

# On Banach spaces which are weak\* sequentially dense in its bidual

José Rodríguez

Universidad de Murcia

**Workshop on Banach spaces and Banach lattices**  
*Madrid, September 9, 2019*

Research supported by  
Agencia Estatal de Investigación/FEDER (MTM2017-86182-P) and Fundación Séneca (20797/PI/18)

Throughout this talk  $X$  is a Banach space.

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ .

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

### Notation

$X \in \mathfrak{GD}$  iff  $X$  is  $w^*$ -**sequentially** dense in  $X^{**}$ .

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

### Notation

$X \in \mathcal{GD}$  iff  $X$  is  $w^*$ -**sequentially** dense in  $X^{**}$ .

$X \in \mathcal{GD}$  if

- $X$  is reflexive (obvious)

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

### Notation

$X \in \mathcal{GD}$  iff  $X$  is  $w^*$ -**sequentially** dense in  $X^{**}$ .

### $X \in \mathcal{GD}$ if

- $X$  is reflexive (obvious)
- $X^*$  is separable  
[  $\iff (B_{X^{**}}, w^*)$  is metrizable ]

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

### Notation

$X \in \mathcal{GU}$  iff  $X$  is  $w^*$ -**sequentially** dense in  $X^{**}$ .

### $X \in \mathcal{GU}$ if

- $X$  is reflexive (obvious)
- $X^*$  is separable  
[  $\iff (B_{X^{**}}, w^*)$  is metrizable ]

$(B_{X^{**}}, w^*)$  is Fréchet-Urysohn  $\implies X \in \mathcal{GU}$

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

### Notation

$X \in \mathfrak{GD}$  iff  $X$  is  $w^*$ -**sequentially** dense in  $X^{**}$ .

#### $X \in \mathfrak{GD}$ if

- $X$  is reflexive (obvious)
- $X^*$  is separable  
[  $\iff (B_{X^{**}}, w^*)$  is metrizable ]

#### $X \notin \mathfrak{GD}$ if

- $X$  is weakly sequentially complete and non-reflexive (e.g.  $\ell_1$  and  $L_1$ )

$(B_{X^{**}}, w^*)$  is Fréchet-Urysohn  $\implies X \in \mathfrak{GD}$

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

### Notation

$X \in \mathfrak{GD}$  iff  $X$  is  $w^*$ -**sequentially** dense in  $X^{**}$ .

#### $X \in \mathfrak{GD}$ if

- $X$  is reflexive (obvious)
- $X^*$  is separable  
[  $\iff (B_{X^{**}}, w^*)$  is metrizable ]

#### $X \notin \mathfrak{GD}$ if

- $X$  is weakly sequentially complete and non-reflexive (e.g.  $\ell_1$  and  $L_1$ )
- $X = c_0(\Gamma)$  for uncountable  $\Gamma$

$(B_{X^{**}}, w^*)$  is Fréchet-Urysohn  $\implies X \in \mathfrak{GD}$

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

### Notation

$X \in \mathfrak{GD}$  iff  $X$  is  $w^*$ -**sequentially** dense in  $X^{**}$ .

#### $X \in \mathfrak{GD}$ if

- $X$  is reflexive (obvious)
- $X^*$  is separable  
[  $\iff (B_{X^{**}}, w^*)$  is metrizable ]

#### $X \notin \mathfrak{GD}$ if

- $X$  is weakly sequentially complete and non-reflexive (e.g.  $\ell_1$  and  $L_1$ )
- $X = c_0(\Gamma)$  for uncountable  $\Gamma$

$(B_{X^{**}}, w^*)$  is Fréchet-Urysohn  $\implies X \in \mathfrak{GD} \implies X \not\cong \ell_1$ .

Throughout this talk  $X$  is a Banach space.

### Goldstine's theorem

$B_X$  is  $w^*$ -dense in  $B_{X^{**}}$ . Therefore,  $X$  is  $w^*$ -dense in  $X^{**}$ .

### Notation

$X \in \mathfrak{GD}$  iff  $X$  is  $w^*$ -**sequentially** dense in  $X^{**}$ .

#### $X \in \mathfrak{GD}$ if

- $X$  is reflexive (obvious)
- $X^*$  is separable  
[  $\iff (B_{X^{**}}, w^*)$  is metrizable ]

#### $X \notin \mathfrak{GD}$ if

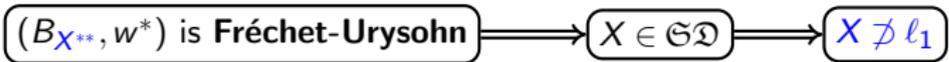
- $X$  is weakly sequentially complete and non-reflexive (e.g.  $\ell_1$  and  $L_1$ )
- $X = c_0(\Gamma)$  for uncountable  $\Gamma$

