

Inclusions of variable Lebesgue spaces: weak compactness and disjoint strict singularity

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**Workshop Banach spaces and Banach lattices
(ICMAT 2024)**

Joint work with Cesar Ruiz and Mauro Sanchiz

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1.- SINGULARITY OF SYMMETRIC BANACH LATTICE INCLUSIONS

2.- VARIABLE LEBESGUE SPACE INCLUSIONS

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Def: Let E and F Banach spaces, $T : E \rightarrow F$ **strictly singular** if T is not invertible on any ∞ -dim. (closed) subspace E_1 of E

if $c > 0$, $\|cx\| \leq \|Tx\|$, $x \in E_1 \subset E \implies \dim E_1 < \infty$

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- Fredholm perturbation: R Fredholm, T strictly singular $\Rightarrow R + T$ Fredholm

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★ if μ -finite, $L^\infty(\mu) \hookrightarrow L^p(\mu)$ is strictly singular $1 \leq p < \infty$ (Grothendieck 1962)

$$c \|x\|_{L^\infty} \leq \|x\|_{L^p} \quad x \in E_1 \implies \dim E_1 < M(c)$$

Banach lattices of measurable functions

$(E(\mu), \| \cdot \|)$ **symmetric** (or r.i.) if $\mu_f(\cdot) = \mu_g(\cdot) \Rightarrow \|f\| = \|g\|$

$$\mu_f(s) := \mu\{|f| > s\} \quad f^*(t) := \inf\{s \geq 0 : \mu_f(s) \leq t\}$$

ϕ_E fundamental function $\phi_E(t) = \|\chi_A\|$, $\mu(A) = t$

Examp.: L^p -spaces, Orlicz , Lorentz, Marcinkiewicz, mixed-norm, interpolation s., ...

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Khintchine Inequality:

$$\left(\int_0^1 \left| \sum_{n=1}^{\infty} a_n r_n \right|^p d\mu \right)^{1/p} \sim \left(\sum_{n=1}^{\infty} |a_n|^2 \right)^{1/2}$$

Rademacher $r_n(t) = \text{sign}(\sin(2^n \pi t))$ $1 \leq p < \infty$

$$\text{Rad}(L^p) := [(r_n)]_{L^p} \cong \ell^2 \cong \text{Rad}(L^q) \quad , 1 \leq q, p < \infty$$

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★ $E(\mu) \hookrightarrow L^1(\mu)$ strictly singular $\iff L_0^{\exp x^2}(\mu) \not\subseteq E(\mu)$

(H., Novikov and Semenov 2003)

Def.: Let E a Banach lattice , $T : E \rightarrow F$ **disjointly strictly singular (DSS)** if there is no disjoint sequence (f_n) s. t. $T_{[(f_n)]}$ is an isomorphism

strict singularity $\Rightarrow$ DSS

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Ex: if $0 < q < p < \infty$ and $p > 2$, $(L^{exp x^p}) \hookrightarrow (L^{exp x^q})$ strictly singular

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extensions to Banach lattices:

- regular $T : E \rightarrow F$, E q -concave, F order continuous

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E q -concave, F order continuous,
 $0 \leq T : E \rightarrow F$ ℓ_2 -singular $\Rightarrow$ AM-compact

($T : E \rightarrow F$ **AM-compact** if image of order intervals are relatively compact)

E q -concave $0 \leq T : E \rightarrow F$ DSS + AM-compact $\Rightarrow$ strictly singular

2.- Variable Lebesgue space inclusions and DSS

exponent $p(\cdot)$: measurable f. $(\Omega, \mu) \mapsto [1, \infty)$
modular

$$m_{p(\cdot)}(f) := \int_{\Omega} |f(t)|^{p(t)} d\mu(t)$$

$L^{p(\cdot)}(\Omega)$ Variable Lebesgue (or Nakano) space : measurable f. s.t. $m_{p(\cdot)}(f/r) < \infty$ for some $r > 0$

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$L^{p(\cdot)}(\Omega)$ non-symmetric, no translation invariant spaces

dual of $L^{p(\cdot)}(\Omega)$ is $L^{p'(\cdot)}(\mu)$ when $p^+ < \infty$, $\frac{1}{p(t)} + \frac{1}{p'(t)} = 1$ a.e.

