#### Quiver mutation loops and partition q-series

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Joint work with Yuji Terashima (Tokyo Institute of Technolgy)

- Motivation Why quiver mutation loop ?
- Partition q-series definition & examples
- Quantum dilogarithm and partition q-series

#### Based on papers with Y.Terashima:

- Comm. Math. Phys., 336 (2015) 811-830 [arXiv:1403.6569]
- Comm. Math. Phys., 338 (2015) 457-481 [arXiv:1408.0444]

#### Quiver mutations appear in many fields in different guise:

cluster algebras, 3-dimensional topology, gauge theory, Donaldson-Thomas theory, stability conditions, wall-crossing, WKB analysis, ...

#### Our Strategy

- Define a key mathematical object, à la partition function of statistical mechanics, by using only combinatorial data of quiver mutations.
- Every property of such object would be shared by various "realizations" of mutations.

In this talk, we introduce partition q-series for mutations and explain some nice properties of them.

## Mutation of a quiver

Mutation of a quiver Q at a vertex k:

- For each path  $i \rightarrow k \rightarrow j$ , add a new arrow  $i \rightarrow j$ ;
- Reverse all arrows with source or target k.
- Remove newly created 2-cycle, if any.



## Mutation loop

A mutation loop is a triple  $\gamma = (Q, m, \phi)$ , where

- an initial quiver Q
- a mutation sequence  $m = (m_1, m_2, \dots, m_T)$
- boundary condition = an isomorphism  $\varphi$  of the initial quiver  $Q_0$  and the final quiver  $Q_T$ .

#### Example

• 
$$Q = (a \rightarrow b \leftarrow c)$$

- Mutation sequence m = (b, a, c)
- Boundary condition  $\varphi: a' = a, \ b' = b, \ c' = c$



## Motivation : quiver $\iff$ surface triangulation



#### Motivation : mutation $\iff$ flip



mutation sequence  $\iff$  surface diffeomorphism

### Motivation : flip $\iff$ tetrahedron



mutation sequence  $\iff$  mapping cylinder

### Motivation : Triangulation of 3-manifolds

Surface bundle with a mapping class  $\phi$  of a surface  $\Sigma$ 

 $M := (\Sigma \times [0,1])/(x,0) \sim (\varphi(x),1)$ 



### Motivation : Mutations $\iff$ 3-dim. topology

Combinatorial	Geometric
Quiver	Triangulation of a surface
Mutation	Tetrahedron
Mutation sequence	Mapping class
Mutation network	Triangulation of a 3-manifold
Mutation loop	Surface bundle over $S^1$

Cluster transformations ↔ Hyperbolic geometry Penner, Fock, Teschner, Gekhtman-Shapiro-Vainshtein, Fock-Goncharov, Fomin-Shapiro-Thurston, Nagao-Terashima-Yamazaki, Hikami-Inoue, ...

 $??? \iff$  Quantum field theories

Various approach to quantization:

- Algebraic : Non-commutative deformation, D-modules, ...
- Geometric : Geometric quantization, Poisson structure, ...
- Combinatorial : Partition function ( This talk)

Partition function = "generating function of all possible states"

- path-integral : quantum field theory = state-sum : statistical machanics
- Statistical model on a lattice  $\Lambda$  with local variables  $s_i$  taking their values in S.
- Partition function

$$Z = \sum_{s_i:i\in\Lambda} \prod W(\{s_i\})$$

 $s_i$ : "state", "spin", "color", "field" variable at vertex iW= Boltzmann weight (defined locally in  $\Lambda) \propto \exp(-E/kT)$ 

Partition function — IRF (interaction-around-a-face) model

$$Z = \sum_{s_i:i\in\Lambda} \prod_{\mathsf{faces}} W(\{s_i\})$$











































- Attaching one square at vertex i = time evolution "localized" at i.
- Only one spin variable  $s_i$  can change its value :  $s_i \rightarrow s'_i$  at vertex *i*.
- All the other spins  $s_j$   $(j \neq i)$  remain the same value.



# State sum from quiver mutation sequence

- Space direction = quiver
- Time direction = mutation sequence
- Periodic boundary condition in time direction = mutation loop
- Attach a variable  $s_j$  at each vertex j.
- The change of spin s<sub>i</sub> → s'<sub>i</sub> is possible only when vertex i is mutated.
- The Boltzmann weight should be a local function.
- Mutation sequence is an inhomogeneous time-evolution of space (=quiver). The space-time graph Λ is dynamically generated from the initial quiver Q and the mutation sequence m.



