, the spaces  $X_{\alpha} := X_{\mathcal{S}_{\alpha}}$  constructed from the generalized Schreier families  $\mathcal{S}_{\alpha}$  for a countable ordinal number  $\alpha$ .

Recall that given two families  $\mathcal{F}$  and  $\mathcal{G}$  on  $\mathbb{N}$ , we define

$$\mathcal{F} \oplus \mathcal{G} := \{ s \cup t : s \in \mathcal{G}, t \in \mathcal{F} \text{ and } s < t \}$$

 $\mathcal{F} \otimes \mathcal{G} := \{s_0 \cup \cdots \cup s_n : (s_i) \text{ is a block sequence in } \mathcal{F} \text{ and } \{\min s_i\}_{i \leq n} \in \mathcal{G}\},$ 

where s < t means that  $\max s < \min t$ .

**Definition 3.4.** For each countable limit ordinal number  $\alpha$  we fix a strictly increasing sequence  $(\beta_n^{(\alpha)})_n$  such that  $\sup_n \beta_n^{(\alpha)} = \alpha$ . We define now

- (a)  $S_0 := [\mathbb{N}]^{\leq 1}$ .
- (b)  $S_{\alpha+1} = S_{\alpha} \otimes S$ .
- (c)  $S_{\alpha} := \bigcup_{n \in \mathbb{N}} S_{\beta_n^{(\alpha)}} \upharpoonright [n+1, \infty[.$

Then each  $S_{\alpha}$  is a compact, hereditary and spreading family with Cantor-Bendixson rank equal to  $\omega^{\alpha}$ . These families have been widely used in Banach space theory. As an example of their important role we just mention that given a pre-compact family  $\mathcal{F}$  there exist an infinite set M, a countable ordinal number  $\alpha$  and  $n \in \mathbb{N}$  such that  $S_{\alpha} \otimes [M]^{\leq n} \subseteq \mathcal{F}[M] \subseteq S_{\alpha} \otimes [M]^{\leq n+1}$ . It readily follows that every subsequence of the unit basis of  $X_{\mathcal{F}}$  has a subsequence equivalent to a subsequence of the unit basis of  $X_{\alpha}$ . The main result of this part is the following.

**Theorem 3.5.** Let  $\alpha$  be a countable ordinal number.  $A \subseteq X_{\alpha}$  has the Banach-Saks property if and only if co(A) has the Banach-Saks property.

The particular case  $\alpha = 0$  is a consequence of the weak-Banach-Saks property of  $c_0$  and Proposition 3.1. For  $\alpha \geq 1$  the spaces  $X_{\alpha}$  are not weak-Banach-Saks. Still, González and Gutiérrez proved the case  $\alpha = 1$  in [19]. Implicitly, the case  $\alpha < \omega$  was proved by I. Gasparis and D. Leung [17] since it follows from their result stating that every seminormalized weakly-null sequence in  $X_{\alpha}$ ,  $\alpha < \omega$ , has a subsequence equivalent to a subsequence of the unit basis of  $X_{\beta}$ ,  $\beta \leq \alpha$ . We conjecture that the same should be true for an arbitrary countable ordinal number  $\alpha$ .

The next can be proved by transfinite induction.

## **Proposition 3.6.** Let $\beta < \omega_1$ .

- (1) For every  $\alpha < \beta$  there is some  $n \in \mathbb{N}$  such that  $(S_{\alpha} \otimes S) \upharpoonright (\mathbb{N}/n) \subseteq S_{\beta}$ .
- (2) For every  $n \in \mathbb{N}$  there are  $\alpha_0, \ldots, \alpha_n < \beta$  such that

$$(\mathcal{S}_{\alpha})_{\leq n} := \{ s \in \mathcal{S}_{\beta} : \min s \leq n \} \subseteq \mathcal{S}_{\alpha_0} \oplus \cdots \oplus \mathcal{S}_{\alpha_n}.$$

Fix a countable ordinal number  $\alpha$ . We introduce now a property in  $X_{\alpha}$  that will be used to characterize the Banach-Saks property for subsets of  $X_{\alpha}$ .

**Definition 3.7.** We say that a weakly null sequence  $(x_n)_n$  in  $X_\alpha$  is  $< \alpha$ -null when

for every  $\beta < \alpha$  and every  $\varepsilon > 0$  the set  $\{n \in \mathbb{N} : ||x_n||_{\beta} \ge \varepsilon\}$  is finite.

**Proposition 3.8.** Suppose that  $(x_n)_n$  is a bounded sequence in  $X_\alpha$  such that there are  $\varepsilon > 0$ ,  $\beta < \alpha$  and a block sequence  $(s_n)_n$  in  $S_\beta$  such that  $\sum_{k \in s_n} |(x_n)_k| \geq \varepsilon$ . Then  $\{x_n\}_n$  is not Banach-Saks.

PROOF. Let  $K = \sup_n ||x_n||$ . Let  $\bar{n} \in \mathbb{N}$  be such that  $(S_{\beta} \otimes S) \upharpoonright [\bar{n}, \infty[\subseteq S_{\alpha}]$ . Fix a subsequence  $(x_n)_{n \in M}$ .

Claim 1. For every  $\delta > 0$  there is a subsequence  $(x_n)_{n \in \mathbb{N}}$  such that for every  $n \in \mathbb{N}$  one has that

$$\sum_{m \in N, m < n} \max \left\{ \sum_{k \in s_n} |(x_m)_k|, \sum_{k \in s_m} |(x_n)_k| \right\} \le \delta.$$
(3.2)

The proof of this claim is the following. Using that  $(u_n)_n$  is a Schauder basis of  $X_\alpha$  and that  $(s_n)_n$  is a block, we can find a subsequence  $(x_n)_{n\in\mathbb{N}}$  such that for every  $n\in\mathbb{N}$  one has that

$$\sum_{m \in N, \, m < n} \sum_{k \in s_n} |(x_m)_k| \le \delta. \tag{3.3}$$

We color each pair  $\{m_0 < m_1\} \in [\mathbb{N}]^2$  by

$$c(\{m_0, m_1\}) = \begin{cases} 0 & \text{if } \sum_{k \in s_{m_0}} |(x_{m_1})_k| \ge \delta \\ 1 & \text{otherwise.} \end{cases}$$

By the Ramsey Theorem, there is some infinite subset  $P \subseteq N$  such that c is constant on  $[P]^2$  with value i = 0, 1. We claim that i = 1. Otherwise, suppose that i = 0. Let  $m_0 \in P$ ,  $m_0 > \bar{n}$  be such that  $m_0 \cdot \delta > K$ , and let  $m_1 \in P$  be such that  $t = [m_0, m_1] \cap P$  has cardinality  $m_0$ . Then  $n_0 < m_0 \le \min s_{m_0}$ , and hence  $s = \bigcup_{m \in t} s_m \in \mathcal{S}_{\alpha}$ . But then,

$$K \ge ||x_{m_1}|| \ge \sum_{k \in s} |(x_{m_1})_k| = \sum_{m \in t} \sum_{k \in s_m} |(x_{m_1})_k| \ge \#t \cdot \delta > K,$$

a contradiction. Now it is easy to find  $P \subseteq N$  such that for every  $n \in P$ ,

$$\sum_{m \in P, \, m < n} \sum_{k \in s_m} |(x_n)_k| \le \delta. \tag{3.4}$$

Using the Claim 1 repeatedly, we can find  $N \subseteq M$  such that

$$\sum_{n \in N} \sum_{m \neq n \in N} \sum_{k \in s_m} |(x_n)_k| \le \frac{\varepsilon}{2}.$$

In other words,  $(x_n, \sum_{k \in s_n} \theta_k^{(n)} u_k^*)_{n \in N}$  behaves almost like a biorthogonal sequence for every sequence of signs  $((\theta_k^{(n)})_{k \in s_n})_{n \in N}$ . We see now that  $(x_n)_{n \in N}$  generates an  $\ell_1$ -spreading model with constant  $\geq \varepsilon/2$ . We assume without loos of generality that  $\bar{n} < N$ . Let  $t \in \mathcal{S} \upharpoonright N$ , and let

