

Factorization of operators on Banach (function) spaces

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September 9, 2019

Joint work with Nigel Kalton and Lutz Weis

Euclidean structures

- Project started in the early 2000s
- Understand the role \mathcal{R} -boundedness from an abstract operator-theoretic viewpoint
- Connected to completely bounded maps
- Project on hold since Nigel passed away
- Studied and improved/extended parts of the manuscript in 2016
- Joined the project in 2018, rewrote and modernized the manuscript
- Euclidean structures
 - Part I: Representation of operator families on a Hilbert space
 - Part II: Factorization of operator families
 - Part III: Vector-valued function spaces
 - Part IV: Sectorial operators and H^∞ -calculus
 - Part V: Counterexamples
- Project to be finished this fall



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Definition

Let X be a Banach space and $\Gamma \subseteq \mathcal{L}(X)$. Then we say that Γ is \mathcal{R} -bounded if for any finite sequences $(T_k)_{k=1}^n$ in Γ and $(x_k)_{k=1}^n$ in X

$$\left(\mathbb{E} \left\| \sum_{k=1}^n \varepsilon_k T_k x_k \right\|_X^2 \right)^{1/2} \leq C \left(\mathbb{E} \left\| \sum_{k=1}^n \varepsilon_k x_k \right\|_X^2 \right)^{1/2},$$

where $(\varepsilon_k)_{k=1}^n$ is a sequence of independent Rademacher variables.

- \mathcal{R} -boundedness is a strengthening of uniform boundedness.
 - Equivalent to uniform boundedness on Hilbert spaces.
- \mathcal{R} -boundedness plays a (key) role in e.g.
 - Schauder multipliers
 - Operator-valued Fourier multiplier theory
 - Functional calculus
 - Maximal regularity of PDE's
 - Stochastic integration in Banach spaces
- $\left(\mathbb{E} \left\| \sum_{k=1}^n \varepsilon_k x_k \right\|^2 \right)^{1/2}$ is a norm on X^n .
 - A Euclidean structure is such a norm with a left and right ideal property.

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Definition

Let X be a Banach space. A *Euclidean structure* α is a family of norms $\|\cdot\|_\alpha$ on X^n for all $n \in \mathbb{N}$ such that

$$\begin{aligned}\|(x)\|_\alpha &= \|x\|_X, & x &\in X, \\ \|\mathbf{A}\mathbf{x}\|_\alpha &\leq \|\mathbf{A}\| \|\mathbf{x}\|_\alpha, & \mathbf{x} &\in X^n, \quad \mathbf{A} \in M_{m,n}(\mathbb{C}), \\ \|(T_{x_1}, \dots, T_{x_n})\|_\alpha &\leq C \|T\| \|\mathbf{x}\|_\alpha, & \mathbf{x} &\in X^n, \quad T \in \mathcal{L}(X),\end{aligned}$$

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Non-example:

- On a Banach space X :

$$\|\mathbf{x}\|_{\mathcal{R}} := \left(\mathbb{E} \left\| \sum_{k=1}^n \varepsilon_k x_k \right\|_X^2 \right)^{\frac{1}{2}}, \quad \mathbf{x} \in X^n,$$

is **not** a Euclidean structure. It fails the right-ideal property.

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Examples:

- On any Banach space X : The *Gaussian structure*

$$\|\mathbf{x}\|_\gamma := \left(\mathbb{E} \left\| \sum_{k=1}^n \gamma_k x_k \right\|_X^2 \right)^{\frac{1}{2}}, \quad \mathbf{x} \in X^n,$$

where $(\gamma_k)_{k=1}^n$ is a sequence of independent normalized Gaussians.

- On a Banach lattice X : The ℓ^2 -structure

$$\|\mathbf{x}\|_{\ell^2} := \left\| \left(\sum_{k=1}^n |x_k|^2 \right)^{1/2} \right\|_X, \quad \mathbf{x} \in X^n.$$

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Let X be a Banach space, α an Euclidean structure and $\Gamma \subseteq \mathcal{L}(X)$. Then we say that Γ is α -bounded if for any $\mathbf{T} = \text{diag}(T_1, \dots, T_n)$ with $T_1, \dots, T_n \in \Gamma$

$$\|\mathbf{T}\mathbf{x}\|_{\alpha} \leq C\|\mathbf{x}\|_{\alpha}, \quad \mathbf{x} \in X^n.$$

- α -boundedness implies uniform boundedness
- On a Banach space X with finite cotype

$$\|\mathbf{x}\|_{\gamma} = \left(\mathbb{E} \left\| \sum_{k=1}^n \gamma_k x_k \right\|_X^2 \right)^{\frac{1}{2}} \simeq \left(\mathbb{E} \left\| \sum_{k=1}^n \varepsilon_k x_k \right\|_X^2 \right)^{\frac{1}{2}} = \|\mathbf{x}\|_{\mathcal{R}},$$

so γ -boundedness is equivalent to \mathcal{R} -boundedness

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$$\|\mathbf{x}\|_{\ell^2} = \left\| \left(\sum_{k=1}^n |x_k|^2 \right)^{1/2} \right\|_X \simeq \left(\mathbb{E} \left\| \sum_{k=1}^n \varepsilon_k x_k \right\|_X^2 \right)^{\frac{1}{2}} = \|\mathbf{x}\|_{\mathcal{R}},$$

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so ℓ^2 -boundedness is equivalent to \mathcal{R} -boundedness.