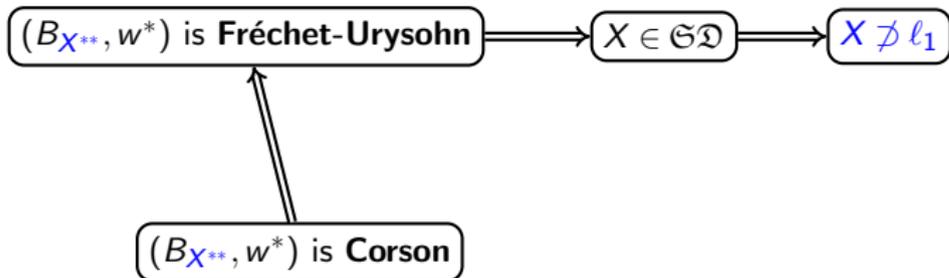
$(B_{X^{**}}, w^*)$  is Fréchet-Urysohn  $\implies X \in \mathfrak{GD} \implies X \not\cong \ell_1$ .

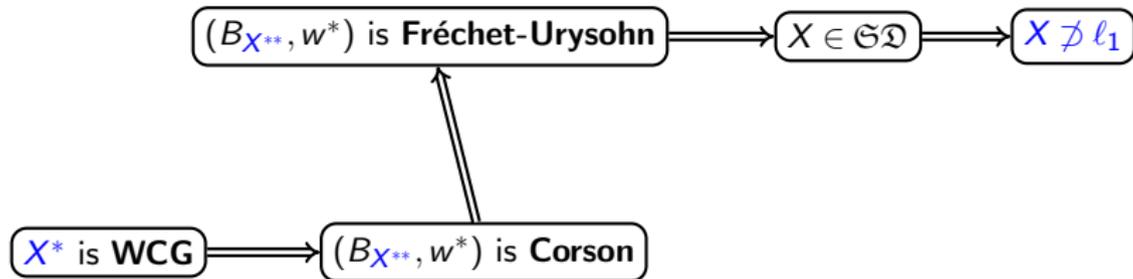
### Theorem (Odell-Rosenthal, Bourgain-Fremlin-Talagrand)

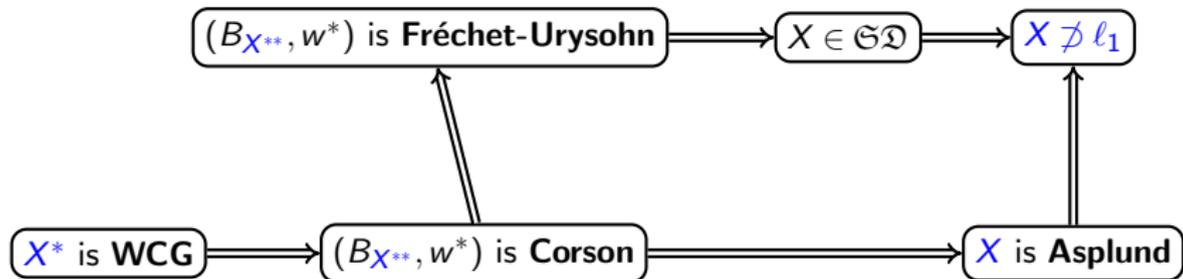
Suppose  $X$  is **separable**. Then:

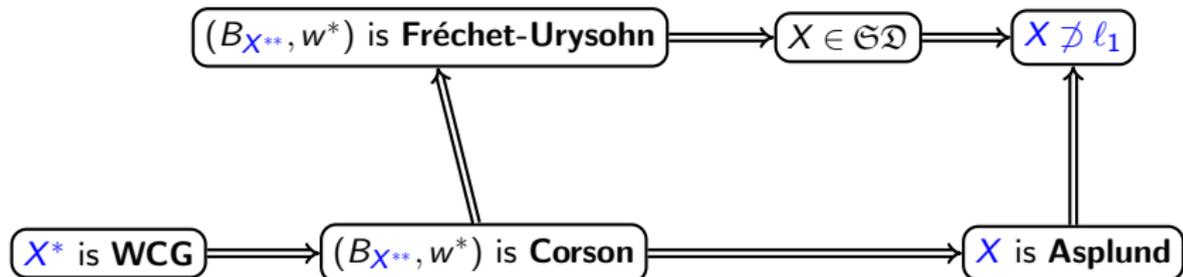
$(B_{X^{**}}, w^*)$  is Fréchet-Urysohn  $\iff X \in \mathfrak{GD} \iff X \not\cong \ell_1$ .





