Recent advances in free Banach lattices with upper *p*-estimates

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Workshop Banach spaces and Banach lattices, May 2024

Joint work with Denny H. Leung, Mitchell A. Taylor and Pedro Tradacete.

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Enrique García-Sánchez (ICMAT) Free Banach lattices with upper *p*-estimates





- 3 Embeddings into ∞ -sums
- Free Banach lattices with upper p-estimates



- 2 Free Banach lattices
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An operator $T : X \to Y$ between two Banach lattices is called a **lattice** homomorphism if it is linear and preserves the lattice operations.

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Examples: ℓ_p , $L_{p,q}(\Omega)$, C(K)...

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A Banach lattice X is said *p*-convex for $1 \le p \le \infty$ if there exists a constant $M \ge 1$ such that

$$\left\|\left(\sum_{i=1}^{n}|x_i|^p\right)^{\frac{1}{p}}\right\| \leq M\left(\sum_{i=1}^{n}\|x_i\|^p\right)^{\frac{1}{p}}$$

holds for any $x_1, \ldots, x_n \in X$,

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$$\left(\sum_{i=1}^{n} |x_i|^p\right)^{\frac{1}{p}} = \sup\left\{\sum_{i=1}^{n} a_i x_i : \left(\sum_{i=1}^{n} |a_i|^{p^*}\right)^{\frac{1}{p^*}} \le 1\right\} \text{ and } \frac{1}{p} + \frac{1}{p^*} = 1.$$

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The lowest constant M satisfying the above inequality is called the *p*-convexity constant of X, and is denoted by $M^{(p)}(X)$.

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A Banach lattice X satisfies an **upper** *p*-**estimate** for $1 if there exists a constant <math>M \ge 1$ such that

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holds for any $x_1,\ldots,x_n\in X$ pairwise disjoint, or equivalently, if

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holds for any $x_1, \ldots, x_n \in X$. The lowest constant M satisfying any of the above inequalities is called the **upper** *p***-estimates constant of** X, and is denoted by $M^{(p,\infty)}(X)$.

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- ℓ_p and $L_p(\Omega)$ are *p*-convex with constant 1.
- $\ell_{p,\infty}$ and $L_{p,\infty}(\Omega)$ satisfy an upper *p*-estimate with constant 1.

Weak- L_p

Let $1 and <math>(\Omega, \Sigma, \mu)$ be a measure space.

$$L_{p,\infty}(\mu) = \left\{ f: \Omega o \mathbb{R} \, : \, f \text{ measurable, } \|f\|_{L_{p,\infty}}^* < \infty
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where

$$||f||_{L_{p,\infty}}^* = \sup_{t>0} t\mu(\{|f|>t\})^{\frac{1}{p}}.$$

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In general, $\|\cdot\|_{L_{p,\infty}}^*$ is a quasinorm but not a norm. However, for $1 , <math>L_{p,\infty}(\mu)$ can be renormed with the norm

$$\|f\|_{L^{r}_{p,\infty}} = \sup_{0 < \mu(A) < \infty} \mu(A)^{\frac{1}{p} - \frac{1}{r}} \left(\int_{A} |f|^{r} d\mu \right)^{\frac{1}{r}},$$

for each $1 \le r < p$. Since all these norms are equivalent, we will chose r = 1and denote the norm by $\|\cdot\|_{L_{p,\infty}}$.

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3 Embeddings into ∞ -sums

Free Banach lattices with upper p-estimates

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Free *p*-convex Banach lattices

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Free *p*-convex Banach lattices

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Let *E* be a Banach space and $1 \le p \le \infty$. The **free** *p*-convex Banach lattice over *E* is a *p*-convex Banach lattice with constant 1, denoted by $FBL^{(p)}[E]$, along with a linear isometrical embedding $\phi_E : E \to FBL^{(p)}[E]$,

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Note that every Banach lattice is 1-convex with constant 1 (triangular inequality), so for p = 1 we recover FBL[E].

Enrique García-Sánchez (ICMAT) Free Banach lattices

Free Banach lattices with upper *p*-estimates

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$$\|f\|_{p} = \sup\left\{\left(\sum_{k=1}^{n} |f(x_{k}^{*})|^{p}\right)^{\frac{1}{p}} : (x_{k}^{*}) \subset E^{*}, \sup_{x \in B_{E}} \left(\sum_{k=1}^{n} |x_{k}^{*}(x)|^{p}\right)^{\frac{1}{p}} \leq 1\right\}.$$

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The space $\operatorname{FBL}^{(p)}[E] := \operatorname{FVL}[E] \subset H_p[E]$ is a representation of the free *p*-convex Banach lattice over *E*, where $\operatorname{FVL}[E] = \operatorname{lat}(\phi_E(E))$ denotes the free vector lattice generated by *E*.

