

# SPR subspaces and Kadec-Pełczyński dichotomy

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**Research term Lattice Structures in Analysis and Applications**

# Banach lattices

$\mathcal{C}(K, \mathbb{R})$ ,  $L_p(\mu, \mathbb{R})$ ,  $c_0$ ,  $\ell_p$ ,  $\mathbb{R} \curvearrowright$  **partial order** defined pointwise

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A vector space equipped with a partial order  $(E, \geq)$  is an **ordered vector space** if

- $\forall x, y, z \in X, x \leq y : x + z \leq y + z$ ,
- $\forall x, y \in X, x \leq y, \forall \lambda \in \mathbb{R}_+ : \lambda x \leq \lambda y$ .

In this case we say that  $\geq$  is a **linear order**.

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A partial ordered set  $(\mathcal{S}, \geq)$  is called a **lattice** if for all  $x, y \in \mathcal{S}$ , both  $x \wedge y := \inf\{x, y\}$  and  $x \vee y := \sup\{x, y\}$  exist in  $\mathcal{S}$ .

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We can define **lattice operations**

$$x^+ = x \vee 0, \quad x^- = (-x) \vee 0, \quad |x| = x \vee (-x).$$

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We say that a vector lattice which is also a normed space  $(X, \geq, \|\cdot\|)$  is a **normed lattice** if

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If  $(X, \|\cdot\|)$  is also complete, we say it is **Banach lattice**.

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$$\mathbb{C} \curvearrowright [\dots]$$

- Wolfgang Pauli and Norbert Straumann. *Die allgemeinen Prinzipien der Wellenmechanik*. Springer Berlin Heidelberg, 1990.

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Die mathematische Frage, ob bei gegebenen Funktionen  $W(\vec{x})$  und  $W(\vec{p})$  die Wellenfunktionen  $\Phi$  stets eindeutig bestimmt ist, wenn es eine solche zugehörige Wellenfunktion überhaupt gibt [d. h. wenn  $W(\vec{x})$  und  $W(\vec{p})$  physikalisch vereinbar sind], ist noch nicht allgemein untersucht worden.

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## Question (Pauli problem)

Given both the amplitude of a complex valued square integrable function and the amplitude of its Fourier transform, can we recover the function?

From  $|f|$  and  $|\mathcal{F}f|$  is not possible to difference between  $f$  and  $e^{i\theta}f$ .

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## Example (Corbett '77)

If  $\varphi \in L_2(\mathbb{R})$  verifies that  $\varphi(-x) = \pm\varphi(x)$  for all  $x \in \mathbb{R}$  then

$$|\overline{\mathcal{F}[\varphi]}| = |\mathcal{F}[\overline{\varphi}]|.$$

Take for example,

$$\varphi(x) = e^{-(1 \pm i)\pi x^2}.$$

So we must restrict our attention to a **proper** subset/subspace of  $L_2(\mathbb{R})$ .

If  $E \subseteq L_2(\mathbb{R})$  does this recovery, then on

$$\text{Graph}(\mathcal{F}|_E) = (E, \mathcal{F}E) := \{(\varphi, \mathcal{F}[\varphi]), \varphi \in E\} \subseteq L_2(\mathbb{R}) \times L_2(\mathbb{R})$$

we can recover elements therein from  $|\cdot|$ .

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### Question (Pauli problem for subspaces)

For which subspaces  $E$  of  $L_2(\mathbb{R})$  the map

$$\begin{array}{ccc} |\cdot|_P : & (E, \mathcal{F}E)/\mathbb{T} & \longrightarrow L_2(\mathbb{R}) \times L_2(\mathbb{R}) \\ & \varphi & \mapsto (|\varphi|, |\mathcal{F}[\varphi]|) \end{array}$$

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Given the amplitude of the Fourier transform of a real/complex valued square integrable function, can we recover the function?

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## Question (Fourier problem for subspaces)

For which subspaces  $E$  of  $L_2(\mathbb{R})$  the map

$$\begin{array}{ccc} |\cdot|_F : & E/\mathbb{T} & \longrightarrow L_2(\mathbb{R}) \\ & \varphi & \longmapsto |\mathcal{F}[\varphi]| \end{array}$$

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## Question (Pauli problem for subspaces)

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is injective?