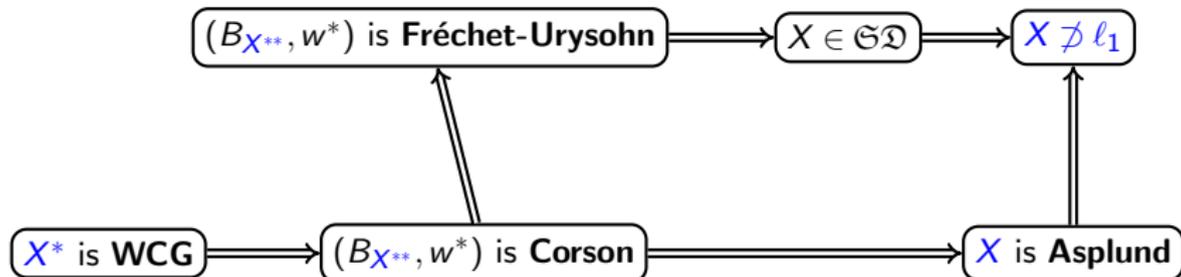






Theorem (Deville-Godefroy, Orihuela)

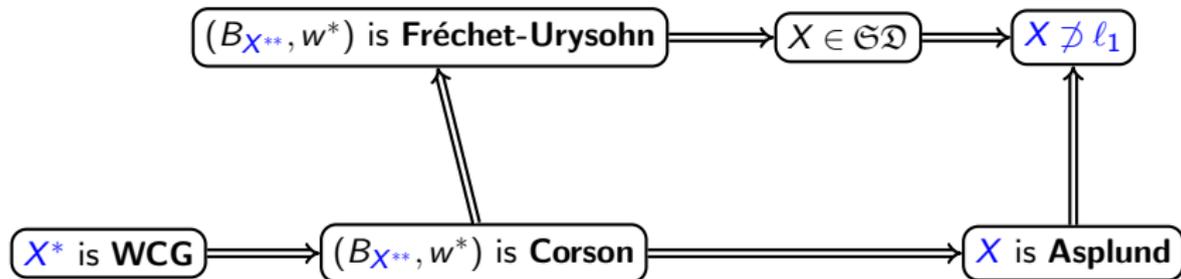
$(B_{X^{**}}, w^*)$  is Corson  $\iff X \in \mathcal{GD}$  and  $X$  is Asplund.



Theorem (Deville-Godefroy, Orihuela)

$(B_{X^{**}}, w^*)$  is Corson  $\iff X \in \mathfrak{GD}$  and  $X$  is Asplund.

A **Banach lattice**  $X$  is Asplund if and only if  $X \not\cong \ell_1$ .



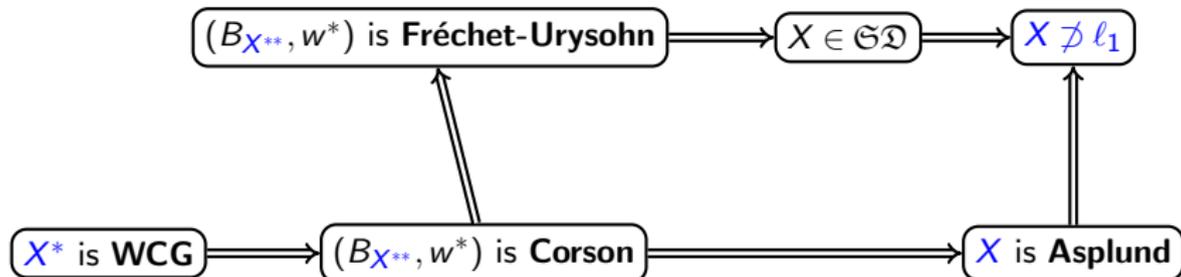
Theorem (Deville-Godefroy, Orihuela)

$(B_{X^{**}}, w^*)$  is Corson  $\iff X \in \mathfrak{GD}$  and  $X$  is Asplund.

A **Banach lattice**  $X$  is Asplund if and only if  $X \not\cong \ell_1$ .

Corollary

Suppose  $X$  is a **Banach lattice**. Then  $(B_{X^{**}}, w^*)$  is Corson  $\iff X \in \mathfrak{GD}$ .



Theorem (Deville-Godefroy, Orihuela)

$(B_{X^{**}}, w^*)$  is Corson  $\iff X \in \mathfrak{GD}$  and  $X$  is Asplund.

A **Banach lattice**  $X$  is Asplund if and only if  $X \not\cong \ell_1$ .

Corollary

Suppose  $X$  is a **Banach lattice**. Then  $(B_{X^{**}}, w^*)$  is Corson  $\iff X \in \mathfrak{GD}$ .

Question

$X \in \mathfrak{GD} \implies (B_{X^{**}}, w^*)$  is Fréchet-Urysohn ???

## "Vague" question

Which topological properties does  $(B_{X^*}, w^*)$  enjoy whenever  $X \in \mathfrak{GD}$  ???

## "Vague" question

Which topological properties does  $(B_{X^*}, w^*)$  enjoy whenever  $X \in \mathfrak{GD}$  ???

Given a set  $A \subset X^*$ , we write

$$S_1(A) := \{x^* \in X^* : \exists (x_n^*) \subset A \text{ such that } w^* - \lim_{n \rightarrow \infty} x_n^* = x^*\}.$$

## "Vague" question

Which topological properties does  $(B_{X^*}, w^*)$  enjoy whenever  $X \in \mathfrak{GD}$  ???