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Free Banach lattices with convexity conditions

The explicit construction of $\operatorname{FBL}^{(p)}[E]$ allows to show that $\operatorname{FBL}^{(p)}[E] \subseteq C(B_{E^*}, w^*)$, so in particular lattice homomorphism (evaluations on $x^* \in B_{E^*}$) separate the points of $\operatorname{FBL}^{(p)}[E]$.

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In the same paper [JLTTT], the authors were able to extend the notion of free Banach lattice to more restricted classes of Banach lattices with "convexity conditions" by means of an abstract completion argument. These convexity conditions include as a particular case *p*-convexity, for which they were able to provide an explicit representation, or upper *p*-estimates, for which they stated the following problem:

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Free Banach lattices with convexity conditions

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Problem

Find an explicit formula for the norm of the free Banach lattice with upper *p*-estimates.

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Definition (Jardón-Sánchez, Laustsen, Taylor, Tradacete, Troitsky, 2022)

Let *E* be a Banach space and 1 .

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Image: A matrix and a matrix

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Theorem (Maurey's Factorization Theorem, 1974)

Let $1 , <math>A \subseteq L_1(\mu)$ and $0 < M < \infty$. The following are equivalent.

 For all finitely supported sequences (α_i)_{i∈I} of real numbers and (f_i)_{i∈I} ⊆ A,

$$\left\|\left(\sum |\alpha_i f_i|^p\right)^{\frac{1}{p}}\right\|_{L_1(\mu)} \leq M\left(\sum |\alpha_i|^p\right)^{\frac{1}{p}}.$$

 $\ensuremath{ \textbf{ 0} } \ensuremath{ \textbf{ 0} } \ensuremath{ \textbf{ There exists } } g \in L_1(\mu)_+ \text{, } \|g\|_{L^1(\mu)} = 1 \text{, such that for any } f \in {\mathsf{A}} \text{,} \label{eq:linearized_expansion}$

$$\left\|\frac{f}{g}\right\|_{L_p(g\cdot\mu)} \le M.$$

Theorem (Pisier's Factorization Theorem, 1986)

Let $1 , <math>A \subseteq L_1(\mu)$, $0 < M < \infty$ and $\gamma_p = (1 - \frac{1}{p})^{\frac{1}{p}-1}$. Consider the following conditions.

 For all finitely supported sequences (α_i)_{i∈I} of real numbers and (f_i)_{i∈I} ⊆ A,

$$\left\|\bigvee |\alpha_i f_i|\right\|_{L_1(\mu)} \leq M\left(\sum |\alpha_i|^p\right)^{\frac{1}{p}}$$

② There exists $g \in L^1(\mu)_+$, $||g||_{L^1(\mu)} \leq 1$, such that for any $f \in A$ and any µ-measurable set U,

$$\|f\chi_U\|_{L_1(\mu)} \leq \gamma_p M \left(\int_U g \, d\mu\right)^{1-\frac{1}{p}}$$

Then $(1) \Longrightarrow (2)$.

In particular, if X is a *p*-convex Banach lattice (respectively, a Banach lattice with **upper** *p*-estimates) and $T : X \to L_1(\mu)$ is a lattice homomorphism, considering $A = T(B_X)$ we obtain that T factors as $T = M_g R$ through $L_p(g\mu)$ (respectively, $L_{p,\infty}(g\mu)$) for some $0 \le g \in B_{L_1(\mu)}$, where $Rx = \frac{T_X}{g}$ and M_g is the multiplication by g operator.



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Embeddings into ∞ -sums

Proposition

The following statements hold:

 Let X be a p-convex Banach lattice with constant M. There is a family Γ of probability measures and a lattice isomorphism

$$J: X \to \left(\oplus_{\mu \in \Gamma} L_p(\mu) \right)_{\infty}$$

such that $||x|| \le ||Jx|| \le M ||x||$ for all $x \in X$.

 Let X be a Banach lattice with upper p-estimates with constant M. There is a family Γ of probability measures and a lattice isomorphism

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such that $||x|| \le ||Jx|| \le \gamma_p M ||x||$ for all $x \in X$.