## Question (Gabor problem for subspaces)

For which subspaces  $E$  of  $L_2(\mathbb{R})$  the map

$$\begin{array}{ccc} |\cdot|_G : & E/\mathbb{T} & \longrightarrow L_2(\mathbb{R}) \\ & \varphi & \mapsto |\mathcal{V}_g[\varphi]| \end{array}$$

is injective?

## Definition

Let  $H$  be a Hilbert space. A collection  $\Phi = \{\varphi_j\}_{j \in \mathcal{J}} \subseteq H$  is called a **frame** if there are uniform constants  $B \geq A > 0$  called the **frame bounds** such that

$$A \|f\|_H^2 \leq \sum_{j \in \mathcal{J}} |\langle f, \varphi_j \rangle|^2 \leq B \|f\|_H^2 \quad \forall f \in H.$$

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We also define the **analysis operator of  $\Phi$**  as

$$\begin{aligned} \mathcal{T}_\Phi : H &\longrightarrow \ell^2(\mathcal{J}) \\ f &\mapsto (\dots, \langle f, \varphi_n \rangle, \dots) \end{aligned}$$

We can provide a linear, stable, and unconditional reconstruction formula:

$$f = \sum_{j \in \mathcal{J}} \langle f, \varphi_j \rangle \widetilde{\varphi}_j, \quad \forall f \in H.$$

## Question (Frame problem for subspaces)

For which subspaces  $E$  of  $H$  the map

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$$\begin{aligned} |\cdot|_{\mathcal{P}} : (E, \mathcal{F}E)/\mathbb{T} &\longrightarrow L_2(\mathbb{R}) \times L_2(\mathbb{R}) \\ \varphi &\mapsto (|\varphi|, |\mathcal{F}[\varphi]|), \end{aligned}$$

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## Definition

Let  $E \subseteq X$  be a subspace of a given Banach lattice  $X$ . We say that  $E$  **does PR** when the map  $|\cdot| : E/\mathbb{T} \longrightarrow X$  is injective. Equivalently, if

$$\forall f, g \in E, |f| = |g|, \exists \lambda \in \mathbb{K} : f = \lambda g.$$



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## Definition

Let  $E \subseteq X$  be a subspace of a given Banach lattice  $X$ . We say that  $E$  **does SPR with constant  $C$** , or just that  $E$  is a  **$C$ -SPR subspace**, if

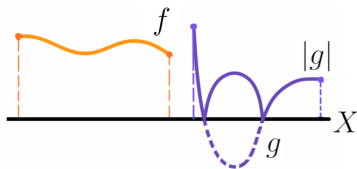
$$\min_{\lambda \in \mathbb{T}} \|f - \lambda g\| \leq C \cdot \left\| |f| - |g| \right\| \quad \forall f, g \in E,$$

that is, if the inverse of the map  $|\cdot|$  is  $C$ -Lipschitz.

## Observation

If we can find  $f, g \in E \subseteq X$ , so that  $f \perp g \dots$  then

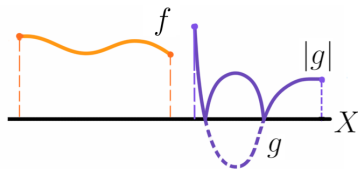
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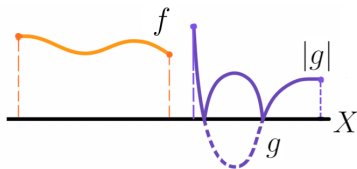


but  $f + g \neq \lambda(f - g)$  for all  $\lambda \in \mathbb{C}$ . Otherwise,  $(\lambda - 1)f = (\lambda + 1)g$  and  $\perp$ -pairs are l.i.