 $(a_n)_{n\in t}$  be a sequence of scalars such that  $\sum_{n\in t} |a_n| = 1$ . Then  $s = \bigcup_{n\in t} s_n \in \mathcal{S}_{\alpha}$ , and hence,

$$\begin{split} \|\sum_{n \in t} a_n x_n\| &\geq \sum_{k \in s} |(\sum_{n \in t} a_n x_n)_k| = \sum_{n \in t} \sum_{k \in s_n} |(\sum_{m \in t} a_m x_m)_k| \geq \\ &\geq \sum_{n \in t} |a_n| \sum_{k \in s_n} |(x_n)_k| - \sum_{n \in t} \sum_{k \in s_n} \sum_{m \in t \setminus \{n\}} |(x_m)_k| \geq \varepsilon \sum_{n \in t} |a_n| - \frac{\varepsilon}{2} \geq \frac{\varepsilon}{2} \sum_{n \in t} |a_n|. \end{split}$$

The following characterizes the Banach-Saks property of subsets of  $X_{\alpha}$ .

**Proposition 3.9.** Let  $(x_n)_n$  be a weakly null sequence in  $X_\alpha$ . The following are equivalent:

- (1) Every subsequence of  $(x_n)_n$  has a further subsequence dominated by the unit basis of  $c_0$ .
- (2) Every subsequence of  $(x_n)_n$  has a further norm-null subsequence or a subsequence equivalent to the unit basis of  $c_0$ .
- (3)  $\{x_n\}_n$  is a Banach-Saks set.
- (4)  $(x_n)_n$  is  $< \alpha$ -null.

PROOF. (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3) trivially. (3) implies (4): Suppose otherwise that  $(x_n)_n$  is not  $< \alpha$ -null. Fix  $\varepsilon > 0$  and  $\beta < \alpha$  such that

$$M := \{ n \in \mathbb{N} : ||x_n||_{\beta} \ge \varepsilon \}$$
 is infinite.

For each  $n \in M$ , let  $s_n \in \mathcal{S}_{\beta}$  such that  $\sum_{k \in s_n} |(x_n)_k| \geq \varepsilon$ . Since  $(x_n)_{n \in \mathbb{N}}$  is weakly-null, we can find  $N \subseteq \mathbb{N}$  and  $t_n \subseteq s_n$  for each  $n \in N$  such that  $(t_n)_{n \in \mathbb{N}}$  is a block sequence and  $\sum_{k \in t_n} |(x_n)_k| \geq \varepsilon/2$ . Then by Proposition 3.8,  $\{x_n\}_{n \in \mathbb{N}}$  is not Banach-Saks, and we are done.

(4) implies (1). Let  $K := \sup_{n \in \mathbb{N}} ||x_n||$ . Let  $(x_n)_{n \in M}$  be a subsequence of  $(x_n)_{n \in \mathbb{N}}$ . If  $\alpha = 0$ , Then  $X_{\alpha}$  is isometric to  $c_0$ , and so we are done. Let us suppose that  $\alpha > 0$ . Fix  $\varepsilon > 0$ .

Claim 2. There is  $N = \{n_k\}_k \subseteq M$ ,  $n_k < n_{k+1}$ , such that for every i < j and every  $s \in \mathcal{S}_{\alpha}$ 

if 
$$\sum_{k \in s} |(x_{n_i})_k| > \varepsilon/2^{i+1}$$
, then  $\sum_{k \in s} |(x_{n_j})_k| \le \frac{\varepsilon}{2^j}$ .

Its proof is the following: Let  $n_0 = \min M$ . Let  $m_0 \in \mathbb{N}$  be such that

$$\sum_{k>m_0} |(x_{n_0})_k| \le \frac{\varepsilon}{2}. \tag{3.5}$$

In other words,

$$\{s \in \mathcal{S}_{\alpha} : \sum_{k \in s} |(x_{n_0})_k| > \frac{\varepsilon}{2}\} \subseteq (\mathcal{S}_{\alpha})_{\leq m_0}.$$
(3.6)

By Proposition 3.6 (2) there are  $\alpha_0^{(0)}, \ldots, \alpha_{l_0}^{(0)} < \alpha$  such that

$$(\mathcal{S}_{\alpha})_{\leq m_0} \subseteq \mathcal{S}_{\alpha_0^{(0)}} \oplus \cdots \oplus \mathcal{S}_{\alpha_{l_0}^{(0)}}. \tag{3.7}$$

We use that  $(x_n)_n$  is  $< \alpha$ -null to find  $n_1 \in M$ ,  $n_1 > n_0$ , be such that for every  $n \ge n_1$  one has that

$$||x_n||_{(\mathcal{S}_\alpha)_{\leq m_0}} \leq \frac{\varepsilon}{2}. \tag{3.8}$$

Let now  $m_1 > \max\{n_1, m_0\}$  be such that

$$\sum_{k>m_1} |(x_{n_1})_k| \le \frac{\varepsilon}{4}. \tag{3.9}$$

Then there are  $\alpha_0^{(1)}, \ldots, \alpha_{l_1}^{(1)} < \alpha$  such that

$$\{s \in \mathcal{S}_{\alpha} : \sum_{k \in s} |(x_{n_1})_k| > \frac{\varepsilon}{4}\} \subseteq (\mathcal{S}_{\alpha})_{\leq m_1} \subseteq \mathcal{S}_{\alpha_0^{(1)}} \oplus \cdots \oplus \mathcal{S}_{\alpha_{l_1}^{(1)}}. \tag{3.10}$$

Let now  $n_2 \in M$ ,  $n_2 > n_1$  be such that for every  $n \ge n_2$  one has that

$$||x_n||_{(\mathcal{S}_\alpha)_{\leq m_1}} \leq \frac{\varepsilon}{4}. \tag{3.11}$$

In general, suppose defined  $n_i$ , let  $m_i > \max\{n_i, m_{i-1}\}$  be such that

$$\sum_{k>m_i} |(x_{n_i})_k| \le \frac{\varepsilon}{2^{i+1}}.$$
(3.12)

Then,

$$\{s \in \mathcal{S}_{\alpha} : \sum_{k \in s} |(x_{n_i})_k| > \frac{\varepsilon}{2^{i+1}}\} \subseteq (\mathcal{S}_{\alpha})_{\leq m_i} \subseteq \mathcal{S}_{\alpha_0^{(i)}} \oplus \cdots \oplus \mathcal{S}_{\alpha_{l_i}^{(i)}}, \tag{3.13}$$

for some  $\alpha_0^{(i)}, \ldots, \alpha_{l_i}^{(i)} < \alpha$ . Let  $n_{i+1} \in M$ ,  $n_{i+1} > n_i$  be such that for all  $n \ge n_{i+1}$  one has that

$$||x_n||_{(\mathcal{S}_\alpha)_{\leq m_i}} \leq \frac{\varepsilon}{2^{i+1}}.$$
(3.14)

We have therefore accomplish the properties we wanted for N.