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For every positive $x \in S_X$, find a normalized functional $x^* \in X^*_+$ such that $x^*(x) = 1$ and define the lattice seminorm $\rho_x(z) = x^*(|z|)$.

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$$Jz = (R_x z)_{x \in (S_X)_+}$$

satisfies the properties of the statement.

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The constant $\gamma_p > 1$ cannot be made 1 in the upper *p*-estimates case.

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Lemma

There exists a Banach lattice X of dimension 3 such that X satisfies an upper *p*-estimate with constant 1 but X does not embed lattice isometrically into any space of the form $\left(\bigoplus_{\mu\in\Gamma} L_{\rho,\infty}(\mu)\right)_{\infty}$.



- 2 Free Banach lattices
- $\fbox{3}$ Embeddings into ∞ -sums
- Free Banach lattices with upper p-estimates

Free Banach lattices associated to a class of Banach lattices

Definition

Let C be a class of Banach lattices and E a Banach space. The **free Banach lattice associated to the class** C is the completion of FVL[E] under the following norm:

$$\rho_{\mathcal{C}}(f) = \sup \left\{ \|\widehat{T}f\|_{X} : X \in \mathcal{C}, \ T : E \to X \text{ is a linear contraction} \right\},$$

where $f \in \text{FVL}[E]$ and $\widehat{T} : \text{FVL}[E] \to X$ denotes the unique extension of T to FVL[E] as a lattice homomorphism.

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Given a class of Banach lattices C, we denote by \overline{C} the enlarged class of all Banach lattices that embed lattice isometrically into a Banach lattice of the form $(\bigoplus_{\gamma \in \Gamma} X_{\gamma})_{\infty}$, where the Banach lattices X_{γ} all belong to the class C (repetitions allowed).

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By means of this new approach to free Banach lattices and the embedding result, we were able to provide an equivalent explicit norm for $\text{FBL}^{(p,\infty)}[E]$, solving the question raised in [JLTTT] and later in [OTTT].

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Theorem

Let *E* be a Banach space, $1 and denote by <math>C_{p,\infty}$ the class of Banach lattices satisfying an upper *p*-estimate with constant 1, so that $\operatorname{FBL}^{\mathcal{C}_{p,\infty}}[E] = \operatorname{FBL}^{(p,\infty)}[E]$. Define

$$\|f\|_{p,\infty} = \sup\left\{\|\left(f(x_k^*)\right)_{k=1}^n\|_{\ell_{p,\infty}^n} : (x_k^*) \subset E^*, \sup_{x \in B_E}\|\left(x_k^*(x)\right)_{k=1}^n\|_{\ell_{p,\infty}^n} \le 1\right\}$$

Then

$$\left\|\cdot\right\|_{\boldsymbol{p},\infty} \leq \rho_{\mathcal{C}_{\boldsymbol{p},\infty}}(\cdot) \leq \gamma_{\boldsymbol{p}}\left\|\cdot\right\|_{\boldsymbol{p},\infty},$$

where $\gamma_p = (1 - \frac{1}{p})^{\frac{1}{p} - 1}$.

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Sketch of the proof.

The embedding result implies that $\rho_{\mathcal{C}_{p,\infty}}(\cdot)$ is equivalent to $\rho_{\overline{\mathcal{X}}_{p,\infty}}(\cdot)$ with constant γ_p , where $\mathcal{X}_{p,\infty}$ denotes the class of $L_{p,\infty}(\mu)$ spaces with μ a probability measure.
Free Banach lattices with upper *p*-estimates

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In general, $\rho_{\overline{\mathcal{C}}}(\cdot) = \rho_{\mathcal{C}}(\cdot)$ for every class \mathcal{C} , so $\rho_{\overline{\mathcal{X}}_{p,\infty}}(\cdot) = \rho_{\mathcal{X}_{p,\infty}}(\cdot)$.

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Each of these singleton norms satisfy $\rho_{\{L_{\rho,\infty}(\mu)\}}(\cdot) = \rho_{\mathcal{F}_{\mu}}(\cdot)$, where

$$\mathcal{F}_{\mu} = \left\{ \text{span}\left\{ \chi_{V_i} \right\}_{i=1}^m : \{V_i\}_{i=1}^m \text{ pairwise disjoint, } \mu(V_i) = \frac{1}{r}, \frac{m}{r} \leq 1 \right\}$$

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Finally, note that $\rho_{\mathcal{F}_{\mu}}(\cdot)$ coincides with $\|\cdot\|_{p,\infty}$ for every μ .