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$\exists$  Pairs of disjoint vectors  $\Rightarrow$  no PR

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??  $\implies$  no SPR

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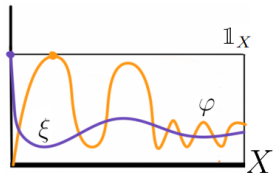
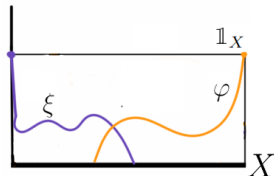
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## Definition

We say that  $f, g \in X : \|f\| = \|g\| = 1$  are an  $\varepsilon$ -almost disjoint pair if

$$\left\| |f| \wedge |g| \right\| < \varepsilon,$$

which would be denoted by  $f \perp_\varepsilon g$ .



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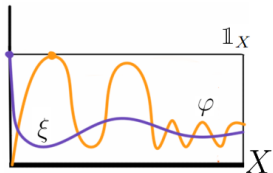
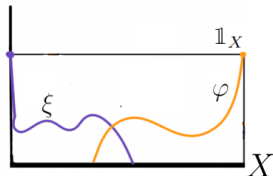
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## Observation

If for any  $1 > \varepsilon > 0$ ,  $f, g \in S_E \subseteq X : f \perp_\varepsilon g$ , then  $E$  is **not** a  $1/\varepsilon$ -SPR subspace of  $X$ .

## Observation (for $\mathbb{R}$ -Banach lattices)

If for any  $1 > \varepsilon > 0$ ,  $f, g \in S_E \subseteq X : f \perp_\varepsilon g$ , as

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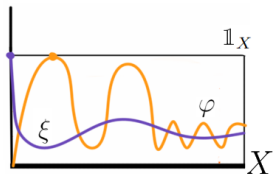
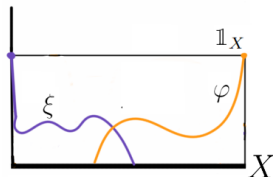
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but

$$2 = \|(f+g) + (f-g)\| = \|(f+g) - (f-g)\|,$$

we have

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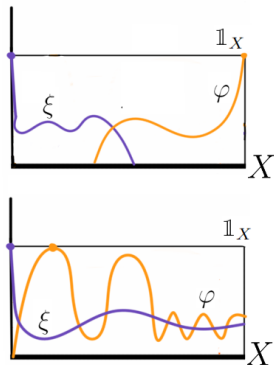
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$\exists$  some  $\varepsilon$ -almost disjoint pair of vectors  $\implies$  no  $1/\varepsilon$ -SPR



## Definition

$E$  contains almost disjoint pairs of vectors if  $\forall \varepsilon > 0$  we can find  $f_\varepsilon, g_\varepsilon \in S_E$  so that  $f_\varepsilon \perp_\varepsilon g_\varepsilon$ .

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## Theorem (FOPTB)

Let  $E$  be a subspace of a  $\mathbb{R}$ -Banach lattice  $X$ . Then the following conditions are equivalent.

- $E$  does  $C$ -SPR,
- $E$  does not contain  $1/C$ -almost disjoint pairs.

In particular,

$E$  does SPR  $\iff$   $E$  does not contain almost disjoint pairs.

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# Kadec-Pełczyński $\stackrel{?}{\sim}$ SPR

For  $\mathbb{R}$ -Banach lattices,

**SPR subspaces**  $\equiv$  **subspaces lacking almost disjoint pairs.**

Banach lattices know (a lot?) about...

# Kadec-Pełczyński $\leadsto$ SPR

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## Definition

A sequence  $\{x_n\}_n \subseteq X$  in a Banach lattice  $X$  is called:

- **normalized** if

$$\|x_n\| = 1, \quad \forall n \geq 1.$$

- **almost disjoint** if

$$\exists \{d_n\}_n \subseteq X, \quad d_i \perp d_k \text{ if } i \neq k, \quad \|x_n - d_n\| \xrightarrow{n \rightarrow \infty} 0.$$



## Observation

If  $\{x_n\}_{n=1}^{\infty} \subseteq E$  is a normalized almost disjoint sequence, with

$$\|x_n - d_n\|_X \xrightarrow{n \rightarrow \infty} 0, \quad \{d_n\}_{n=1}^{\infty} \subseteq X \text{ **disjoint**,$$