Now fix N as in Claim 2. Then  $(x_n)_{n\in\mathbb{N}}$  is dominated by the unit basis of  $c_0$ . To see this, fix a finite sequence of scalars  $(a_i)_{i\in t}$ , and  $s\in\mathcal{S}_{\alpha}$ . If  $\sum_{k\in s}|(x_{n_i})_k|\leq \varepsilon/2^{i+1}$  for every  $i\in t$ , then,

$$\sum_{k \in s} |(\sum_{i \in t} a_i x_{n_i})_k| \le \max_{i \in t} |a_i| \cdot \sum_{k \in s} \sum_{i \in t} |(x_{n_i})_k| \le \max_{i \in t} |a_i| \sum_{i \in t} \frac{\varepsilon}{2^{i+1}} \le \varepsilon \max_{i \in t} |a_i|.$$

Otherwise, let  $i_0$  be the first  $i \in t$  such that  $\sum_{k \in s} |(x_{n_i})_k| > \varepsilon/2^{i+1}$ . It follows from the claim that

$$\sum_{k \in s} |(x_{n_j})_k| \le \frac{\varepsilon}{2^j} \text{ for every } i_0 < j$$
 (3.15)

Hence,

$$\begin{split} \sum_{k \in s} |(\sum_{i \in t} a_i x_{n_i})_k| &\leq \sum_{k \in s} |(\sum_{i \in t, \, i < i_0} a_i x_{n_i})_k| + |a_{i_0}| \cdot \sum_{k \in s} |(x_{n_{i_0}})|_k + \sum_{k \in s} |(\sum_{i > i_0} a_i x_{n_i})_k| \leq \\ &\leq \max_{i \in t} |a_i| \sum_{i < i_0} \frac{\varepsilon}{2^{i+1}} + |a_{i_0}| ||x_{n_{i_0}}|| + \max_{i \in t} |a_i| \sum_{i > i_0} \frac{\varepsilon}{2^i} \leq (\varepsilon + K) \max_i |a_i|. \end{split}$$

Proof of Theorem 3.5. Suppose that A is Banach-Saks, and suppose that  $(x_n)_n$  is a sequence in co(A) without Cesàro-convergent subsequences. Since co(A), is relatively weakly-compact, we may assume that  $x_n \to_n x \in X_\alpha$  weakly. Let  $y_n := x_n - x$  for each  $n \in \mathbb{N}$ . Then  $(y_n)_n$  is a weakly-null sequence without Cesàro-convergent subsequences. Hence, by Proposition 3.9, there is some  $\varepsilon > 0$  and some  $\beta > 0$  such that

$$M = \{n \in \mathbb{N} : ||y_n||_{\beta} \ge \varepsilon\}$$
 is infinite.

For each  $n \in M$ , let  $s_n \in \mathcal{S}_{\beta}$  such that

$$\sum_{k \in s_n} |(y_n)_k| \ge \varepsilon.$$

For each  $n \in M$ , write as convex combination,  $x_n = \sum_{a \in F_n} \lambda_a \cdot a$ , where  $F_n \subseteq A$  is finite. Since  $(y_n)_n$  is weakly-null, it follows that by going to a subsequence if needed that we may assume that  $(s_n)_n$  is a block sequence. Let  $n_0$  be such that for all  $n \geq n_0$  one has that  $\sum_{k \in s_n} |(x)_k| \leq \varepsilon/2$ . Hence for every  $n \geq n_0$  one has that

$$\varepsilon \le \sum_{k \in s_n} |(y_n)_k| \le \sum_{k \in s_n} |(x)_k| + \sum_{k \in s_n} \sum_{a \in F_n} \lambda_a |(a)_k| = \sum_{k \in s_n} |(x)_k| + \sum_{a \in F_n} \lambda_a \sum_{k \in s_n} |(a)_k| \le \sum_{k \in s_n} |(x)_k| + \max_{a \in F_n} \sum_{k \in s_n} |(a)_k| \le \sum_{k \in s_n} |(a)_k| + \max_{a \in F_n} \sum_{k \in s_n} |(a)_k| \le \sum_$$

So for each  $n \ge n_0$  we can find  $a_n \in F_n$  such that  $\sum_{k \in s_n} |(a_n)_k| \ge \varepsilon/2$ . Then, by Proposition 3.8,  $(a_n)_n$  is not Banach-Saks.

Conjecture 1. Let  $\mathcal{F}$  be a compact, hereditary and spreading family on  $\mathbb{N}$ . Then the convex hull of any Banach-Saks subset  $A \subseteq X_{\mathcal{F}}$  is again Banach-Saks.

# 4. A Banach-Saks set whose convex hull is not Banach-Saks

The purpose of this section is to present an example of a Banach-Saks set whose convex hull is not. To do this, using our characterization in Theorem 2.8, it suffices to find a special precompact family  $\mathcal{F}$  as in (c) of that proposition. The requirement of  $\mathcal{F}$  being hereditary is not essential here because  $X_{\mathcal{F}} = X_{\widehat{\mathcal{F}}}$ .

We introduce now some notions of special interest. In what follows,  $I = \bigcup_{n \in \mathbb{N}} I_n$  is a partition of I into finite pieces  $I_n$ . A transversal (relative to  $(I_n)_n$ ) is an infinite subset T of I such that  $\#(T \cap I_n) \leq 1$  for all n. By reformulating naturally Theorem 2.1 we obtain the following.

**Lemma 4.1.** Let  $T \subseteq I$  be a transversal and  $n \in \mathbb{N}$ .

- (a) If  $\mathcal{F}$  is not n-large in T, then there exist a transversal  $T_0 \subseteq T$  and  $m \leq n$  such that  $\mathcal{F}[T_0] = [T_0]^{\leq m}$ .
- (b) If  $\mathcal{F}$  is not large in T then there is some transversal  $T_0 \subseteq T$  and  $n \in \mathbb{N}$  such that  $\mathcal{F}[T_0] = [T_0]^{\leq n}$ .

(c) If  $\mathcal{F}$  is n-large in T, then there exists a transversal  $T_0 \subseteq T$  such that  $[T_0]^{\leq n} \subseteq \mathcal{F}[T_0]$ .

**Definition 4.2.** For every  $0 < \lambda < 1$  and  $s \in \mathcal{F}$  let us define

- (a)  $s[\lambda] := \{ n \in \mathbb{N} : \#(s \cap I_n) \ge \lambda \# I_n \},$
- (b)  $s[+] := \{ n \in \mathbb{N} : s \cap I_n \neq \emptyset \},$

and the families of finite sets of  $\mathbb{N}$ 

- (c)  $\mathcal{G}_{\lambda}(\mathcal{F}) := \{s[\lambda] : s \in \mathcal{F}\},\$
- (d)  $\mathcal{G}_{+}(\mathcal{F}) := \{s[+] : s \in \mathcal{F}\}.$

**Proposition 4.3.** Suppose that  $\mathcal{F}$  is a T-family on I. For every  $0 < \lambda < 1$  and every sequence of scalars  $(a_n)_n$ , we have that

$$\lambda \left\| \sum_{n} a_{n} u_{n} \right\|_{\mathcal{G}_{\lambda}(\mathcal{F})} \leq \max \left\{ \left\| \sum_{n} a_{n} \left( \frac{1}{\# I_{n}} \sum_{j \in I_{n}} u_{j} \right) \right\|_{\mathcal{F}}, \sup_{n} |a_{n}| \right\} \leq \left\| \sum_{n} a_{n} u_{n} \right\|_{\mathcal{G}_{+}(\mathcal{F})}. \tag{4.1}$$

PROOF. For each n, set

$$x_n := \frac{1}{\# I_n} \sum_{j \in I_n} u_j.$$

Given  $(a_n)_n$ , by Definition 2.7, for every  $s \in \mathcal{F}$ , we have that

$$\sum_{k \in s} \left| \left( \sum_{n} a_n x_n \right)_k \right| = \sum_{n \in s[+]} \sum_{k \in s \cap I_n} \frac{|a_n|}{\# I_n} = \sum_{n \in s[+]} |a_n| \frac{\#(s \cap I_n)}{\# I_n}$$

$$\leq \sum_{n \in s[+]} |a_n| \leq \left\| \sum_{n} a_n u_n \right\|_{\mathcal{G}_+(\mathcal{F})},$$

and

$$\sup_{k} \left| \left( \sum_{n} a_n x_n \right)_{k} \right| \le \sup_{n} \frac{|a_n|}{\# I_n} \le \sup_{n} |a_n| \le \| \sum_{n} a_n u_n \|_{\mathcal{G}_+(\mathcal{F})}.$$

This proves the second inequality in (4.1). Now, given  $t \in \mathcal{G}_{\lambda}(\mathcal{F})$ , let  $s \in \mathcal{F}$  be such that  $s[\lambda] = t \subseteq s[+]$ . Then

$$\sum_{k \in s} \left| \left( \sum_{n} a_n x_n \right)_k \right| \ge \sum_{n \in s[\lambda]} \sum_{k \in s \cap I_n} |a_n| \frac{1}{\# I_n} = \sum_{n \in s[\lambda]} |a_n| \frac{\#(s \cap I_n)}{\# I_n} \ge \lambda \sum_{n \in t} |a_n|.$$

This proves the first inequality in (4.1).