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- Essential range set

$$R_{p(\cdot)} := \{q \in [1, \infty) : \mu(p^{-1}(q - \epsilon, q + \epsilon)) > 0, \text{ for all } \epsilon > 0\}$$

$R_{p(\cdot)}$ is closed (compact if $p^+ < \infty$)

$R_{p(\cdot)}$ is a lattice-isomorphic invariant

$$(g_k) := \left(\frac{\chi_{A_k}(t)}{\mu(A_k)^{\frac{1}{p(t)}}} \right)$$

$q \in R_{p(\cdot)} \iff \ell_q$ is lattice-embedding into $L^{p(\cdot)}(\mu)$

- if $p_+ = \infty$ $L^{p(\cdot)}(\mu) \supsetneq \ell_\infty$

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, if $p^+ < \infty$.

- $L^{p(\cdot)}(\mu) \supsetneq \ell_q \iff q \in R_{p(\cdot)} \cup \{2\}$, (if $p^- > 2$)

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$L^{p(\cdot)}(\mu) \supsetneq \ell_q$ -complemented sublattice for every $q \in R_{p(\cdot)}$

there exists orthogonal projection T_A bounded for "suitable" (A_k)

$$T_A(f)(t) = \sum_{k=1}^{\infty} \left(\int_{A_k} \frac{f(s)}{\mu(A_k)^{\frac{1}{p'(s)}}} d\mu(s) \right) \frac{\chi_{A_k}(t)}{\mu(A_k)^{\frac{1}{p(t)}}},$$

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If , $T : L^{p(\cdot)}(\Omega) \mapsto L^{p(\cdot)}(\Omega)$, with $p^+ < \infty$

strictly singular $\iff \ell_q$ -singular for $q \in R_{p(\cdot)} \cup \{2\}$
(extension of Weis's result)

variable Lebesgue space inclusions $L^{p(\cdot)}(\Omega) \hookrightarrow L^{q(\cdot)}(\Omega)$

$L^{p(\cdot)}(\Omega) \hookrightarrow L^{q(\cdot)}(\Omega) \iff (*) \quad q(\cdot) \leq p(\cdot) \quad \mu\text{-a.e. and for some } \lambda > 1$

$$(**) \quad \int_{\Omega_d} \lambda^{-\frac{pq}{p-q}(t)} d\mu < \infty, \quad \Omega_d := \{t \in \Omega : q(t) < p(t)\}$$

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Questions: Find suitable criteria on the exponents for these inclusions be *DSS* or be strictly singular ???

Theorem (H, Ruiz, Sanchiz 2.021)

Let $q(\cdot) \leq p(\cdot) \leq p^+ < \infty$. μ finite, $L^{p(\cdot)}(\mu) \subset L^{q(\cdot)}(\mu)$ weakly compact $\iff$

$$\lim_{\lambda \rightarrow 0} \sup_{\|f\|_{p(\cdot)} \leq 1} \frac{1}{\lambda} \int_{\Omega \setminus \Omega_1} |\lambda f(t)|^{q(t)} d\mu = 0$$

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- Def: $T : E \rightarrow F$, is **L-weakly compact** if $T(B_E)$ is equi-integrable in F for B_E the unit ball of E i.e. for every measurable (A_n) s.t. $\chi_{A_n} \rightarrow 0$ μ -a.e.

$$\lim_{n \rightarrow \infty} \sup_{f \in B_E} \{ \|T(f)\chi_{A_n}\|_F \} = 0$$

Theorem (H, Ruiz, Sanchiz 2.021)

Let $q(\cdot) \leq p(\cdot) \leq p^+ < \infty$, μ finite, $L^{p(\cdot)}(\mu) \subset L^{q(\cdot)}(\mu)$ weakly compact $\iff$

$$\lim_{\lambda \rightarrow 0} \sup_{\|f\|_{p(\cdot)} \leq 1} \frac{1}{\lambda} \int_{\Omega \setminus \Omega_1} |\lambda f(t)|^{q(t)} d\mu = 0$$

$$\lim_{\mu(A) \rightarrow 0} \sup_{\|f\|_{p(\cdot)} \leq 1} \int_{A \cap \Omega_1} |f(t)| d\mu = 0,$$

for $\Omega_1 = q^{-1}(\{1\})$.

Ando type criterion. (Dunford-Pettis Thm for L^1)

- Def: $T : E \rightarrow F$, is **L-weakly compact** if $T(B_E)$ is equi-integrable in F for B_E the unit ball of E i.e. for every measurable (A_n) s.t. $\chi_{A_n} \rightarrow 0$ μ -a.e.

$$\lim_{n \rightarrow \infty} \sup_{f \in B_E} \{ \|T(f)\chi_{A_n}\|_F \} = 0$$

(De la Vallée-Poussin type)

finite μ , $q(\cdot) \leq p(\cdot) < p^+ < \infty$.