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$$\begin{aligned} \|x_n \wedge x_m\| &= \|(x_n - d_n) \wedge x_m + d_n \wedge x_m\| \\ &\leq \|(x_n - d_n) \wedge x_m\| + \|d_n \wedge x_m\| \\ &\leq \|x_n - d_n\| + \|d_n \wedge x_m\| \\ &= \|x_n - d_n\| + \|d_n \wedge (x_m - d_m)\| \leq \|x_n - d_n\| + \|x_m - d_m\|. \end{aligned}$$

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### Definition

*We say that a subspace  $E$  of a Banach lattice is **dispersed** if it **fails** to contain normalized almost disjoint sequences.*

## Observation

If  $\{x_n\}_{n=1}^{\infty} \subseteq E$  is a normalized almost disjoint sequence, with

$$\|x_n - d_n\|_X \xrightarrow{n \rightarrow \infty} 0, \quad \{d_n\}_{n=1}^{\infty} \subseteq X \text{ **disjoint**,$$

then

$$\|x_n \wedge x_m\| \leq \|x_n - d_n\| + \|x_m - d_m\| \xrightarrow{n, m \rightarrow \infty} 0.$$

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- Kadec-Pełczyński: *Bases, lacunary sequences and complemented subspaces in the spaces  $L_p$ .*  $\sim$  **isomorphic structure of subspaces of  $L_p(\mu)$**

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For any  $p \geq 1$  and  $\varepsilon > 0$  we define a **Kadec-Pełczyński class** as

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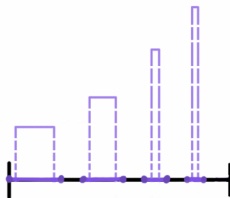
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- $L_p[0, 1] = \bigcup_{\varepsilon > 0} KP_\varepsilon^p$ ,
- If  $\varphi \notin KP_\varepsilon^p$ , then  $\exists A \subseteq [0, 1]$  with  $m(A) < \varepsilon$  and

$$\int_A \left| \frac{f(t)}{\|f\|_p} \right|^p dm(t) > 1 - \varepsilon.$$

## Theorem

$$\forall (x_n)_{n=1}^{\infty} \subseteq S_{L_p[0,1]}, \forall \varepsilon > 0, \exists x_{n_\varepsilon} \notin KP_\varepsilon^p$$

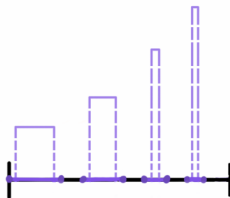
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For  $p > 2$  KP classes are stronger

## Lemma

Let  $p > 2$  and  $\text{KP}_\varepsilon^p$  any class. Then

$$\varepsilon^{3/2} \|f\|_{L_p[0,1]} \leq \|f\|_{L_2[0,1]} \leq \|f\|_{L_p[0,1]}, \quad \forall f \in L_p[0,1].$$

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## Corollary

*On any subspace  $E \subseteq L_p[0,1]$ ,  $p > 2$ , contained on any KP class the norms  $\|\cdot\|_p$  and  $\|\cdot\|_2$  are equivalent.*

## Theorem

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## Theorem

*Let  $p > 2$  and let  $E$  be an infinite dimensional subspace of  $L_p[0, 1]$ . Then the following conditions are equivalent:*

- 1  $E \subseteq \text{KP}_\varepsilon^p$  for some  $\varepsilon > 0$ ,*
- 2  $E$  is isomorphic to  $\ell_2$ ,*
- 3 no subspace of  $E$  is isomorphic to  $\ell_p$ ,*
- 4 the norms  $\|\cdot\|_2$  and  $\|\cdot\|_p$  are equivalent on  $E$ .*



## Theorem ( $L_1$ -rep's.)

*Let  $X$  be an order continuous Banach lattice with weak unit. Then we can view  $X$  as a norm and order dense ideal of some  $L_1(\mu)$ , with  $\mu$  a probability measure, so that both*

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## Corollary (Kadec-Pełczyński dichotomy for sequences)

*Let  $X$  be an order continuous Banach lattice with a weak unit represented as an ideal of some  $L_1(\mu)$ -space, with  $\mu$  a probability measure, and let  $(x_n)_{n=1}^{\infty}$  be a bounded sequence in  $X_+ \setminus \{0\}$ .*