Observe that the use of the sup-norm of  $(a_n)_n$  in the middle term of (4.1) can be explained by the fact that the sequence of averages  $(x_n)_n$  is not always seminormalized, independently of the family  $\mathcal{F}$ . However, for the families we will consider  $(x_n)_n$  will be normalized and 1-dominating the unit basis of  $c_0$ , so the term  $\sup_n |a_n|$  will disappear in (4.1).

**Definition 4.4.** A pre-compact family  $\mathcal{F}$  on I is called a T-family when there is a partition  $(I_n)_n$  of I into finite pieces  $I_n$  such that

- (a)  $\mathcal{F}$  is not large in any  $J \subseteq I$ .
- (b) There is  $0 < \lambda \le 1$  such that  $\mathcal{G}_{\lambda}(\mathcal{F})$  is large in  $\mathbb{N}$ .

Observe that the pre-compactness of  $\mathcal{F}$  follows from (a) above.

# **Proposition 4.5.** Let $\mathcal{F}$ be a T-family on $I = \bigcup_n I_n$ . Then

- (a) the block sequence of averages  $(1/\#I_n\sum_{i\in I_n}u_i)_n$  is not Banach-Saks in  $X_{\mathcal{F}}$ .
- (b) Every subsequence  $(u_i)_{i\in T}$  of  $(u_i)_{i\in I}$  has a further subsequence  $(u_i)_{i\in T_0}$  equivalent to the unit basis of  $c_0$ . Moreover its equivalence constant is at most the integer n such that  $\mathcal{F}[T_0] = [T_0]^{\leq n}$ .

PROOF. Set  $x_n := 1/\# I_n \sum_{i \in I_n} u_i$  for each  $n \in \mathbb{N}$ . (a): From Theorem 2.1 there is  $M \subseteq \mathbb{N}$  such that  $[M]^1 \subseteq \mathcal{G}_{\lambda}(\mathcal{F})[M]$ . This readily implies that  $\|x_n\|_{\mathcal{F}} \geq \lambda$  for every  $m \in M$ . Therefore,  $(x_n)_{n \in M}$  is a seminormalized block subsequence of the unit basis  $(u_n)_n$ , and it follows that  $(x_n)_{n \in M}$  dominates the unit basis of  $c_0$ . From the left inequality in (4.1) in Proposition 4.3 we have that  $(x_n)_{n \in M}$  also dominates the subsequence  $(u_n)_{n \in M}$  of the unit basis of  $X_{\mathcal{G}_{\lambda}(\mathcal{F})}$ . Since  $\mathcal{G}_{\lambda}(\mathcal{F})$  is large, no subsequence of its unit basis is Banach-Sack and therefore  $(x_n)_n$  is not Banach-Saks.

(b) Let  $(u_i)_{i\in T}$  be a subsequence of the unit basis of  $X_{\mathcal{F}}$ . Without loss of generality, we assume that T is a transversal of I. Using our hypothesis (a), the Lemma 4.1 (b) gives us another transversal  $T_0 \subset T$  and  $n \in \mathbb{N}$  such that  $\mathcal{F}[T_0] = [T_0]^{\leq n}$ . Then the subsequence  $(u_i)_{i\in T_0}$  is equivalent to the unit basis of  $c_0$  and therefore Cesàro convergent to 0. In fact, for every  $s \in \mathcal{F}$  and for every scalar sequence  $(a_j)_{j\in T_0}$ 

$$\sum_{i \in s} |a_i| = \sum_{i \in s \cap T_0} |a_i| \le \max\{|a_i| : i \in s \cap T_0\} \#(s \cap T_0) \le n \|(a_i)\|_{\infty}.$$

On the other hand it is clear that  $||(a_i)||_{\infty} \leq ||\sum_{i \in T_0} a_i e_i||_{\mathcal{F}}$ .

This is the main result.

**Theorem 4.6.** There is a T-family on  $\mathbb{N}$ . More precisely, for every  $0 < \varepsilon < 1$  there is a partition  $\bigcup_n I_n$  of  $\mathbb{N}$  in finite pieces  $I_n$  and a pre-compact family  $\mathcal{F}$  on  $\mathbb{N}$  such that

- (a)  $\mathcal{F}$  is not 4-large in any  $M \subseteq \mathbb{N}$ .
- (b)  $\mathcal{G}_{1-\varepsilon}(\mathcal{F}) = \mathcal{G}_+(\mathcal{F}) = \mathfrak{S}$ , the Schreier barrier.
- (c) For every  $s \in \mathcal{G}_+(\mathcal{F})$  one has that  $s \cap I_n = I_n$ , where n is the minimal m such that  $s \cap I_m \neq \emptyset$ .

Corollary 4.7. For every  $\varepsilon > 0$  there is a Schreier-like space  $X_{\mathcal{F}}$  such that every subsequence of the unit basis of it has a further subsequence 4-equivalent to the unit basis of  $c_0$ , yet there is a block sequence of averages  $((1/\#I_n)\sum_{i\in I_n}u_i)_n$  which is  $1+\varepsilon$ -equivalent to the unit basis of the Schreier space  $X_{\mathcal{S}}$ .

PROOF. From Proposition 4.3, it only rests to see that  $\|\sum_n a_n x_n\|_{\mathcal{F}} \ge \sup_n |a_n|$ , where  $x_n =$  $1/\#I_n\sum_{i\in I_n}u_i$  for every  $n\in\mathbb{N}$ . To see this, fix a finite sequence of scalars  $(a_n)_{n\in I_n}$  and fix  $m \in t$ . Let  $u \in \mathfrak{S}$  be such that  $\min u = m$  and  $u \cap t = \{m\}$ , and let  $s \in \mathcal{F}$  such that s[+] = u. Then, by the properties of  $\mathcal{F}$ , it follows that  $s \cap I_m = I_m$ , while  $s \cap I_n = \emptyset$  for  $n \in t \setminus \{m\}$ . Consequently,

$$\left\| \sum_{n \in t} a_n x_n \right\|_{\mathcal{F}} \ge \sum_{k \in s} \left| \left( \sum_{n \in t} a_n \frac{1}{\# I_n} \sum_{i \in I_n} u_i \right)_k \right| = |a_m|.$$

The construction of our family as in Theorem 4.6 is strongly influenced by the following counterexample of Erdős and Hajnal [13] to the natural generalization of Gillis' Lemma 2.5 to double-indexed sequences of large measurable sets.

**Lemma 4.8.** For every  $m \in \mathbb{N}$  and  $\varepsilon > 0$  there is probability space  $(\Omega, \Sigma, \mu)$  and a sequence  $(A_{i,j})_{1 \leq i < j \leq n}$  with  $\mu(A_{i,j}) \geq \varepsilon$  for every  $1 \leq i < j \leq n$  such that for every  $s \subseteq \{1, \ldots, n\}$  of cardinality m one has that

$$\bigcap_{\{i,j\}\in[s]^2}A_{i,j}=\emptyset.$$

PROOF. Given  $n, r \in \mathbb{N}$  let  $\Omega := \{1, \dots, r\}^n$ , and let  $\mu$  be the probability counting measure on  $r^n$ . Given  $1 \le i < j \le n$  we define the subset of *n*-tuples

$$A_{i,j}^{(n,r)} := \{(a_l)_{l=1}^n \in \{1, \dots, r\}^n : a_i \neq a_j\}.$$

$$(4.2)$$

This is the desired counterexample. In fact,

- (a)  $\#A_{i,j}^{(n,r)} = r^n(1 1/r)$  for every  $1 \le i < j \le n$ , and (b)  $\bigcap_{\{i,j\} \in [s]^2} A_{i,j}^{(n,r)} = \emptyset$  for every  $s \in [\{1,\ldots,n\}]^{r+1}$ .