Let C be the class of *p*-convex Banach lattices or Banach lattices with upper *p*-estimates (with constant 1). Given Banach lattices X_0, X_1, X_2 in C and lattice homomorphisms $T_i : X_0 \to X_i$ for i = 1, 2, there is a Banach lattice PO^C in C and lattice homomorphisms S_1, S_2 so that the following is an isometric push-out diagram in C:



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Moreover, if $||T_1|| \leq 1$ and T_2 is an isometric embedding, then S_1 is a K_{C} -isomorphic embedding, with K_C depending only on p.

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Subspace problem

Given an operator $T: F \to E$, denote by $\overline{T} = \widehat{\phi_E T}$:

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Subspace problem

Given an operator $T: F \to E$, denote by $\overline{T} = \widehat{\phi_E T}$:

$$\operatorname{FBL}^{\mathcal{C}}[F] \xrightarrow{\overline{T}} \operatorname{FBL}^{\mathcal{C}}[E]$$
$$\overset{\phi_{F}}{\uparrow} \qquad \qquad \uparrow^{\phi_{E}}$$
$$F \xrightarrow{T} E$$

It is well known that

- \overline{T} is injective if and only if T is injective,
- \overline{T} has dense range if and only if T has dense range,
- \overline{T} is onto if and only if T is onto.

Subspace problem

Problem (Subspace problem)

If T is an embedding, characterize when \overline{T} is an embedding.

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If T is an embedding, characterize when \overline{T} is an embedding.

Theorem (Oikhberg, Taylor, Tradacete, Troitsky)

Let $\iota : F \to E$ be an isometric embedding and C > 0. The following are equivalent.

- $\overline{\iota} : \operatorname{FBL}^{(p)}[F] \to \operatorname{FBL}^{(p)}[E]$ is a *C*-isomorphic lattice embedding.
- **2** Every $T : F \to L_p(\mu)$ ($\mu \sigma$ -finite) extends to $\widetilde{T} : E \to L_p(\mu)$ with $\|\widetilde{T}\| \leq C \|T\|$.

Solution For every $n \in \mathbb{N}$ and $\epsilon > 0$, any $T : F \to \ell_p^n$ extends to $\widetilde{T} : E \to \ell_p^n$ with $\|\widetilde{T}\| \le C(1+\epsilon) \|T\|$.

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Suppose that $\iota: F \to E$ is an isometric embedding and let C be the class of *p*-convex Banach lattices or Banach lattices with upper *p*-estimates (with constant 1). The following are equivalent.

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• $\overline{\iota} : \operatorname{FBL}^{\mathcal{C}}[F] \to \operatorname{FBL}^{\mathcal{C}}[E]$ is a c_1 -lattice embedding.

Suppose that $\iota: F \to E$ is an isometric embedding and let C be the class of *p*-convex Banach lattices or Banach lattices with upper *p*-estimates (with constant 1). The following are equivalent.

- $\overline{\iota} : \operatorname{FBL}^{\mathcal{C}}[F] \to \operatorname{FBL}^{\mathcal{C}}[E]$ is a c_1 -lattice embedding.
- ② For every operator $T : F \to X$ with X in C, there is Y in C, a K_C -lattice embedding $j : X \to Y$ and $S : E \to Y$ so that $jT = S\iota$ and $||S|| ≤ c_2 ||T||$.



Here, $c_2 \leq c_1 \leq K_C c_2$.

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The fact that ℓ_p is injective in the class of *p*-convex Banach lattices

The fact that ℓ_p is injective in the class of *p*-convex Banach lattices (that is, every sublattice of a *p*-convex Banach lattice that is lattice isomorphic to ℓ_p is complemented with a positive projection) allows to recover the statement from [OTTT] (up to constants).

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However, there seems to be a fundamental obstruction that prevents to obtain a similar statement for the upper *p*-estimates case:

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However, there seems to be a fundamental obstruction that prevents to obtain a similar statement for the upper *p*-estimates case:

Theorem

For any 1 there is a Banach lattice X with an upper*p* $-estimate (with constant 1) and an uncomplemented closed sublattice Y of X that is lattice isomorphic to <math>\ell^{p,\infty}$.

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Thank you for your attention!