*Then:*

- *either  $(x_n)$  is semi-normalized when viewed in  $L_1(\mu)$ ,*
- *or  $(x_n)$  has an almost disjoint subsequence in  $X$ .*

$$(x_n)_n \subseteq E + x_n \xrightarrow{\mu} 0 \implies E \text{ not dispersed} \implies E \text{ not SPR}$$

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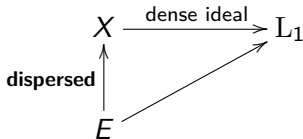
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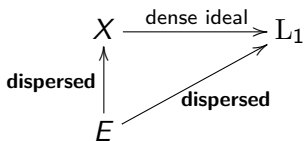
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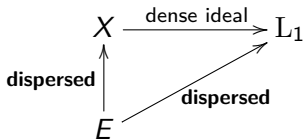


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Thus,  $f_n \xrightarrow{\mu} 0$ . But then  $(f_n)_{n=1}^\infty$  has an almost disjoint sequence on  $X$ .

$L_1(\mu)$ -case  $\stackrel{\text{FOPT}}{\Longleftarrow}$  Maurey-Krivine results + Clarkson SPR. ■

Kadec-Pełczyński dichotomy is stronger *here* thanks to KP classes.

## Theorem (Kadec-Pełczyński)

Let  $1 \leq p < \infty$  and  $\mu$  a probability measure. For a closed subspace  $E \subseteq L_p(\mu)$  the following are equivalent:

- ①  $E$  is dispersed,
- ② there exists  $0 < q < p$  such that  $\|\cdot\|_{L_p} \sim \|\cdot\|_{L_q}$  on  $E$ ,
- ③ for all  $0 < q < p$ ,  $\|\cdot\|_{L_p} \sim \|\cdot\|_{L_q}$ ,
- ④  $E$  is strongly embedded on  $L_p(\mu)$ .

**Proof.**  $2 \iff 3 \iff 4$  are well known.

$3 \iff 1$  Follows from KP dichotomy for subspaces.

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Moreover,

- if  $p \neq 2$ , a closed subspace of  $L_p$  is dispersed  $\iff$  it does not contain  $\ell_p$  as an isomorphic copy,
- for  $p > 2$ , a closed subspace of  $L_p$  is dispersed  $\iff$  it is isomorphic to a Hilbert space.

## Observation

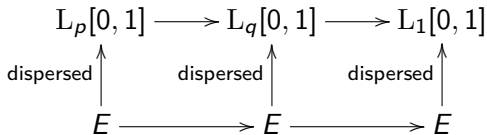
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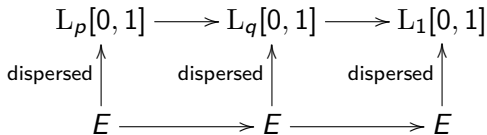
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# SPR on $L_p$ -spaces

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This is no longer true.

### Theorem (FOPT '23)

*For all  $2 \leq p < +\infty$ , there exists a closed subspace  $E \subseteq L_p[0, 1]$  such that  $E$  is an SPR-subspace of  $L_p[0, 1]$ , but fails to be an SPR-subspace for each  $1 \leq q < p < \infty$ .*

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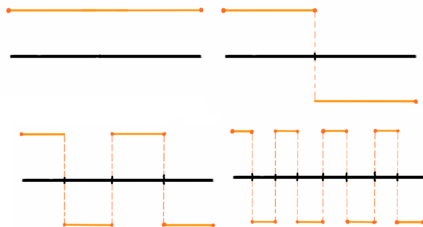
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 \end{array}$$

## Observation

- We know that  $E$  must be isomorphic to a Hilbert space. Moreover, thanks to KP we also know that  $E$  can not a dispersed subspace of any  $L_{p'}[0, 1]$  with  $p < p'$ .