To see (a), given  $1 \le i < j \le n$ 

$$\{1, 2, \dots, r\}^n \setminus A_{i,j}^{(n,r)} = \bigcup_{\theta=1}^r \{(a_l)_{l=1}^n \in \{1, 2, \dots, r\}^k : a_i = a_j = \theta\}$$

being the last union disjoint. Since

$$\#\{(a_l)_{l=1}^n \in \{1, 2, \dots, r\}^n : a_i = a_j = \theta\} = r^{n-2},$$

it follows that  $\#A_{i,j}^{(n,r)} = r^n(1-1/r)$ . It is easy to see (b) holds since otherwise we would have found a subset of  $\{1, \ldots, r\}$  of cardinality r + 1. 

*Proof of Theorem 4.6.* For practical reasons we will define such family not in  $\mathbb{N}$  but in a more appropriate countable set I. Fix  $0 < \lambda < 1$ . We define first the disjoint sequence  $(I_n)_n$ . For

each  $m \in \mathbb{N}, m \geq 4$ , let  $r_m$  be such that

$$\left(1 - \frac{1}{r_m}\right)^{\binom{m-2}{2}} \ge \lambda.$$
(4.3)

Let  $4 \le m \le n$  be fixed. Let

$$I_{m,n} := \{1, \dots, r_m\}^{n \times [\{2, \dots, m-1\}]^2}.$$

Let  $I_n = \{n\}$  for n = 1, 2, 3. For  $n \ge 4$  let

$$I_n := \prod_{4 \le m \le n} I_{m,n} = \prod_{4 \le m \le n} \{1, \dots, r_m\}^{n \times [\{2, \dots, m-1\}]^2}.$$

Observe that for  $n \neq n'$  one has that  $I_n \cap I_{n'} = \emptyset$ . Let  $I := \bigcup_n I_n$ . Now, given  $4 \leq m_0 \leq n$  and  $2 \leq i_0 < j_0 \leq m_0 - 1$ , let

$$\pi_{i_0,j_0}^{(n,m_0)}: I_n \to \{1,2,\ldots,r_{m_0}\}^n$$

be the natural projection,

$$\pi_{i_0,j_0}^{(n,m_0)}(\left((b_{i,j}^{(l,m)})\right)_{4 < m < n, 1 < l < n, 2 < i < j < m-1}) := (b_{i_0,j_0}^{(l,m_0)})_{l=1}^n \in \{1,2,\ldots,r_{m_0}\}^n.$$

We start with the definition of the family  $\mathcal{F}$  on I. Recall that  $\mathfrak{S} := \{s \subseteq \mathbb{N} : \#s = \min s\}$  is the Schreier barrier. We define  $F : \mathfrak{S} \to [I]^{<\infty}$  such that  $F(u) \subseteq \bigcup_{n \in u} I_n$  and then we will define  $\mathcal{F}$  as the image of F. Fix  $u = \{n_1 < \dots < n_{n_1}\} \in \mathfrak{S}$ :

- (i) For  $u = \{1\}$ , let  $F(u) := I_1$ .
- (ii) For  $u := \{2, n\}, 2 < n$ , let  $F(u) := I_2 \cup I_n$ .
- (iii) For  $u := \{3, n_1, n_2\}, 3 < n_1 < n_2$ , let  $F(u) := I_3 \cup I_{n_1} \cup I_{n_2}$ .
- (iv) For  $u = \{n_1, \dots, n_{n_1}\}$  with  $3 < n_1 < n_2 < \dots < n_{n_1}$ , then let

$$F(u) \cap I_{n_k} := I_{n_k} \text{ for } k = 1, 2, 3,$$

and for  $3 < k \le n_1$ , let

$$F(u) \cap I_{n_k} := \bigcap_{1 < i < j < k} \left( \pi_{i,j}^{(n_k, n_1)} \right)^{-1} \left( A_{n_i, n_j}^{(n_k, r_{n_1})} \right) \tag{4.4}$$

Where the A's are as in (4.2). Explicitly,

$$F(u) \cap I_{n_k} = \{ \left( (b_{i,j}^{(l,m)}) \right)_{4 \le m \le n_k, 1 \le l \le n_k, 2 \le i < j \le m-1} \in I_{n_k} : b_{i,j}^{(n_i,n_1)} \ne b_{i,j}^{(n_j,n_1)}, 1 < i < j < k \}.$$

Observe that it follows from (4.4) that

$$\pi_{i,j}^{(n_k,n_1)}(F(u)\cap I_{n_k}) = A_{n_i,n_j}^{(n_k,r_{n_1})} \subset \{1,2,\dots,r_{n_1}\}^{n_k}$$
(4.5)

for every 1 < i < j < k.

From the definition of  $\mathcal{F}$  it follows that u = F(u)[+] for every  $u \in \mathfrak{S}$ . Now, we claim that given  $u \in \mathfrak{S}$ , we have that  $u = F(u)[\lambda]$ , or, in other words,  $\#(F(u) \cap I_n) \geq \lambda \# I_n$  for every  $n \in u$ . The only non-trivial case is when  $u = \{n_1 < \cdots < n_{n_1}\}$  with  $n_1 > 3$ , and  $n = n_k$  is such

that  $3 < k \le n_1$ . It follows from the equality in (4.4), (a) in the proof of Lemma 4.8, and the choice of  $r_{n_1}$  in (4.3) that

$$\frac{\#(F(u) \cap I_{n_k})}{\#(I_{n_k})} = \prod_{1 < i < j < k} \frac{\#(A_{n_i, n_j}^{(n_k, r_{n_1})})}{(r_{n_1})^{n_k}} = \prod_{1 < i < j < k} \left(1 - \frac{1}{r_{n_1}}\right) \ge \left(1 - \frac{1}{r_{n_1}}\right)^{\binom{n_1 - 2}{2}} \ge \lambda$$

Summarizing,  $\mathcal{G}(\mathcal{F}, \lambda) = \mathcal{G}(\mathcal{F}, +) = \mathfrak{S}$ . Thus,  $\mathcal{F}$  satisfies the property (b) in Theorem 4.6. For the property (a) we use the following fact.

**Lemma 4.9.** Suppose that  $A \subseteq \mathfrak{S}$  is a subset such that

- (a)  $\min u = \min v = n_1 > 3$  for all  $u, v \in A$ .
- (b) there are  $1 < i < j < n_1$  and a set  $w \subset \mathbb{N}$  such that
  - (b.1)  $\#w \ge r_{n_1} + 2$  and  $n_1 < \min w$ .
  - (b.2) For every  $l_1 < l_2 < \max w$  in w there is  $u \in A$  such that  $\{n_1, l_1, l_2, \max w\} \subset u$ ,  $\#(u \cap \{1, 2, ..., l_1\}) = i$  and  $\#(u \cap \{1, 2, ..., l_2\}) = j$ .