Given a set  $A \subset X^*$ , we write

$$S_1(A) := \{x^* \in X^* : \exists (x_n^*) \subset A \text{ such that } w^* - \lim_{n \rightarrow \infty} x_n^* = x^*\}.$$

### Definition

We say that  $(B_{X^*}, w^*)$  is

- 1 **Efremov** iff  $S_1(C) = \overline{C}^{w^*}$  for every **convex** set  $C \subset B_{X^*}$ ;

## "Vague" question

Which topological properties does  $(B_{X^*}, w^*)$  enjoy whenever  $X \in \mathfrak{GD}$  ???

Given a set  $A \subset X^*$ , we write

$$S_1(A) := \{x^* \in X^* : \exists (x_n^*) \subset A \text{ such that } w^* \text{-} \lim_{n \rightarrow \infty} x_n^* = x^*\}.$$

### Definition

We say that  $(B_{X^*}, w^*)$  is

- 1 **Efremov** iff  $S_1(C) = \overline{C}^{w^*}$  for every **convex** set  $C \subset B_{X^*}$ ;
- 2 **convexly sequential** iff for every **convex** set  $C \subset B_{X^*}$  we have

$$S_1(C) = C \implies C \text{ is } w^* \text{-closed};$$

## "Vague" question

Which topological properties does  $(B_{X^*}, w^*)$  enjoy whenever  $X \in \mathfrak{GD}$  ???

Given a set  $A \subset X^*$ , we write

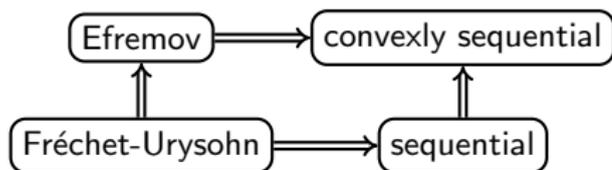
$$S_1(A) := \{x^* \in X^* : \exists (x_n^*) \subset A \text{ such that } w^* \text{-} \lim_{n \rightarrow \infty} x_n^* = x^*\}.$$

### Definition

We say that  $(B_{X^*}, w^*)$  is

- 1 **Efremov** iff  $S_1(C) = \overline{C}^{w^*}$  for every **convex** set  $C \subset B_{X^*}$ ;
- 2 **convexly sequential** iff for every **convex** set  $C \subset B_{X^*}$  we have

$$S_1(C) = C \implies C \text{ is } w^* \text{-closed};$$



## "Vague" question

Which topological properties does  $(B_{X^*}, w^*)$  enjoy whenever  $X \in \mathfrak{GD}$  ???

Given a set  $A \subset X^*$ , we write

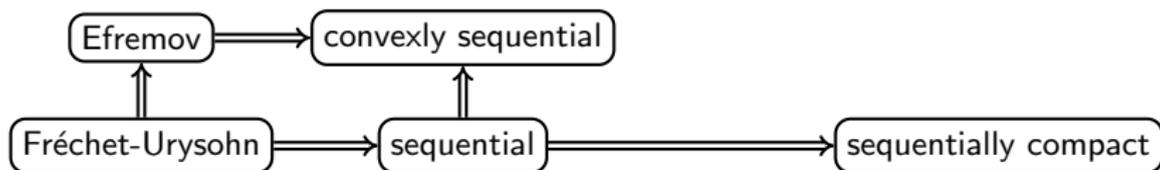
$$S_1(A) := \{x^* \in X^* : \exists (x_n^*) \subset A \text{ such that } w^* \text{-} \lim_{n \rightarrow \infty} x_n^* = x^*\}.$$

### Definition

We say that  $(B_{X^*}, w^*)$  is

- 1 **Efremov** iff  $S_1(C) = \overline{C}^{w^*}$  for every **convex** set  $C \subset B_{X^*}$ ;
- 2 **convexly sequential** iff for every **convex** set  $C \subset B_{X^*}$  we have

$$S_1(C) = C \implies C \text{ is } w^* \text{-closed};$$



## "Vague" question

Which topological properties does  $(B_{X^*}, w^*)$  enjoy whenever  $X \in \mathfrak{GD}$  ???

Given a set  $A \subset X^*$ , we write

$$S_1(A) := \{x^* \in X^* : \exists (x_n^*) \subset A \text{ such that } w^* \text{-} \lim_{n \rightarrow \infty} x_n^* = x^*\}.$$

### Definition

We say that  $(B_{X^*}, w^*)$  is

- 1 **Efremov** iff  $S_1(C) = \overline{C}^{w^*}$  for every **convex** set  $C \subset B_{X^*}$ ;
- 2 **convexly sequential** iff for every **convex** set  $C \subset B_{X^*}$  we have

$$S_1(C) = C \implies C \text{ is } w^* \text{-closed};$$

- 3 **convex block compact** iff every sequence in  $B_{X^*}$  admits a  $w^*$ -convergent **convex block** subsequence.