**Sketch of the proof.** Recall that for the Rademacher system on  $L_p[0, 1]$ , Khintchine inequality says

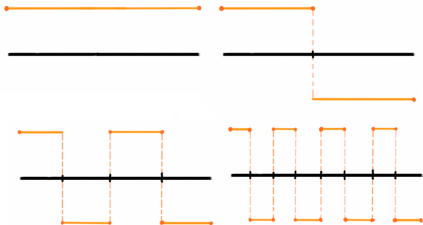
$$A_p \left( \sum_{n \in \omega} |a_n|^2 \right)^{1/2} \leq \left\| \sum_{n \in \omega} a_n r_n \right\|_{L_p[0,1]} \leq B_p \left( \sum_{n \in \omega} |a_n|^2 \right)^{1/2}$$



We have that  $\overline{\text{span}}^{\|\cdot\|_p} \{r_n\} \cong \ell_2$ . Thus, their span is dispersed, but it can not make PR. Idea:

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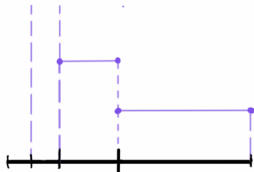
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The functions

$$t_n = 2^{n/p} \mathbb{1}_{\left[1+\frac{1}{2^n}, 1+\frac{1}{2^{n-1}}\right]}$$

verify that

$$\|t_n\|_{L_r[1,2]}^r = \int_{[1,2]} 2^{r \frac{n}{p}} \mathbb{1}_{\left[1+\frac{1}{2^n}, 1+\frac{1}{2^{n-1}}\right]} = 2^{r \frac{n}{p}} \cdot 2^{-n} = 2^{n(\frac{r}{p}-1)}$$

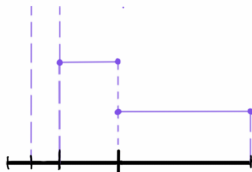




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Thus,

$$\begin{cases} \|t_n\|_{L_r[1,2]} \rightarrow \infty, & \text{if } r > p, \\ \|t_n\|_{L_r[1,2]} = 1 \quad \forall n, & \text{if } r = p, \\ \|t_n\|_{L_r[1,2]} \rightarrow 0, & \text{if } r < p. \end{cases}$$

and then for  $p > r$

$$\lim_{n \rightarrow \infty} \| |t_n| - |t_{n+1}| \|_{L_r[0,2]}^r = \lim_{n \rightarrow \infty} \|t_n\|_{L_r[1,2]}^r + \|t_{n+1}\|_{L_r[1,2]}^r = 0 + 0 = 0.$$

Set

$$g_n(t) := r_n(t) + t_n(t), \quad t \in [0, 2],$$

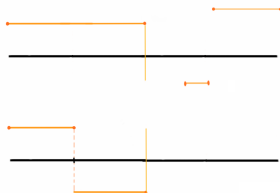
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$E$  fails SPR for  $q < p$ :

- $\lim_{n \rightarrow \infty} \| |g_n| - |g_{n+1}| \|_{L_q[0,2]}^q = \lim_n 2^{n(\frac{q}{p}-1)} + 2^{(n+1)(\frac{q}{p}-1)} = 0,$
- If  $m > n$ , then

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It is less easy, but possible, to check that

$E$  does SPR on  $L_p[0, 2] \iff$  Hölder SPR!



## What happens with SPR?

We need **something more!** (namely, dispersion info)

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$$\begin{array}{ccc} L_p[0, 1] & \longrightarrow & L_q[0, 1] \\ \text{SPR} \uparrow & & \uparrow ?? \\ E & \longrightarrow & E \end{array}$$

Suppose that

$$\begin{array}{ccccc} L_s[0, 1] & \longrightarrow & L_p[0, 1] & \longrightarrow & L_q[0, 1] \\ \text{dispersed} \uparrow & & \text{SPR} \uparrow & & \uparrow ?? \\ E & \longrightarrow & E & \longrightarrow & E \end{array}$$

# What happens with SPR?

We need **something more!** (namely, dispersion info)

$$\begin{array}{ccc} L_p[0, 1] & \longrightarrow & L_q[0, 1] \\ \text{SPR} \uparrow & & \uparrow ?? \\ E & \longrightarrow & E \end{array}$$