Then

$$I_{\max w} \cap \bigcap_{u \in A} F(u) = \emptyset.$$

Proof of Lemma 4.9. Observe that for  $l \in u$ ,  $\#(u \cap \{1, 2, ..., l\}) = i$  just means that l is the  $i^{\text{th}}$ -element of u. For every couple  $\{l_1 < l_2\} \in [w \setminus \{\max w\}]^2$ , take  $u_{l_1, l_2} \in \mathcal{A}$  satisfying the condition of (b.2). Since,  $u_{l_1, l_2} = \{n_1 < \cdots < n_i = l_1 < \cdots < n_j = l_2 < \cdots < \max w < \cdots \le n_{n_1}\}$ , it follows from the equality in (4.4) that

$$\pi_{i,j}^{(\max w, n_1)} \big( F(u_{l_1, l_2}) \cap I_{\max w} \big) = A_{l_1, l_2}^{(\max w, r_{n_1})}.$$

Hence

$$\begin{split} \pi_{i,j}^{(\max w, n_1)}(I_{\max w} \cap \bigcap_{u \in \mathcal{A}} F(u)) &\subseteq \bigcap_{\{l_1, l_2\} \in [w \setminus \{\max w\}]^2} \pi_{i,j}^{(\max w, n_1)}(I_{\max w} \cap F(u_{l_1, l_2})) = \\ &= \bigcap_{\{l_1, l_2\} \in [w \setminus \{\max w\}]^2} A_{l_1, l_2}^{(\max w, r_{n_1})} = \emptyset \end{split}$$

where the last equality follows from (b) in the proof of Lemma 4.8, since  $\#w \ge r_{n_1} + 2$ .

We continue with the proof property (a) of  $\mathcal{F}$  in Theorem 4.6. Suppose otherwise that there exists a transversal T of I such that  $\mathcal{F}$  is 4-large in T. By Lemma 4.1 (c), there exists  $T_0 \subseteq T$  such that  $[T_0]^4 \subseteq \mathcal{F}[T_0]$ . For every  $k \in T_0$ , n(k) denotes the unique integer m for which  $k \in I_m$ . It is easy to see that if  $k_1, k_2 \in T_0$  with  $k_1 < k_2$ , then  $n(k_1) < n(k_2)$ . Now, for each  $t = \{k_0 < k_1 < k_2 < k_3\}$  in  $[T_0]^4$ , let us choose  $U(t) \in \mathfrak{S}$  such that

$$t \subset F(U(t)).$$

Observe that  $\{n(k_0), n(k_1), n(k_2), n(k_3)\} \subset U(t)$ , and hence  $\#U(t) \leq n(k_0)$ . Now, let

$$\bar{k} := \min T_0 \text{ and } \bar{n} := n(\bar{k}).$$

Define the coloring  $\Theta : [T_0 \setminus \{\bar{k}\}]^3 \to [\{1, 2, \dots, n(\bar{k})\}]^3$  for each  $t = \{k_1 < k_2 < k_3\}$  in  $T_0 \setminus \{\bar{k}\}$  as

$$\Theta(t) = (\#(U(\{\bar{k}\} \cup t) \cap \{1, \dots, n(k_1)\}), \#(U(\{\bar{k}\} \cup t) \cap \{1, \dots, n(k_2)\}), \min U(\{\bar{k}\} \cup t)).$$

By the Ramsey theorem, there exist  $1 < i < j < n_1 \le n(\theta)$  and  $T_1 \subseteq T_0 \setminus \{\theta\}$  such that  $\Theta$  is constant on  $T_1$  with value  $\{i, j, n_1\}$ . Choose  $k_1 < \cdots < k_{r_{\bar{n}}+2}$  in  $T_1$ , and set

$$\mathcal{A} := \{ U(\{\bar{k}, k_{l_1}, k_{l_2}, k_{r_{\bar{n}}+2}\}) : 1 \le l_1 < l_2 < r_{\bar{n}} + 2 \}.$$

Notice that  $\mathcal{A}$  fulfills the hypothesis of Lemma 4.9 with respect to the set  $w = \{n(t_{l_1}) : 1 \leq l_1 \leq r_{n(\theta)} + 2\}$ , and therefore

$$I_{n(t_{r_{n(\theta)}}+2)} \cap \bigcap_{u \in \mathcal{A}} F(u) = \emptyset, \tag{4.6}$$

which contradicts the fact that

$$k_{r_{\bar{n}}+2} \in I_{n(k_{r_{\bar{n}}+2})} \cap \bigcap_{u \in \mathcal{A}} F(u).$$

The family  $\mathcal{F}$  clearly has property (c) from the statement of Theorem 4.6 by construction. This finishes the proof of the desired properties of  $\mathcal{F}$ .

A similar analysis will be used now to prove that the closed linear span of the sequence

$$x_n = \frac{1}{\#I_n} \sum_{j \in I_n} u_j$$

is not a complemented subspace of  $X_{\mathcal{F}}$ . Let  $(x_n^*)_n$  denote the sequence of biorthogonal functionals to  $(x_n)_n$  on  $[x_n]^*$ .

**Proposition 4.10.** If  $T:[u_k]_k \to [x_n]_n$  is a linear mapping such that

$$\lim_{k \to \infty} \langle x_{n(k)}^*, Tu_k \rangle \neq 0,$$

then T cannot be bounded. In particular, there does not exist a projection  $P: X_{\mathcal{F}} \to [x_n]_n$ .

PROOF. Let us suppose that T is bounded. Since  $\lim_{k\to\infty}\langle x_{n(k)}^*, Tu_k\rangle \neq 0$ , let  $\alpha > 0$  be such that  $|\langle x_{n(k_j)}^*, Tu_{k_j}\rangle| \geq \alpha$  for every  $j \in \mathbb{N}$ . Moreover, since  $(u_k)_k$  is weakly null, up to equivalence we can assume that  $(Tu_{k_j})_j$  are disjoint blocks with respect to  $(x_n)_n$ .

By Proposition 4.5(b), passing to a further subsequence it holds that  $(u_{k_j})_j$  is 3-equivalent to the unit basis of  $c_0$ . Now, let  $0 < \lambda \le 1$  such that  $\mathcal{G}_{\lambda}(\mathcal{F}) = \mathfrak{S}$ , and take  $n_0 > \frac{3||T||}{\alpha\lambda}$ . Let  $u \in \mathfrak{S}$  with  $\min u = n_0$ . We have

$$3 \ge \left\| \sum_{i} u_{k_j} \right\| \ge \frac{1}{\|T\|} \left\| \sum_{i} Tu_{k_j} \right\|_{X_{\mathcal{F}}} \ge \sum_{i \in F(u)} \left| \langle u_i^*, \sum_{i} Tu_{k_j} \rangle \right| \ge \frac{n_0 \alpha \lambda}{\|T\|}.$$

This is a contradiction with the choice of  $n_0$ .

Remark 4.11. The Cantor-Bendixson rank of a T-family must be infinite. To see this, observe that if  $f: I \to J$  is finite-to-one <sup>1</sup> then f preserves the rank  $\varrho(\mathcal{F})$  of pre-compact families  $\mathcal{F}$  in I. Since  $n(\cdot): I \to \mathbb{N}$ , n(i) = n if and only if  $i \in I_n$  is finite-to-one and since  $n(\mathcal{F}) = \{\{n(i)\}_{i \in s}: s \in \mathcal{F}\} = \mathcal{G}_+(\mathcal{F}) \supseteq \mathcal{G}_\lambda(\mathcal{F})$  is large, it follows that  $\varrho(n(\mathcal{F})) = \varrho(\mathcal{F})$  is infinite. In this way our T-family  $\mathcal{F}$  in Theorem 4.6 is minimal because  $\varrho(\mathcal{F}) = \varrho(n(\mathcal{F})) = \varrho(\mathfrak{S}) = \omega$ .

4.1. A reflexive counterexample. There is a reflexive counterpart of our example  $X_{\mathcal{F}}$ . Indeed we are going to see that the Baernstein space  $X_{\mathcal{F},2}$  for our family  $\mathcal{F}$  is such space. It is interesting to note that the corresponding construction  $X_{\mathcal{S},2}$  for the Schreier family  $\mathcal{S}$  was used by A. Baernstein II in [5] to provide the first example of a reflexive space without the Banach-Saks property. This construction was later generalized by C. J. Seifert in [29] to obtain  $X_{\mathcal{S},p}$ .