## "Vague" question

Which topological properties does  $(B_{X^*}, w^*)$  enjoy whenever  $X \in \mathfrak{GD}$  ???

Given a set  $A \subset X^*$ , we write

$$S_1(A) := \{x^* \in X^* : \exists (x_n^*) \subset A \text{ such that } w^* \text{-} \lim_{n \rightarrow \infty} x_n^* = x^*\}.$$

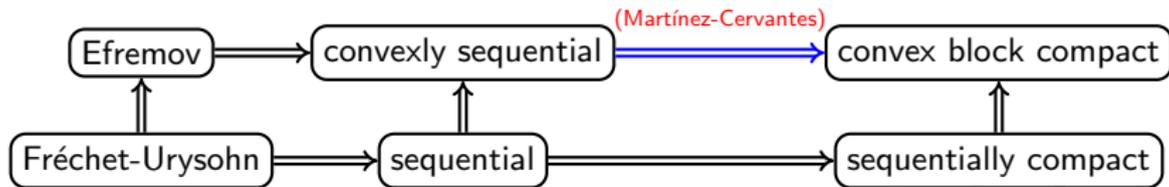
### Definition

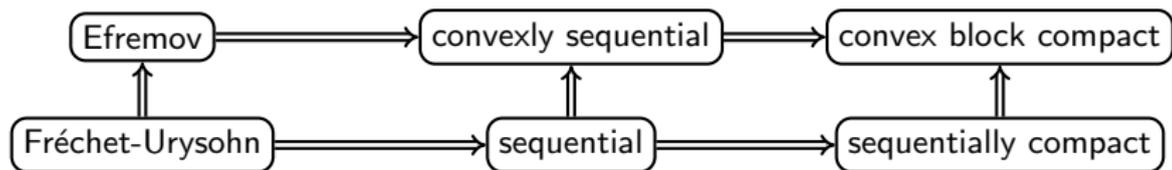
We say that  $(B_{X^*}, w^*)$  is

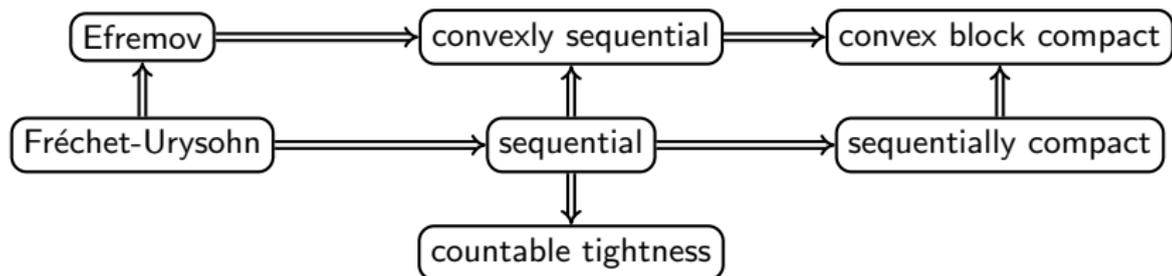
- 1 **Efremov** iff  $S_1(C) = \overline{C}^{w^*}$  for every **convex** set  $C \subset B_{X^*}$ ;
- 2 **convexly sequential** iff for every **convex** set  $C \subset B_{X^*}$  we have

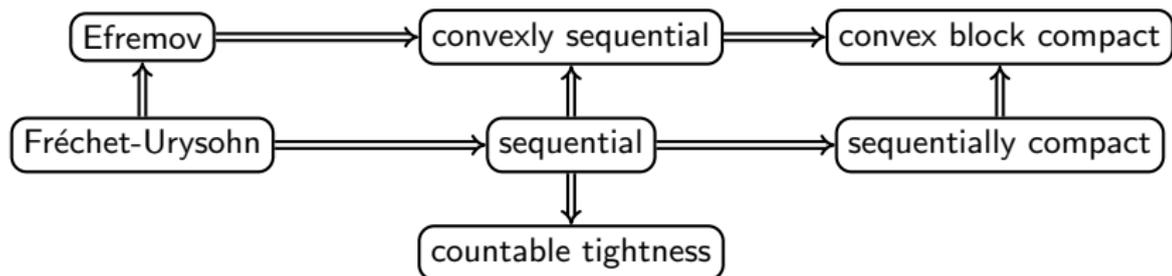
$$S_1(C) = C \implies C \text{ is } w^* \text{-closed};$$

- 3 **convex block compact** iff every sequence in  $B_{X^*}$  admits a  $w^*$ -convergent **convex block** subsequence.