#### Weights of mutations

• Weight of a mutation at vertex *i*:

$$\frac{q^{\frac{1}{2}(s_i+s'_i-\sum_{j:j\to i}s_j)(s_i+s'_i-\sum_{l:i\to l}s_l)}}{(q)_{s_i+s'_i-\sum_{j:j\to i}s_j}}$$

• k-variable with a mutation  $m_i$  at a vertex i:

$$k_i := s_i + s'_i - \sum_{j: j \to i} s_j$$

q-factorial

$$(q)_n = (1-q)(1-q^2)\cdots(1-q^n)$$

#### Weights of mutations — Example

mutation at vertex *a*:



Weight of the mutation

$$W = \frac{q^{\frac{1}{2}(a+a'-b_1-b_2-2b_3)(a+a'-c_1-c_2)}}{(q)_{a+a'-b_1-b_2-2b_3}}$$

k-varaible:

$$k = a + a' - b_1 - b_2 - 2b_3$$

Quiver mutation loops and partition q-series

### Partition q-series

#### Definition

For a mutation loop  $\gamma = (Q, m, \phi)$  with  $m = (m_1, \dots, m_T)$ , we define partition *q*-series by

$$Z(\gamma) := \sum_{k \in \mathbb{N}^T} \prod_{t=1}^T W(m_t),$$

where  $\mathbb{N} = \{0, 1, 2, \cdots\}$ .

The relation between k- and s- variables depends on the global topology, especially on the boundary condition  $\varphi$ .

The alternating quiver Q of type  $A_3$ :

- Mutation sequence m = (b, a, c)
- Boundary condition  $\varphi = \mathrm{id}: a' = a, \ b' = b, \ c' = c$



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Product of weights:

$$\frac{q^{\frac{1}{2}((2b-a-c)\cdot 2b+(2a-b)\cdot 2a+(2c-b)\cdot 2c)}}{(q)_{2b-a-c}(q)_{2a-b}(q)_{2c-b}}$$

k-variables from s-variables:

$$k_2 = 2b - a - c,$$
  

$$k_1 = 2a - b,$$
  

$$k_3 = 2c - b.$$



Product of weights:

$$\frac{q^{\frac{1}{2}((2b-a-c)\cdot 2b+(2a-b)\cdot 2a+(2c-b)\cdot 2c)}}{(q)_{2b-a-c}(q)_{2a-b}(q)_{2c-b}}$$

s-variables from k-variables:

$$a = \frac{1}{4} (3k_1 + 2k_2 + k_3),$$
  

$$b = \frac{1}{2} (k_1 + 2k_2 + k_3),$$
  

$$c = \frac{1}{4} (k_1 + 2k_2 + 3k_3).$$



Product of weights:

$$\frac{q^{\frac{3}{4}k_1^2+k_1k_2+k_2^2+k_2k_3+\frac{3}{4}k_3^2+\frac{k_3k_1}{2}}}{(q)_{k_1}(q)_{k_2}(q)_{k_3}}$$

s-variables from k-variables:

$$a = \frac{1}{4} (3k_1 + 2k_2 + k_3),$$
  

$$b = \frac{1}{2} (k_1 + 2k_2 + k_3),$$
  

$$c = \frac{1}{4} (k_1 + 2k_2 + 3k_3).$$



Partition *q*-series:

$$Z(\gamma) = \sum_{k \in \mathbb{N}^3} \frac{q^{k^\top D k}}{(q)_{k_1}(q)_{k_2}(q)_{k_3}}$$
$$D = \frac{1}{4} \begin{pmatrix} 3 & 2 & 1\\ 2 & 4 & 2\\ 1 & 2 & 3 \end{pmatrix}$$

D is the inverse of the Cartan matrix of type  $A_3$ !

$$D^{-1} = C_{A_3} = \begin{pmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 2 \end{pmatrix}$$

Partition *q*-series:

$$Z(\gamma) = \sum_{k \in \mathbb{N}^3} \frac{q^{k^\top D k}}{(q)_{k_1}(q)_{k_2}(q)_{k_3}} = \frac{1}{(q)_{\infty}} \sum_{n \in \mathbb{Z}} q^{\frac{3}{4}n^2}$$
$$D = \frac{1}{4} \begin{pmatrix} 3 & 2 & 1\\ 2 & 4 & 2\\ 1 & 2 & 3 \end{pmatrix}$$

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In particular,  $Z(\gamma)$  is a modular function — a character of a module associated with an affine Lie algebras. (Lepowsky-Primc, Terhoeven)

A quiver is alternating if each vertex is source or sink.

Alternating quiver of type ADE:



## Product Quivers

Product of quivers:



A special mutation sequence  $m = m_+m_-$  of  $Q \Box Q'$ .