$L^{p(\cdot)}(\mu) \hookrightarrow L^{q(\cdot)}(\mu)$ L-weakly compact $\iff$ there exists Orlicz f. φ with

$\lim_{x \rightarrow \infty} \frac{\varphi(x)}{x} = \infty$ s. t. for $\Phi(t, x) = (\varphi(x))^{q(t)}$

$$L^{p(\cdot)}(\mu) \hookrightarrow L^\Phi(\mu) \hookrightarrow L^{q(\cdot)}(\mu)$$

Theorem (Edmunds, Gogatishvili, Nekvinda 2023)

bounded open $\Omega \subset \mathbf{R}^n$ $q(\cdot) \leq p(\cdot) \leq p^+ < \infty$. $L^{p(\cdot)}(\Omega) \hookrightarrow L^{q(\cdot)}(\Omega)$ L -weakly compact $\iff$ for every $a > 1$ $\int_0^{|\Omega|} a^{\left(\frac{1}{p-q}\right)^*(x)} dx < \infty$.

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Def: $T : E \rightarrow F$ is **M-weakly compact** whenever $\lim_{n \rightarrow \infty} \|T(f_n)\|_F = 0$ for bounded disjoint sequence (f_n) of E .

M-weakly compact $\Rightarrow$ DSS

($\neq$)

Inclusion $L^\infty(\mu) \hookrightarrow L^{p(\cdot)}(\mu)$, **finite** μ .

when is strictly singular ? (obvious if $p^+ < \infty$)

how quickly $p(\cdot)$ can tend to ∞ preserving strict singularity ?

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finite μ , and $i : L^\infty(\mu) \hookrightarrow L^{p(\cdot)}(\mu)$ TFAE :

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- ② i is M -weakly compact.
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- ⑤ $\int_0^{\mu(\Omega)} a^{p^*(x)} dx < \infty$ for every $a > 1$.

$$(5) \iff p(\cdot) \in L_0^{\exp x}(\mu)$$

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Ex:

- $p_\alpha(t) := \frac{1}{t^\alpha}$, $\alpha > 0$ $(0, 1)$ $L^\infty \hookrightarrow L^{p_\alpha(\cdot)}$ no strictly singular

- $p_\alpha(t) := \ln^\alpha\left(\frac{1}{t}\right)$, $(0, \frac{1}{e})$ $L^\infty \hookrightarrow L^{p_\alpha(\cdot)}$ strictly singular $\iff 0 < \alpha < 1$

(1) $\Rightarrow$ (2)

disjoint normalized (f_n) in L^∞ , so $\mu(\text{supp}(f_n)) \rightarrow 0$, hence

$$\lim_{n \rightarrow \infty} \|f_n\|_{p(\cdot)} \leq \lim_{n \rightarrow \infty} \sup_k \|f_k \chi_{\text{supp}(f_n)}\|_{p(\cdot)} \leq \lim_{\mu(A) \rightarrow 0} \sup_k \|f_k \chi_A\|_{p(\cdot)} = 0.$$

it is M-weakly compact so weakly compact.

(2) $\Rightarrow$ (3) Dunford-Pettis property of L^∞ .

(3) $\Rightarrow$ (4) it is obvious

(4) $\Rightarrow$ (5)

Assume there exists , $a > 1$ s.t. $\int_0^{\mu(\Omega)} a^{p^*(x)} dx = \infty$

(4) $\Rightarrow$ (5)

Assume there exists $a > 1$ s.t. $\int_0^{\mu(\Omega)} a^{p^*(x)} dx = \infty$

• There exists $\beta > 0$ and disjoint $(E_n)_{n=1}^\infty$ s.t. $\|\chi_{E_n}\|_{p(\cdot)} \geq \beta > 0$

take $0 < \beta < 1$ s.t. $1/\beta > a$. Let $t_n \searrow 0$ s.t. $\int_{t_{n+1}}^{t_n} a^{p^*(x)} dx > 1$.