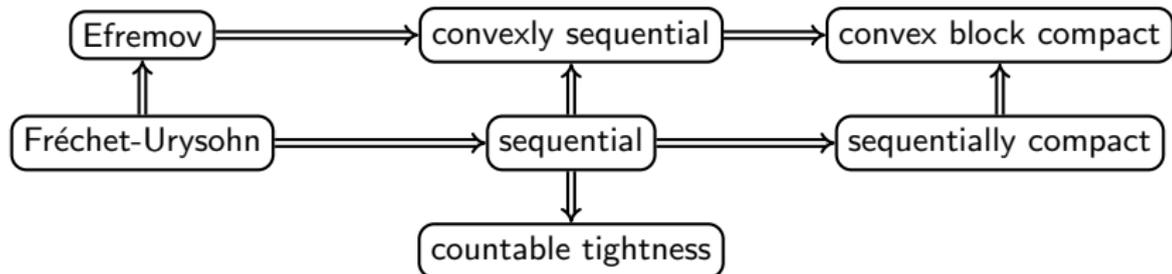






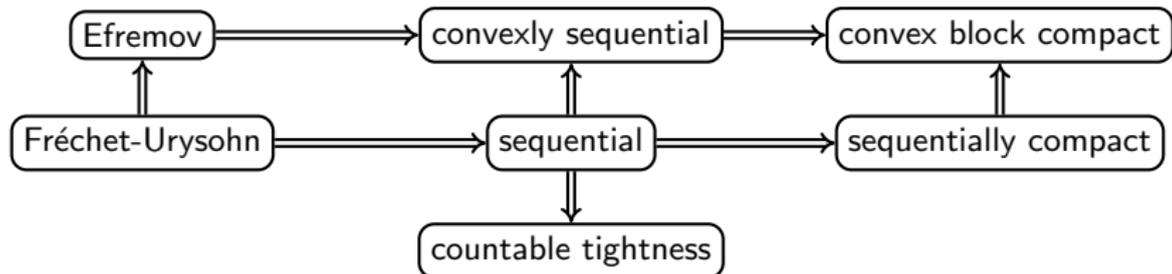
### Example

Let  $X = JL_2(\mathcal{F})$  be the **Johnson-Lindenstrauss space** associated to a MAD family  $\mathcal{F}$ . Then  $X \in \mathfrak{GD}$



### Example

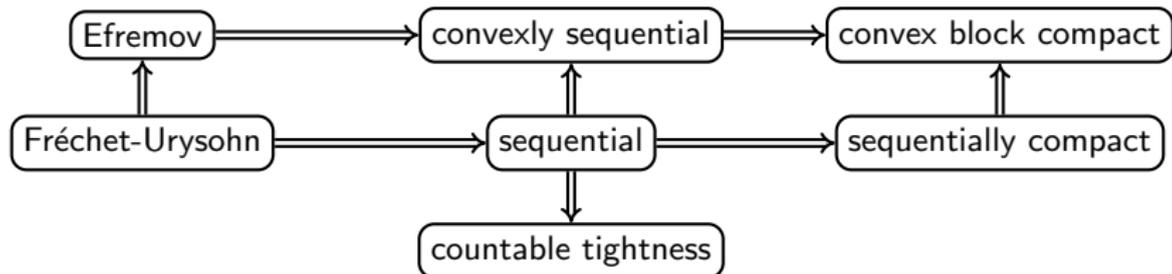
Let  $X = JL_2(\mathcal{F})$  be the **Johnson-Lindenstrauss space** associated to a MAD family  $\mathcal{F}$ . Then  $X \in \mathfrak{GD}$  and  $(B_{X^*}, w^*)$  is **not** Fréchet-Urysohn.



### Example

Let  $X = JL_2(\mathcal{F})$  be the **Johnson-Lindenstrauss space** associated to a MAD family  $\mathcal{F}$ . Then  $X \in \mathcal{GD}$  and  $(B_{X^*}, w^*)$  is **not** Fréchet-Urysohn. Moreover:

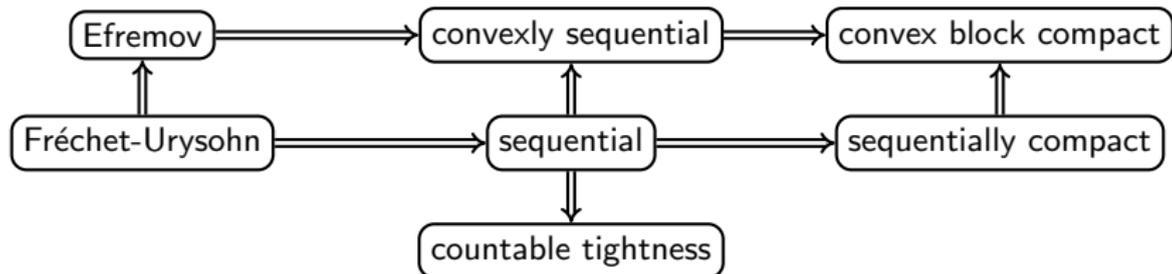
- $(B_{X^*}, w^*)$  is sequential (**Martínez-Cervantes**);



## Example

Let  $X = JL_2(\mathcal{F})$  be the **Johnson-Lindenstrauss space** associated to a MAD family  $\mathcal{F}$ . Then  $X \in \mathfrak{GD}$  and  $(B_{X^*}, w^*)$  is **not** Fréchet-Urysohn. Moreover:

- $(B_{X^*}, w^*)$  is sequential (**Martínez-Cervantes**);
- Under CH, there exist MAD families  $\mathcal{F}$  for which  $(B_{X^*}, w^*)$  is/isn't Efremov (**Avilés, Martínez-Cervantes, R.**).