#### Theorem (K.-Terashima)

Consider the product  $Q\Box Q'$  of alternating quivers of ADE type. Let  $\gamma = (Q\Box Q', m, id)$  be the mutation loop with  $m = m_+m_-$ . Then we have

$$Z(\gamma) = \sum_{k \in \mathbb{N}^n} \frac{q^{\frac{1}{2}k(C_Q \otimes C_{Q'}^{-1})k}}{(q)_k}$$

In particular, when  $Q' = A_n$  type,  $Z(\gamma)$  coincides with a fermionic character formula in the Kuniba-Nakanishi-Suzuki conjecture!

"Parafermionic" system associated with affine Lie algebra of type Q. (conformal field theories associated with subquotients of  $U(\hat{\mathfrak{g}})$ -modules)

## Pentagon move (Pachner 2-3 move)



2 tetrahedra  $\longleftrightarrow$  3 tetrahedra

#### Generalized pentagon move



Quiver mutation loops and partition q-series

## Generalized pentagon move

#### Theorem (K.-Terashima)

The partition q-series are invariant under generalized pentagon moves:

$$Z(\boldsymbol{\gamma}) = Z(\boldsymbol{\gamma}')$$

- $Z(\gamma)$  is invariant under local deformation of the loop  $\gamma$  in the quiver exchange graph  $\Gamma$ :  $V(\Gamma) = \{$ quivers $\},$  $E(\Gamma) = \{$ mutations $\}$
- $Z(\gamma) =$  "holonomy" or "Wilson loop" along  $\gamma \subset \Gamma$



# (Combinatorial) Donaldson-Thomas invariant

• Donaldson-Thomas invariant

= (a generating function of) the "virtual number of points of moduli stack of semistable objects" in Calabi-Yau 3 category  $\mathscr{C}$ .

$$\operatorname{Hom}_{\mathscr{C}}(E,F) \xrightarrow{\sim} \operatorname{Hom}_{\mathscr{C}}(F,E[3])^*$$

Bridgeland, Joyce, Song, Kontsevich, Soibelman, Nagao, Reineke, Szendrői, ...

• A "generic" Calabi-Yau 3 category  ${\mathscr C}$  is realized as

$$\mathscr{C} \simeq D^b(\Gamma_3 Q\operatorname{-mod})$$

where  $\Gamma_3 Q$  is a CY3 Ginzburg algebra associated with a quiver Q.

• B. Keller introduced Combinatorial DT invariant in terms of "path-ordered" product of quantum dilogarithms.

# Quantum Dilogarithm

#### Definition

$$E(y) = \prod_{n=0}^{\infty} (1+q^{n+\frac{1}{2}}y)^{-1} \in \mathbb{Q}(q^{1/2})[[y]]$$
  
=  $1 + \frac{q^{1/2}}{q-1}y + \dots + \frac{q^{n^2/2}y^n}{(q^n-1)(q^n-q)\cdots(q^n-q^{n-1})} + \dots$   
=  $\exp\left(\sum_{k=1}^{\infty} \frac{(-y)^k}{k(q^{-k/2}-q^{k/2})}\right)$ 

Theorem (Fadeev-Kashaev-Volkov 1993)

$$y_1y_2 = qy_2y_1 \implies E(y_1)E(y_2) = E(y_2)E(q^{-1/2}y_1y_2)E(y_1)$$

### Non-commutative algebra

#### Definition

The non-commutative algbera  $\mathbb{A}_Q$ 

• generators: 
$$y_1, y_2, \cdots, y_n$$

• relations: 
$$y^{\alpha}y^{\beta} = q^{\frac{1}{2}\langle \alpha, \beta \rangle}y^{\alpha+\beta}, \quad (\alpha, \beta \in (\mathbb{Z}_{\geq 0})^n)$$

where  $\langle e_i, e_j \rangle = #(i \rightarrow j) - #(j \rightarrow i).$ 

#### Example



 $\mathbb{A}_{Q} = \langle y_{1}, y_{2}, y_{3} \mid y_{1}y_{2} = qy_{2}y_{1}, \ y_{2}y_{3} = qy_{3}y_{2}, \ y_{1}y_{3} = q^{-1}y_{3}y_{1} \rangle$ 

$$y^{(i_1,i_2,i_3)} = q^{-\frac{1}{2}(i_1i_2 + i_2i_3 - i_1i_3)} y_1^{i_1} y_2^{i_2} y_3^{i_3}$$

#### Ice-quivers, c-vectors, and reddening sequence



# Dilogarithm product along reddening sequence

#### Definition (Keller)

Reddning sequence

$$m=(m_1,m_2,\cdots,m_T)$$

c-vectors at the mutated vertices are

$$\varepsilon_1 \alpha_1, \varepsilon_2 \alpha_2, \cdots, \varepsilon_T \alpha_T \in \mathbb{Z}^n, \qquad \varepsilon_t = \pm 1, \ \alpha_t \in \mathbb{N}^n$$

$$E(m) := E(y^{\alpha_1})^{\varepsilon_1} E(y^{\alpha_2})^{\varepsilon_2} \cdots E(y^{\alpha_T})^{\varepsilon_T}$$

#### Theorem (Keller; Nagao, Reineke)

If m and m' are reddening sequence starting from Q. Then E(m) = E(m').