Factorization through a Hilbert space

Theorem (Kwapień '72 and Maurey '74)

Let X and Y be Banach spaces and $T \in \mathcal{L}(X, Y)$. If X has type 2 and Y cotype 2, then there is a Hilbert space H and operators $S \in \mathcal{L}(X, H)$ and $U \in \mathcal{L}(H, Y)$ s.t.

$$T = US.$$

Corollary (Kwapień '72)

Let X be a Banach space. X has type 2 and cotype 2 if and only if X is isomorphic to a Hilbert space.

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Theorem (Kalton–L.–Weis '19)

Let X and Y be Banach spaces, $\Gamma_1 \subseteq \mathcal{L}(X, Y)$. If X has type 2, Y cotype 2 and Γ_1 is γ -bounded, then there is a Hilbert space H , a $\tilde{T} \in \mathcal{L}(X, H)$ for every $T \in \Gamma_1$ and $U \in \mathcal{L}(H, Y)$ s.t. the following diagram commutes:

$$\begin{array}{ccc} X & \xrightarrow{T} & Y \\ & \searrow \tilde{T} & \uparrow U \\ & & H \end{array}$$

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Theorem (Kalton–L.–Weis '19)

Let X and Y be Banach spaces, $\Gamma_1 \subseteq \mathcal{L}(X, Y)$ and $\Gamma_2 \subseteq \mathcal{L}(Y)$. If X has type 2, Y cotype 2 and Γ_1 and Γ_2 are γ -bounded, then there is a Hilbert space H , a $\tilde{T} \in \mathcal{L}(X, H)$ for every $T \in \Gamma_1$, a $\tilde{S} \in \mathcal{L}(H)$ for every $S \in \Gamma_2$ and $U \in \mathcal{L}(H, Y)$ s.t. the following diagram commutes:

$$\begin{array}{ccccc} X & \xrightarrow{T} & Y & \xrightarrow{S} & Y \\ & \searrow \tilde{T} & \uparrow U & & \uparrow U \\ & & H & \xrightarrow{\tilde{S}} & H \end{array}$$

Factorization through weighted L^2

For a measure space (S, Σ, μ) and a weight $w: S \rightarrow \mathbb{R}_+$ let $L^2(S, w)$ be the space of all measurable $f: S \rightarrow \mathbb{C}$ such that

$$\|f\|_{L^2(S, w)} := \left(\int_S |f|^2 w \, d\mu \right)^{1/2} < \infty$$

Theorem (Kalton–L.–Weis '19)

Let X be an order-continuous Banach function space over (S, μ) and let $\Gamma \subseteq \mathcal{L}(X)$. Γ is ℓ^2 -bounded if and only if for any $g_0, g_1 \in X$ there is a weight $w: S \rightarrow \mathbb{R}_+$ s.t.

$$\|Tf\|_{L^2(S, w)} \leq C \|f\|_{L^2(S, w)}, \quad f \in X \cap L^2(S, w), \quad T \in \Gamma$$

$$\|g_0\|_{L^2(S, w)} \leq C \|g_0\|_X,$$

$$\|g_1\|_X \leq C \|g_1\|_{L^2(S, w)}.$$

The if statement is trivial. Indeed for $f_1, \dots, f_n \in X$ and $T_1, \dots, T_n \in \Gamma$ set

$$g_0 := \left(\sum_{k=1}^n |f_k|^2 \right)^{\frac{1}{2}}, \quad g_1 := \left(\sum_{k=1}^n |T_k f_k|^2 \right)^{\frac{1}{2}}$$

Then we have

$$\|Tf\|_{\ell^2}^2 = \|g_1\|_X^2 \leq C^2 \sum_{k=1}^n \int_S |T_k f_k|^2 w \, d\mu \leq C^4 \sum_{k=1}^n \int_S |f_k|^2 w \, d\mu \leq C^6 \|g_0\|_X^2 = \|f\|_{\ell^2}^2$$

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Application: Harmonic analysis

Let $p \in [1, \infty)$. For $f \in L^p(\mathbb{R})$ define the *Hilbert transform*

$$\begin{aligned} Hf(x) &:= \text{p.v.} \frac{1}{\pi} \int_{\mathbb{R}} \frac{1}{x-y} f(y) \, dy, & x \in \mathbb{R}, \\ &= \mathcal{F}^{-1}(\xi \mapsto -i \operatorname{sgn}(\xi) \mathcal{F}f(\xi))(x). \end{aligned}$$

For $f \in L^p(\mathbb{R}^d)$ define the *Hardy–Littlewood maximal operator*

$$Mf(x) := \sup_{r>0} \frac{1}{|B(x, r)|} \int_{\mathbb{R}^d} |f(y)| \, dy, \quad x \in \mathbb{R}^d.$$

- Very important operators in harmonic analysis.
- Both H and M are bounded on L^p for $p \in (1, \infty)$.
- We are interested in the tensor extensions of H and M on the Bochner space $L^p(\mathbb{R}^d; X)$.
- These have numerous applications in both harmonic analysis and PDE.