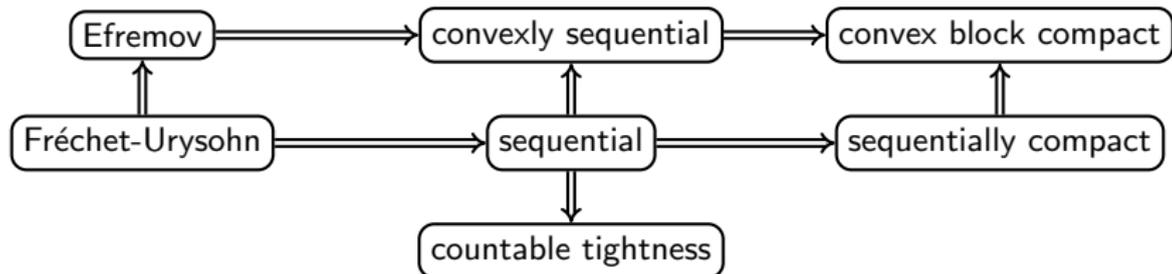
### Example

Let  $X = JL_2(\mathcal{F})$  be the **Johnson-Lindenstrauss space** associated to a MAD family  $\mathcal{F}$ . Then  $X \in \mathfrak{GD}$  and  $(B_{X^*}, w^*)$  is **not** Fréchet-Urysohn. Moreover:

- $(B_{X^*}, w^*)$  is sequential (Martínez-Cervantes);
- Under CH, there exist MAD families  $\mathcal{F}$  for which  $(B_{X^*}, w^*)$  is/isn't Efremov (Avilés, Martínez-Cervantes, R.).

### Theorem

If  $X \in \mathfrak{GD}$ , then  $(B_{X^*}, w^*)$  has countable tightness [Hernández-Rubio]



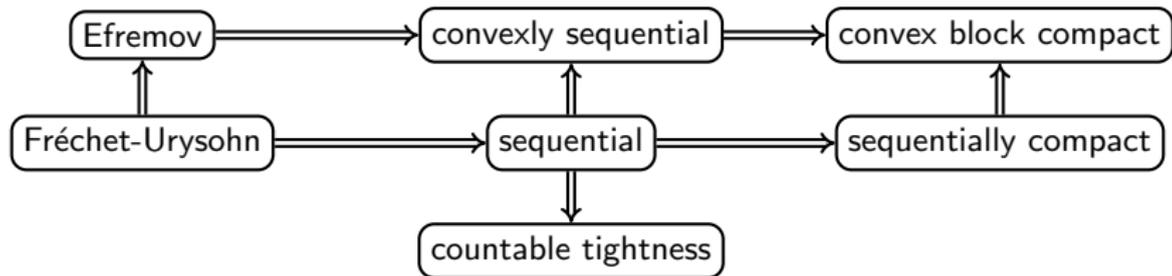
### Example

Let  $X = JL_2(\mathcal{F})$  be the **Johnson-Lindenstrauss space** associated to a MAD family  $\mathcal{F}$ . Then  $X \in \mathfrak{GD}$  and  $(B_{X^*}, w^*)$  is **not** Fréchet-Urysohn. Moreover:

- $(B_{X^*}, w^*)$  is sequential (Martínez-Cervantes);
- Under CH, there exist MAD families  $\mathcal{F}$  for which  $(B_{X^*}, w^*)$  is/isn't Efremov (Avilés, Martínez-Cervantes, R.).

### Theorem

If  $X \in \mathfrak{GD}$ , then  $(B_{X^*}, w^*)$  has countable tightness [Hernández-Rubio] and is convexly sequential [Avilés, Martínez-Cervantes, R.].



### Example

Let  $X = JL_2(\mathcal{F})$  be the **Johnson-Lindenstrauss space** associated to a MAD family  $\mathcal{F}$ . Then  $X \in \mathfrak{GD}$  and  $(B_{X^*}, w^*)$  is **not** Fréchet-Urysohn. Moreover:

- $(B_{X^*}, w^*)$  is sequential (Martínez-Cervantes);
- Under CH, there exist MAD families  $\mathcal{F}$  for which  $(B_{X^*}, w^*)$  is/isn't Efremov (Avilés, Martínez-Cervantes, R.).

### Theorem

If  $X \in \mathfrak{GD}$ , then  $(B_{X^*}, w^*)$  has countable tightness [Hernández-Rubio] and is convexly sequential [Avilés, Martínez-Cervantes, R.].

### Question

$X \in \mathfrak{GD} \implies (B_{X^*}, w^*)$  is sequential or sequentially compact ???