Suppose that

$$\begin{array}{ccccc} L_s[0, 1] & \longrightarrow & L_p[0, 1] & \longrightarrow & L_q[0, 1] \\ \text{dispersed} \uparrow & & \text{SPR} \uparrow & & \uparrow ?? \\ E & \longrightarrow & E & \longrightarrow & E \end{array}$$

Then

$$\begin{array}{ccccccccc} L_s[0, 1] & \longrightarrow & L_r[0, 1] & \longrightarrow & L_p[0, 1] & \longrightarrow & L_r[0, 1] & \longrightarrow & L_1[0, 1] \\ \text{Dispersed} \uparrow & & \text{SPR} \uparrow & & \text{SPR} \uparrow & & \text{SPR} \uparrow & & \text{SPR} \uparrow \\ E & \longrightarrow & E & \longrightarrow & E & \longrightarrow & E & \longrightarrow & E \end{array}$$

**Proof.**

$$\begin{array}{ccccc}
 L_s[0, 1] & \longrightarrow & L_r[0, 1] & \longrightarrow & L_p[0, 1] \\
 \uparrow \text{Dispersed} & & \uparrow \text{ } i\text{SPR?} & & \uparrow \text{SPR} \\
 E & \longrightarrow & E & \longrightarrow & E
 \end{array}$$

Recall that

$$\|\cdot\|_s \sim \|\cdot\|_p \text{ on } E, \quad \|\cdot\|_p \leq \|\cdot\|_r \leq \|\cdot\|_s.$$

Thus,  $\forall f, g \in E$

$$\begin{aligned}
 \min_{|\lambda|=1} \|f - \lambda g\|_r &\leq \min_{|\lambda|=1} \|f - \lambda g\|_p \\
 &\leq C^{(p)} \left\| |f| - |g| \right\|_p \leq C^{(p)} \left\| |f| - |g| \right\|_r.
 \end{aligned}$$



**Proof.**

$$\begin{array}{ccccc}
 L_s[0, 1] & \longrightarrow & L_p[0, 1] & \longrightarrow & L_r[0, 1] \\
 \uparrow \text{Dispersed} & & \uparrow \text{SPR} & & \uparrow \text{!SPR?} \\
 E & \longrightarrow & E & \longrightarrow & E
 \end{array}$$

Recall that

$$\|\cdot\|_s \sim \|\cdot\|_r \text{ on } E, \quad \|\cdot\|_r \leq \|\cdot\|_p \leq \|\cdot\|_s.$$

Thus,  $\forall f, g \in E$

$$\begin{aligned}
 \min_{|\lambda|=1} \|f - \lambda g\|_r &\leq \min_{|\lambda|=1} \|f - \lambda g\|_p \\
 &\leq C^{(p)} \left\| |f| - |g| \right\|_p \\
 &\leq C^{(p)} \left\| |f| - |g| \right\|_s \quad \text{with a red arrow pointing to } C^{(p)} \text{ and a red } \not\leq \text{ symbol} \\
 &\leq C^{(p)} \left\| |f| - |g| \right\|_r.
 \end{aligned}$$

For fixing this... Hölder SPR!

In contrast with

### Theorem (FOPT '23)

*For all  $2 \leq p < +\infty$ , there exists a closed subspace  $E \subseteq L_p[0, 1]$  such that  $E$  is an SPR-subspace of  $L_p[0, 1]$ , but fails to be an SPR-subspace for each  $1 \leq q < p < \infty$ .*

$$\begin{array}{ccccc}
 L_p[0, 1] & \longrightarrow & L_q[0, 1] & \longrightarrow & L_1[0, 1] \\
 \uparrow \text{SPR} & & \uparrow \text{SPR} & & \uparrow \text{SPR} \\
 E & \longrightarrow & E & \longrightarrow & E
 \end{array}$$

the range  $1 \leq p < 2$  behaves in a different way, as

### Theorem (FOPT '23)

*If  $1 \leq p < 2$ , a closed subspace  $E \subseteq L_p[0, 1]$  does SPR if, and only if, it does SPR on  $L_q[0, 1]$  for  $1 \leq q < p < 2$ .*