**Definition 4.12.** Given a pre-compact family  $\mathcal{F}$ , and given  $1 \leq p \leq \infty$ , one defines on  $c_{00}(\mathbb{N})$  the norm  $||x||_{\mathcal{F},p}$  for a vector  $x \in c_{00}(\mathbb{N})$  as follows:

$$||x||_{\mathcal{F},p} := \sup\{||(||E_i x||_{\mathcal{F}})_{i=1}^n||_p : E_1 < \dots < E_n, n \in \mathbb{N}\}$$
(4.7)

where  $E_1 < \cdots < E_n$  are finite sets and Ex is the natural projection on E defined by  $Ex := \mathbb{1}_{E} \cdot x$ . Let  $X_{\mathcal{F},p}$  be the corresponding completion of  $(c_{00}, \|\cdot\|_{\mathcal{F},p})$ .

Again, the unit Hamel basis of  $c_{00}$  is a 1-unconditional Schauder basis of  $X_{\mathcal{F},p}$ . Notice also that this construction generalizes the Schreier-like spaces, since  $X_{\mathcal{F},\infty} = X_{\mathcal{F}}$ .

**Proposition 4.13.** The space  $X_{\mathcal{F},p}$  is  $\ell_p$ -saturated. Consequently, if  $1 , the space <math>X_{\mathcal{F},p}$  is reflexive.

PROOF. The case  $p = \infty$  was already treated when we introduced the Schreier-like spaces after Definition 2.7. So, suppose that  $1 \le p < \infty$ .

Claim 3. Suppose that  $(x_n)_n$  is a normalized block sequence of  $(u_n)_n$ . Then

$$\|\sum_{n} a_n x_n\|_{\mathcal{F},p} \ge \|(a_n)_n\|_p. \tag{4.8}$$

To see this, for each n, let  $(E_i^{(n)})_{i=1}^{k_n}$  be a block sequence of finite sets such that

$$1 = \sum_{i=1}^{k_n} ||E_i^{(n)} x_n||_{\mathcal{F}}^p. \tag{4.9}$$

 $<sup>^{1}</sup>f:I\rightarrow J$  is finite-to-one when  $f^{-1}\{j\}$  is finite for every  $j\in J.$ 

Without loss of generality we may assume that  $\bigcup_{i=1}^{k_n} E_i^{(n)} \subseteq \operatorname{supp} x_n$ , hence  $E_{k_n}^{(n)} < E_1^{(n+1)}$  for every n. Set  $x = \sum_n a_n x_n$ . It follows that

$$(\|\sum_{n} a_n x_n\|_{\mathcal{F},p})^p \ge \sum_{n} \sum_{i=1}^{k_n} \|E_i^{(n)} x\|_{\mathcal{F}}^p = \sum_{n} |a_n|^p.$$

This finishes the proof of Claim 3. It follows from this claim that  $c_0 \not\hookrightarrow X_{\mathcal{F},p}$ . Fix now a normalized block sequence  $(x_n)_n$  of  $(u_n)_n$  and  $\varepsilon > 0$ . Let  $(\varepsilon_n)_n$  be such that  $\sum_n \varepsilon_n^p \le \varepsilon/2$ ,  $\varepsilon_n > 0$  for each n. Since  $c_0 \not\hookrightarrow X_{\mathcal{F},p}$  and since  $X_{\mathcal{F}}$  is  $c_0$ -saturated, we can find a  $\|\cdot\|_{\mathcal{F},p}$ -normalized block sequence  $(y_n)_n$  of  $(x_n)_n$  such that

$$||y_n||_{\mathcal{F}} \le \varepsilon_n. \tag{4.10}$$

Claim 4. For every sequence of scalars  $(a_n)_n$  we have that

$$\|(a_n)_n\|_p \le \|\sum_n a_n y_n\|_{\mathcal{F},p} \le (1+\varepsilon)\|(a_n)_n\|_p.$$
 (4.11)

Once this is established, we have finished the proof of this proposition. The first inequality in (4.11) is consequence of Claim 3. To see the second one, fix a block sequence  $(E_i)_{i=1}^l$  of finite subsets of  $\mathbb{N}$ . For each n, let  $B_n := \{j \in \{1, \dots, l\} : E_j x_n \neq \emptyset\}$ , and for n such that  $B_n \neq \emptyset$ , let  $i_n := \min B_n$ ,  $j_n := \max B_n$ . Observe that  $i_n, j_n \in B_m$  for at most one  $m \neq n$ . Then, setting  $y = \sum_n a_n y_n$ ,

$$\sum_{i=1}^{l} \|E_{i}y\|_{\mathcal{F}}^{p} = \sum_{i \in \bigcup_{n} B_{n}} \|E_{i}y\|_{\mathcal{F}}^{p} \leq \sum_{n} \sum_{i \in B_{n}} \|E_{i}y\|_{\mathcal{F}}^{p} \leq |a_{1}|^{p} \sum_{i \in B_{1}} \|E_{i}y_{1}\|_{\mathcal{F}}^{p} + \|E_{j_{1}}y\|_{\mathcal{F}}^{p} + \sum_{n \geq 2} \left( |a_{n}|^{p} \sum_{i \in B_{n}} \|E_{i}y_{n}\|_{\mathcal{F}}^{p} + \|E_{i_{n}}y\|_{\mathcal{F}}^{p} + \|E_{j_{n}}y\|_{\mathcal{F}}^{p} \right) \leq$$

$$\leq \sum_{n} |a_{n}|^{p} \|y_{n}\|_{\mathcal{F},p}^{p} + 2 \max_{n} |a_{n}|^{p} \sum_{n} \varepsilon_{n}^{p} \leq (1 + \varepsilon) \sum_{n} |a_{n}|^{p}.$$

**Proposition 4.14.** Given  $0 < \lambda < 1$ , let  $\mathcal{F}$  be a T-family for  $\lambda$  as in Theorem 4.6 with respect to some  $\bigcup_n I_n$ . Then

- (a) Every subsequence of the unit basis of  $X_{\mathcal{F},p}$  has a further subsequence 6-equivalent to the unit basis of  $\ell_p$ .
- (b) The sequence of averages

$$\left(\frac{1}{\#I_n}\sum_{i\in I_n}u_i\right)_n$$

is  $\lambda$ -equivalent to the unit basis of the Seifert space  $X_{\mathcal{S},p}$ .

PROOF. (a): Fix a subsequence  $(u_n)_{n\in M}$  of  $(u_n)_n$  and let  $(u_n)_{n\in N}$  be a further sequence of it such that  $\mathcal{F}[N]\subseteq [N]^{\leq 3}$ . Fix also a sequence of scalars  $(a_n)_{n\in N}$  such that  $x=\sum_{n\in N}a_nu_n\in X_{\mathcal{F},p}$ . Given a finite subset  $E\subseteq \mathbb{N}$  we obtain that

$$||Ex||_{\mathcal{F}} \le 3 \max_{n \text{ is such that } Ex_n \ne 0} |a_n|. \tag{4.12}$$

Now given a block sequence  $(E_i)_{i=1}^l$  of finite subsets of  $\mathbb{N}$ , and given  $i=1,\ldots,l$ , let  $A_i=\{n\in\mathbb{N}: E_ix_n\neq 0\}$  and let  $B:=\{i\in\{1,\ldots l\}: A_i\neq\emptyset\}$ . Then we obtain that

$$\sum_{i=1}^{l} ||E_i x||_{\mathcal{F}}^p \le 3 \sum_{i \in B} (\max_{n \in A_i} |a_n|)^p \le 6 \sum_{n} |a_n|^p$$

the last inequality because  $A_i \cap A_j = \emptyset$  if i < j are not consecutive in B, and if i < j are consecutive, then  $\#(A_i \cap A_j) \le 1$ . The other inequality is proved in the Claim 3 of Proposition 4.13.