## combinatorial DT-invariant

Donaldson-Thomas (DT) invariant (Kontsevich-Soibelman) is the generating function which counts the number of "stable objects".

#### Definition

 $E_Q := E(m)$  is well-defined as a formal power series intrinsically associated with Q. This is called the combinatorial DT-invariant of Q.

#### Example

Q = (1) :  $A_1$ -quiver

$$E_Q = E(y_1)$$

#### Example

$$Q = (1 \rightarrow 2) : A_2$$
-quiver

$$y_1y_2 = qy_2y_1 \implies E_Q = E(y_1)E(y_2) = E(y_2)E(q^{-1/2}y_1y_2)E(y_1)$$

#### Theorem (K.-Terashima)

Let  $\gamma$  be a mutation loop associated with a reddening mutation sequence starting from Q.

Then the non-commutative partition q-series  $Z(\gamma; y)$  coincides with the combinatorial Donaldson-Thomas invariant  $E_Q(y)$ :

$$Z(\gamma; y) = E_Q(y)|_{q \to q^{-1}} \in \mathbb{A}_Q$$

# Example



The mutation sequence m = (1, 2, 3, 1) is reddening. The non-commutative partition q-series

$$Z(\gamma) = \sum_{k_1, k_2, k_3, k_4 \ge 0} \frac{q^{\frac{1}{2}(k_1^2 + k_2^2 + k_3^2 + k_4^2 - k_1k_2 + k_1k_3 + k_1k_4 - k_2k_4 + k_3k_4)}}{(q)_{k_1}(q)_{k_2}(q)_{k_3}(q)_{k_4}} y^{(k_1 + k_3, k_2, k_3 + k_4)}$$

Combinatorial Donaldson-Thomas invariant of Q:

$$E_Q(y) = E(y^{(1,0,0)})E(y^{(0,1,0)})E(y^{(1,0,1)})E(y^{(0,0,1)})$$

quantum dilogarithm

$$E(x) = \prod_{n=0}^{\infty} (1 + q^{n + \frac{1}{2}}y)^{-1}$$

### Reddening mutation sequence

The mutation sequence m = (1, 2, 3, 1) applied to "ice-quiver"



• Only one out-going arrow on every frozen vertex in the final quiver.

• The associated boundary condition  $\varphi$  :  $1 \mapsto 3, \ 2 \mapsto 2, \ 3 \mapsto 1$ 

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#### Non-commutative partition q-series



• The associated boundary condition  $\varphi$ :  $1 \mapsto 3, 2 \mapsto 2, 3 \mapsto 1$ 

**2** The non-commutative grading:  $y^{(k_1+k_3,k_2,k_3+k_4)} \in \mathbb{A}_Q$ 

**3** The orientation: (+, +, +, +).

The combinatorial Donaldson-Thomas invariant  $E_Q(y)$  is defined as an ordered product of quantum dilogarithms along a maximal green sequence. (Keller; following Kontsevich-Soibelman, Nagao, Reineke)

$$E_Q(\mathbf{y}) = E(\mathbf{y}^{(1,0,0)}) E(\mathbf{y}^{(0,1,0)}) E(\mathbf{y}^{(1,0,1)}) E(\mathbf{y}^{(0,0,1)}) \in \mathbb{A}_Q$$

where

$$E(y) = \prod_{n=0}^{\infty} (1 + q^{n + \frac{1}{2}}y)^{-1}$$

#### Theorem (K.-Terashima)

For any reddening mutation sequence m,

$$Z(\gamma; y) = E_Q(y)|_{q \to q^{-1}} \quad \in \mathbb{A}_Q$$

# Summary

Partition *q*-series enjoy the following nice properties:

- Invariant under generalized pentagon moves.
- For (product of) Dynkin quivers and special mutation sequences, they coincide with characters of appropriate conformal field theories. Kuniba-Nakanishi-Suzuki formula
- For reddening mutation sequences, they coincide with the combinatorial Donaldson-Thomas invariants.
- Path integral formalism v.s. operator formalism

Various "realizations"

- 3-dimensional quantum topology
- Gauge theories Cecotti-Neitzke-Vafa, Terashima-Yamazaki, Dimofte-Gaiotto-Gukov, ...
- Categorification of cluster transformations Nagao, Reineke, Iyama, ...