$X \in \mathfrak{GD} \implies (B_{X^*}, w^*)$  is convexly sequential.

**Ingredients:**

$X \in \mathfrak{GD} \implies (B_{X^*}, w^*)$  is convexly sequential.

### Ingredients:

#### Theorem (Bourgain)

$X \not\cong l_1 \implies (B_{X^*}, w^*)$   
is convex block compact.

$X \in \mathfrak{GD} \implies (B_{X^*}, w^*)$  is convexly sequential.

### Ingredients:

#### Theorem (Bourgain)

$X \not\cong l_1 \implies (B_{X^*}, w^*)$   
is convex block compact.

#### Definition

Let  $K \subset X^*$  be convex  $w^*$ -compact.  
A set  $B \subset K$  is a **boundary** of  $K$  iff  
 $\forall x \in X \exists x_0^* \in B$  such that  
 $x_0^*(x) = \sup\{x^*(x) : x^* \in K\}$ .

$X \in \mathfrak{GD} \implies (B_{X^*}, w^*)$  is convexly sequential.

### Ingredients:

#### Theorem (Bourgain)

$X \not\cong \ell_1 \implies (B_{X^*}, w^*)$   
is convex block compact.

#### Definition

Let  $K \subset X^*$  be convex  $w^*$ -compact.  
A set  $B \subset K$  is a **boundary** of  $K$  iff  
 $\forall x \in X \exists x_0^* \in B$  such that  
 $x_0^*(x) = \sup\{x^*(x) : x^* \in K\}$ .

#### Theorem (Efremov, Godefroy)

$X \in \mathfrak{GD} \implies K = \overline{\text{conv}(B)}^{\|\cdot\|}$   
for all  $K$  and  $B$  as above.

$X \in \mathfrak{GD} \implies (B_{X^*}, w^*)$  is convexly sequential.

### Ingredients:

#### Theorem (Bourgain)

$X \not\cong \ell_1 \implies (B_{X^*}, w^*)$   
is convex block compact.

#### Definition

Let  $K \subset X^*$  be convex  $w^*$ -compact.  
A set  $B \subset K$  is a **boundary** of  $K$  iff  
 $\forall x \in X \exists x_0^* \in B$  such that  
 $x_0^*(x) = \sup\{x^*(x) : x^* \in K\}$ .

#### Theorem (Efremov, Godefroy)

$X \in \mathfrak{GD} \implies K = \overline{\text{conv}(B)}^{\|\cdot\|}$   
for all  $K$  and  $B$  as above.

### Sketch of proof of the implication:

Take  $C \subset B_{X^*}$  convex.

CLAIM:  $\overline{C}^{w^*} = S_1(S_1(C))$ .

Why?

$X \in \mathfrak{GD} \implies (B_{X^*}, w^*)$  is convexly sequential.

### Ingredients:

#### Theorem (Bourgain)

$X \not\cong \ell_1 \implies (B_{X^*}, w^*)$   
is convex block compact.

#### Definition

Let  $K \subset X^*$  be convex  $w^*$ -compact.  
A set  $B \subset K$  is a **boundary** of  $K$  iff  
 $\forall x \in X \quad \exists x_0^* \in B$  such that  
 $x_0^*(x) = \sup\{x^*(x) : x^* \in K\}$ .

#### Theorem (Efremov, Godefroy)

$X \in \mathfrak{GD} \implies K = \overline{\text{conv}(B)}^{\|\cdot\|}$   
for all  $K$  and  $B$  as above.

### Sketch of proof of the implication:

Take  $C \subset B_{X^*}$  convex.

CLAIM:  $\overline{C}^{w^*} = S_1(S_1(C))$ .

Why?

- $S_1(C)$  is a boundary of  $\overline{C}^{w^*}$ .

$X \in \mathfrak{GD} \implies (B_{X^*}, w^*)$  is convexly sequential.

### Ingredients:

#### Theorem (Bourgain)

$X \not\in \ell_1 \implies (B_{X^*}, w^*)$   
is convex block compact.

#### Definition

Let  $K \subset X^*$  be convex  $w^*$ -compact.  
A set  $B \subset K$  is a **boundary** of  $K$  iff  
 $\forall x \in X \exists x_0^* \in B$  such that  
 $x_0^*(x) = \sup\{x^*(x) : x^* \in K\}$ .

#### Theorem (Efremov, Godefroy)

$X \in \mathfrak{GD} \implies K = \overline{\text{conv}(B)}^{\|\cdot\|}$   
for all  $K$  and  $B$  as above.

### Sketch of proof of the implication:

Take  $C \subset B_{X^*}$  convex.

CLAIM:  $\overline{C}^{w^*} = S_1(S_1(C))$ .

Why?

- $S_1(C)$  is a boundary of  $\overline{C}^{w^*}$ .
- $\overline{C}^{w^*} = \overline{S_1(C)}^{\|\cdot\|} \subset S_1(S_1(C))$ .