Let us prove (b): First of all, observe that by definition we have that  $X_{\mathcal{S},p} = X_{\mathfrak{S},p}$ . Set  $x_n := (1/\#I_n) \sum_{i \in I_n} u_i$  for each  $n \in \mathbb{N}$ , and fix a sequence of scalars  $(a_n)_n$ . Set also

$$x = \sum_{n} a_n x_n$$
 and  $u = \sum_{n} a_n u_n$ .

Let  $(E_i)_{i=1}^l$  be a block sequence of finite subsets of  $\mathbb N$  such that

$$\|\sum_{n} a_{n} u_{n}\|_{\mathfrak{S}, p}^{p} = \sum_{i=1}^{l} \|E_{i} u\|_{\mathfrak{S}}^{p}. \tag{4.13}$$

For each i = 1, ..., l, let  $t_i \in \mathfrak{S}$  be such that  $||E_i u||_{\mathfrak{G}} = \sum_{n \in t_i \cap E_i} |a_n|$ . For each i = 1, ..., l let  $s_i \in \mathcal{F}$  be such that  $s_i[\lambda] = t_i$ , and set  $F_i := \bigcup_{n \in E_i} I_n$ . Notice that  $(F_i)_{i=1}^l$  is a block sequence of finite subsets of  $\bigcup_n I_n = \mathbb{N}$ . Then

$$\| \sum_{n} a_{n} x_{n} \|_{\mathcal{F}, p}^{p} \ge \sum_{i=1}^{l} \| F_{i} (\sum_{n} a_{n} x_{n}) \|_{\mathcal{F}}^{p} = \sum_{i=1}^{l} \| \sum_{n \in E_{i}} a_{n} x_{n} \|_{\mathcal{F}}^{p} \ge$$

$$\ge \sum_{i=1}^{l} \left( \sum_{k \in s_{i}} |(\sum_{n \in E_{i}} a_{n} x_{n})_{k}| \right)^{p} \ge \sum_{i=1}^{l} (\lambda \sum_{n \in E_{i} \cap t_{i}} |a_{n}|)^{p} = \lambda^{p} \| \sum_{n} a_{n} u_{n} \|_{\mathfrak{S}, p}^{p}.$$

For the other inequality, let  $(F_i)_{i=1}^l$  be a block sequence such that

$$\|\sum_{n} a_{n} x_{n}\|_{\mathcal{F}, p}^{p} = \sum_{i=1}^{l} \|F_{i} x\|_{\mathcal{F}}^{p}.$$
(4.14)

For each  $i=1,\ldots,l$ , let  $s_i\in\mathcal{F}$  be such that  $\|F_ix\|_{\mathcal{F}}=\sum_{k\in s_i}|(F_ix)_k|$ , and  $E_i:=\{n\in\mathbb{N}:F_i\cap I_n\neq\emptyset\}$ . Then, setting  $t_i:=s_i[+]\in\mathfrak{S}$ , we have that

$$||F_i x||_{\mathcal{F}, p} = \sum_{n \in s_i[+] \cap E_i} |a_n| \frac{\#((s_i \cap F_i) \cap I_n)}{\#I_n} \le \sum_{n \in s_i[+]} |(E_i u)_n| \le ||E_i u||_{\mathfrak{S}}.$$
(4.15)

Since  $(E_i)_{i=1}^l$  is a block sequence it follows that

$$\|\sum_{n} a_{n} u_{n}\|_{\mathfrak{S}, p}^{p} \ge \sum_{i=1}^{l} \|E_{i} u\|_{\mathfrak{S}}^{p} \ge \sum_{i=1}^{l} \|F_{i} x\|_{\mathcal{F}}^{p} = \|\sum_{n} a_{n} x_{n}\|_{\mathcal{F}, p}^{p}.$$

There is another, more general, approach to find a reflexive counterexample to Question 1. This can be done by considering the interpolation space  $\Delta_p(W, X)$ , 1 , where W is the closed absolute convex hull of a Banach-Saks subset of X which it is not Banach-Saks itself.

Recall that given a convex, symmetric and bounded subset W of a Banach space X, and  $1 , one defines the Davis-Figiel-Johnson-Pelczynski [10] interpolation space <math>Y := \Delta_p(W, X)$  as the space

$$\{x \in X : ||x||_Y < \infty\},\$$

where

$$||x||_Y := ||(|x|_n)_n||_p$$

and where for each n,

$$|x|_n := \inf\{\lambda > 0 : \frac{x}{\lambda} \in 2^n W + \frac{1}{2^n} B_X\}.$$

The key is the following.

**Lemma 4.15.** A subset A of W is a Banach-Saks subset of X if and only if A is a Banach-Saks subset of  $Y := \Delta_p(W, X)$ .

PROOF. Fix  $A \subseteq W$ , and set  $Y := \Delta_p(W, X)$  Since the identity  $j : Y \to X$  is a bounded operator, it follows that if A is a Banach-Saks subset of Y then A = j(A) is also a Banach-Saks subset of X.

Now suppose that A is a Banach-Saks subset of X. Going towards a contradiction, we fix a weakly convergent sequence  $(x_n)_n$  in A with limit x generating an  $\ell_1$ -spreading model. Let  $\delta$  witnessing that, and set  $y_n := x_n - x \in 2W$  for each n. Observe that it follows from the definition that

(a) For every  $\lambda > 0$  and every  $\varepsilon > 0$  there is  $n_0$  such that for every  $x \in \lambda W$  we have that  $\sum_{n>n_0} |x|_n^p \leq \varepsilon$ .

Since A is Banach-Saks in X, we assume without loss of generality that the sequence  $(y_n)_n$  is uniformly weakly-convergent (to 0). Observe that then

- (b) For every  $\varepsilon > 0$  there is n such that if #s = n, then  $\|\sum_{n \in s} y_n\|_X \le \varepsilon \#s$ . Consequently,
- (c) For every  $\varepsilon > 0$  and r there is m such that if #s = m, then  $\sum_{n \le r} |\sum_{k \in s} y_k|^p \le \varepsilon$ . Now let  $k \in \mathbb{N}$  be such that  $k^{1/p} < \delta k$ , and  $\varepsilon > 0$  such that  $k^{1/p} + \varepsilon < \delta k$ . Using (a) and (c) above we can find finite sets  $s_1 < \ldots s_n$  such that

- (d)  $s = \bigcup_{i=1}^n s_i \in \mathcal{S}$ .
- (e) Setting  $z_i := (1/\#s_i) \sum_{k \in s_i} y_k$  for each i = 1, ..., k, then there is a block sequence  $(v_i)_{i=1}^k$  in  $\ell_p$  such that  $||v_i||_p \le 1$ , i = 1, ..., k, and such that

$$\|(|z_1 + \dots + z_k|_n)_n - (v_1 + \dots + v_k)\|_p \le \varepsilon.$$
 (4.16)

It follows then from (d), (e) and the fact that  $(y_n)_n$  generates an  $\ell_1$ -spreading model with constant  $\delta$  that

$$\delta k \le \|\frac{1}{k} \sum_{i=1}^k z_i\|_Y \le \|v_1 + \dots + v_k\|_p + \varepsilon \le k^{\frac{1}{p}} + \varepsilon < \delta k,$$

a contradiction.

Let now  $X := X_{\mathcal{F}}$  where  $\mathcal{F}$  is a T-family, let W be the closed absolute convex hull of the unit basis  $\{u_n\}_n$  of  $X_{\mathcal{F}}$ 

**Proposition 4.16.** The interpolation space  $Y := \Delta_p(W, X_{\mathcal{F}})$ , 1 , is a reflexive space with a weakly-null sequence which is a Banach-Saks subset of <math>Y, but its convex hull is not.  $\square$ 

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