Take $F_n \subset \Omega$ with $\mu(F_n) = t_n$ s. t.

$$\int_{F_n} a^{p(t)} d\mu(t) = \int_0^{t_n} (a^{r(\cdot)})^*(x) dx = \int_0^{t_n} a^{p^*(x)} dx.$$

The sets (F_n) s. t. $F_{n+1} \subset F_n$ since $t_{n+1} < t_n$ Define disjoint $E_n := F_n \setminus F_{n+1}$.

$$\begin{aligned} \int_{\Omega} \left(\frac{\chi_{E_n}}{\beta}\right)^{p(t)} d\mu(t) &\geq \int_{E_n} (a\chi_{E_n})^{p(t)} d\mu(t) = \int_{F_n} (a\chi_{F_n})^{p(t)} d\mu(t) - \int_{F_{n+1}} (a\chi_{F_{n+1}})^{r(t)} d\mu(t) \\ &= \int_0^{t_n} a^{p^*(x)} dx - \int_0^{t_{n+1}} a^{p^*(x)} dx = \int_{t_{n+1}}^{t_n} a^{p^*(x)} dx > 1 \end{aligned}$$

• $(\chi_{E_n})_n$ is equivalent in L^∞ and in $L^{p(\cdot)}$ to the basis of c_0 .

$$\left\| \sum_{n=1}^k a_n \chi_{E_n} \right\|_\infty = \max_{1 \leq n \leq k} |a_n| \leq \frac{1}{\beta} \left\| \sum_{n=1}^k a_n \chi_{E_n} \right\|_{p(\cdot)} \leq \frac{C}{\beta} \left\| \sum_{n=1}^k a_n \chi_{E_n} \right\|_\infty$$

(5) $\Rightarrow$ (1)

• Every $\chi_{E_n} \rightarrow 0$ μ -a.e. verifies $\|\chi_{E_n}\|_{p(\cdot)} \rightarrow 0$

Assume no, there exists (E_n) with $\chi_{E_n} \rightarrow 0$ μ -a.e. (thus $\mu(E_n) \rightarrow 0$) and $0 < \delta < 1$ s.t. $\|\chi_{E_n}\|_{p(\cdot)} \geq \delta$, For $0 < \beta < \delta$,

$$1 < \int_{\Omega} \left(\frac{\chi_{E_n}}{\beta}\right)^{p(t)} d\mu$$

$$\int_{\Omega} \left(\frac{\chi_{\Omega}}{\beta}\right)^{p(t)} d\mu = \int_0^{\mu(\Omega)} \left(\frac{1}{\beta}\right)^{p^*(x)} dx < \infty.$$

so ,by Lebesgue dom.th., $\int_{\Omega} \left(\frac{\chi_{E_n}}{\beta}\right)^{p(t)} d\mu \rightarrow 0$

Now ,

$$\lim_{\mu(E_n) \rightarrow 0} \sup_{f \in B_{L^\infty}} \|f \chi_{E_n}\|_{p(\cdot)} \leq \lim_{\mu(E_n) \rightarrow 0} \|\chi_{E_n}\|_{p(\cdot)} = 0$$

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Theorem

TFAE:

- ❶ i is L -weakly compact.
- ❷ i is DSS.
- ❸ The restriction of i to $[\frac{\chi_{B_n}}{\mu(B_n)^{\frac{1}{p(i)}}}]$ (disjoint B_n) is not isomorphism.
- ❹ $\lim_{x \rightarrow \mu(\Omega)^-} (\mu(\Omega) - x)^{(\frac{p-q}{p-q})^*(x)} = 0$.
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-If $\text{ess inf}(p - q)(\cdot) > 0$ and $p^+ < \infty \implies L^{p(\cdot)} \hookrightarrow L^{q(\cdot)}$ DSS
($\not\equiv$)

(1) $\iff$ (2) fails for Orlicz space inclusions

-extends L -weak compactness criteria of [E-G-N]

(5) $\Rightarrow$ (1)

$s(t) := \frac{p(t)q(t)}{p(t)-q(t)}$, so

$$\frac{1}{q(t)} = \frac{1}{p(t)} + \frac{1}{s(t)}$$

By Holder norm inequality.

$$\|f \chi_A\|_{q(\cdot)} \leq 2 \|f\|_{p(\cdot)} \|\chi_A\|_{\frac{pq}{p-q}(\cdot)}$$

Hence

$$\lim_{\mu(A_n) \rightarrow 0} \sup_{f \in B_{L^{p(\cdot)}(\Omega)}} \|f \chi_{A_n}\|_{q(\cdot)} \leq 2 \lim_{\mu(A_n) \rightarrow 0} \|\chi_{A_n}\|_{\frac{pq}{p-q}(\cdot)} = 0$$

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(1) $\Rightarrow$ (2) (similar before showing that i is M-weakly compact

(3) $\Rightarrow$ (4) (sketch) Assume there exists $(x_n) \nearrow \mu(\Omega)$ s.t. $(\frac{p-q}{pq})^*(x_n) \mapsto 0$ with

$$(\mu(\Omega) - x_n)^{(\frac{p-q}{pq})^*(x_n)} \geq r > 0$$

$$\text{wlog } \frac{x_n + \mu(\Omega)}{2} < x_{n+1} \text{ , } (\frac{p-q}{pq})^*(\frac{x_n + \mu(\Omega)}{2}) > (\frac{p-q}{pq})^*(x_{n+1})$$