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$$Mf(x) := \sup_{r>0} \frac{1}{|B(x, r)|} \int_{\mathbb{R}^d} |f(y)| \, dy, \quad x \in \mathbb{R}^d.$$

- Very important operators in harmonic analysis.
- Both H and M are bounded on L^p for $p \in (1, \infty)$.
- We are interested in the tensor extensions of H and M on the Bochner space $L^p(\mathbb{R}^d; X)$.
 - These have numerous applications in both harmonic analysis and PDE.

Application: Harmonic analysis

Let $p \in [1, \infty)$. For $f \in L^p(\mathbb{R})$ define the *Hilbert transform*

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Let $p \in [1, \infty)$ and let X be a Banach space. For $f \in L^p(\mathbb{R}; X)$ define the *vector-valued Hilbert transform*

$$\tilde{H}f(x) := \text{p. v.} \int_{\mathbb{R}} \frac{1}{x-y} f(y) dy, \quad x \in \mathbb{R}$$

where the integral is interpreted in the Bochner sense.

Let X be a Banach *function* space. For $f \in L^p(\mathbb{R}^d; X)$ define the *lattice Hardy–Littlewood maximal operator*

$$\tilde{M}f(x) := \sup_{r>0} \frac{1}{|B(x, r)|} \int_{\mathbb{R}^d} |f(y)| dy, \quad x \in \mathbb{R}^d,$$

where the supremum is taken in the lattice sense.

- Boundedness of \tilde{H} is independent of $p \in (1, \infty)$ (Calderón–Benedek–Panzione '62).
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Theorem (Burkholder '83 and Bourgain '83)

Let X be a Banach space and $p \in (1, \infty)$. The following are equivalent:

- (i) X has the UMD property.
 - (ii) \tilde{H} is bounded on $L^p(\mathbb{R}; X)$.
- X has the UMD property if any finite martingale $(f_k)_{k=0}^n$ in $L^p(\Omega; X)$ has Unconditional Martingale Differences $(df_k)_{k=1}^n$.

Theorem (Bourgain '84 and Rubio de Francia '86)

Let X be a Banach function space and $p \in (1, \infty)$. The following is equivalent to (i) and (ii)

- (iii) \tilde{M} is bounded on $L^p(\mathbb{R}^d; X)$ and on $L^p(\mathbb{R}^d; X^*)$.
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Theorem (Kalton–L.–Weis '19)

Let X be a Banach function space on (S, μ) and $p \in (1, \infty)$. If \tilde{H} is bounded on $L^p(\mathbb{R}; X)$, then \tilde{M} is bounded on $L^p(\mathbb{R}; X)$ with

$$\|\tilde{M}\|_{L^p(\mathbb{R}; X) \rightarrow L^p(\mathbb{R}; X)} \leq C \|\tilde{H}\|_{L^p(\mathbb{R}; X) \rightarrow L^p(\mathbb{R}; X)}^2$$

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Application: Banach space geometry

Let X be a Banach space and $p \in (1, \infty)$. Let $(f_k)_{k=0}^n$ be a finite martingale in $L^p(\Omega; X)$ with difference sequence $(df_k)_{k=1}^n$. Then X has the UMD property if and only if for all signs $\epsilon_k = \pm 1$ we have

$$\left\| \sum_{k=1}^n \epsilon_k df_k \right\|_{L^p(\Omega; X)} \stackrel{(1)}{\lesssim} \left\| \sum_{k=1}^n df_k \right\|_{L^p(\Omega; X)},$$

if and only if

$$\left\| \sum_{k=1}^n df_k \right\|_{L^p(\Omega; X)} \stackrel{(2)}{\lesssim} \left\| \sum_{k=1}^n \epsilon_k df_k \right\|_{L^p(\Omega \times \Omega'; X)} \stackrel{(3)}{\lesssim} \left\| \sum_{k=1}^n df_k \right\|_{L^p(\Omega; X)},$$

where $(\epsilon)_{k=1}^n$ is a Rademacher sequence on Ω' .

- (2) does not imply (1).
- It is an open question whether (3) implies (1)

Theorem (Kalton–L.–Weis '19)

Let X be a Banach function space. Then (3) implies (1).

- Proof similar to previous slide.

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Thank you for your attention!