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$$A_n := ((\frac{p-q}{pq})^*)^{-1} \left([(\frac{p-q}{pq})^*(\frac{x_n + \mu(\Omega)}{2}), (\frac{p-q}{pq})^*(x_n)] \right)$$

$$B_n := \{t \in \Omega : (\frac{p-q}{pq})^*(\frac{x_n + \mu(\Omega)}{2}) \leq \frac{p-q}{pq}(t) \leq (\frac{p-q}{pq})^*(x_n) \}.$$

$$\mu(B_n) = |A_n|.$$

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(s_n) and (is_n) are equivalent basic sequences.

$$\rho_{p(\cdot)}(\sum \lambda y_n s_n) = \sum \int_{B_n} |\lambda y_n|^{\rho(t)} \frac{\chi_{B_n}}{\mu(B_n)} d\mu = \sum \int_{B_n} |\lambda y_n|^{q(t)} |\lambda y_n|^{p-q(t)} \frac{\chi_{B_n}}{|A_n|^{\frac{q(t)}{\rho(t)}} |A_n|^{1-\frac{q(t)}{\rho(t)}}} d\mu$$

$$\leq \sum \int_{B_n} |\lambda y_n|^{q(t)} \frac{\chi_{B_n}}{|A_n|^{\frac{q(t)}{p(t)}}} \left[\frac{1}{\lambda_0(|A_n|)^{\frac{p(t)-q(t)}{p(t)q(t)}}} \right]^{q(t)} d\mu$$

$$\leq \rho_{q(\cdot)}(\sum \lambda y_n s_n)$$

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Corollary

finite μ , exponent $p(\cdot)$ TFAE;

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$$L^\infty(\mu) \hookrightarrow L^{p(\cdot)}(\mu) \text{ SS} \iff \lim_{x \rightarrow \mu(\Omega)^-} (\mu(\Omega) - x)^{(\frac{1}{p})^*(x)} = 0$$

Inclusions $L^{p(\cdot)}(\Omega) \hookrightarrow L^{q(\cdot)}(\Omega)$ for infinite measures

(Ω, μ) infinite m.,

$L^{p(\cdot)}(\Omega) \hookrightarrow L^{q(\cdot)}(\Omega) \iff q(\cdot) \leq p(\cdot) \text{ } \mu\text{-a.e. and for some } \lambda > 1$

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$$\int_{\Omega_d} \lambda^{-\frac{pq}{p-q}(t)} d\mu \geq \int_{\Omega_d \setminus D_\varepsilon} \lambda^{-\frac{pq}{p-q}(t)} d\mu \geq \lambda^{-\frac{p^+q^+}{\varepsilon}} \mu(\Omega_d \setminus D_\varepsilon) = \infty$$

- there exists disjoint (A_n) with $\mu(A_n) = 1$ s.t. $p_{|A_n}^+ - q_{|A_n}^- < \frac{1}{n}$.

Now

$$\ell_{p_{|A_n}^-} \hookrightarrow [\chi_{A_n}]_{p(\cdot)} \hookrightarrow \ell_{p_{|A_n}^+} \quad \ell_{q_{|A_n}^-} \hookrightarrow [\chi_{A_n}]_{q(\cdot)} \hookrightarrow \ell_{q_{|A_n}^+}.$$

(by Nakano sequence criteria) $\ell_{p_{|A_n}^-} \cong \ell_{p_{|A_n}^+} \cong \ell_{q_{|A_n}^-} \cong \ell_{q_{|A_n}^+}$ so

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2., unbounded exponents ($q^+ \leq p^+ = \infty$)

Reduce to $\mu_{q(\cdot)}(n) = \infty$ for every n .

there exist $(n_k)_k$ and disjoint $(A_k)_k$ with $\mu(A_k) = 1$ s.t.

$$n_k \leq q_{|A_k}^- \leq p_{|A_k}^- \quad \text{and} \quad q_{|A_k}^+ \leq p_{|A_k}^+ \leq n_{k+1}.$$

$$[\chi_{A_k}]_{p(\cdot)}, \ell_{(n_k)} \cong \ell_\infty \cong \ell_{(n_{k+1})}, \Rightarrow [\chi_{A_k}]_{p(\cdot)} \cong c_0.$$

Similarly $[\chi_{A_k}]_{q(\cdot)} \cong c_0$

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THANK YOU VERY MUCH iii