

Supersymmetric flows and heterotic compactifications

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based on [2302.06624] with Anthony Ashmore and Ruben Minasian



Motivation

Geometric flows are important tools to investigate solutions to a geometric equation

Properties required

- (strong/weak) **parabolicity** (ensures short time existence and uniqueness)
- geometric meaning of fixed points

Examples

- Ricci flow
(and variations with extra structure, e.g. Kähler–Ricci, G_2 , ...)

[Hamilton 1982]

$$\partial_\lambda g_{mn} = -R_{mn}$$

- Donaldson heat flow
(gauge equivalent to Yang–Mills flow)

[Donaldson 1985]

$$h^{-1} \partial_\lambda h = -g^{i\bar{j}} \mathcal{F}_{i\bar{j}}$$

→ The flow that we will consider will generalize both examples!

Motivation

Heuristic motivation: flows arising in **string theory** expected to behave “nicely”

e.g. **Perelman–Ricci flow**

[Perelman 2002]

$$\partial_\lambda g_{mn} = -(R_{mn} + 2\nabla_m \nabla_n \varphi) \quad \partial_\lambda (\sqrt{|g|} e^{-2\varphi}) = 0$$

- Ricci flow as a gradient flow (up to diffeomorphisms)
- with this gauge choice: weakly parabolic \rightarrow strongly parabolic (DeTurck trick)
- functional: string-frame effective action for a metric and dilaton

$$S = \int_X \sqrt{|g|} e^{-2\varphi} (R + 4(\nabla\varphi)^2)$$

Outline

Goal: set up a heterotic string theory framework for **anomaly flows**, which are geometric flows on $\text{SU}(3)$ structure manifolds

- I) Heterotic supergravity and flux compactifications
- II) Anomaly flows
- III) Recasting as a gradient flow
- IV) Including α' corrections
- V) Generalization to G_2 and $\text{Spin}(7)$ structure manifolds

I. Heterotic supergravity and flux compactifications

Heterotic basics

$$\text{Bosonic fields: } \left\{ \begin{array}{l} \text{tetrad } e_M^A \\ \text{(metric } g_{MN} = e_M^A e_{NA}) \\ \text{dilaton } \varphi \\ B\text{-field } B_{MN} \\ \text{gauge connection } \mathcal{A}_M \end{array} \right. \quad \text{Fermionic fields: } \left\{ \begin{array}{l} \text{gravitino } \psi_M \\ \text{dilatinos } \lambda \\ \text{gauginos } \chi \end{array} \right.$$

Heterotic action

$$S = \int_{M_{10}} |e| e^{-2\varphi} \left(R + 4(\nabla\varphi)^2 - \frac{1}{2}H^2 - \frac{\alpha'}{4} (\text{tr } \mathcal{F}^2 - \text{tr } \mathcal{R}_+^2) \right) + \text{fermions}$$

- curvature \mathcal{R}_+ computed from the Hull connection $\Gamma_+ = \Gamma + \frac{1}{2}H$
- two-form B_{MN} only appears through the field strength

$$H = dB + \frac{\alpha'}{4} (\omega_{\text{CS}}(\mathcal{A}) - \omega_{\text{CS}}(\Gamma_+)) \quad \omega_{\text{CS}}(\mathcal{A}) = \text{tr}(\text{d}\mathcal{A} \wedge \mathcal{A} + \frac{2}{3}\mathcal{A} \wedge \mathcal{A} \wedge \mathcal{A})$$

resulting in the Bianchi identity

$$\text{d}H = \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+)$$

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resulting in the **Bianchi identity**

$$dH = \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+)$$

Minkowski heterotic compactifications

Compactify heterotic theory on $\mathbb{R}^{1,3} \times X$ with a **compact six-manifold** X

- Poincaré invariance
 - fermionic fields vanish
 - bosonic fields supported on X

$$g = \eta_{\mathbb{R}^{1,3}} + g_X \quad H, \varphi, \mathcal{F} \in \Omega^*(X)$$

- equations of motion and Bianchi identity reduce to the internal space
 - effective six-dimensional bosonic action

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Heterotic equations of motion (bosonic)

$$\text{eom}[e]_{mn} = R_{mn} + 2\nabla_m \nabla_n \varphi - \frac{1}{4} H_m{}^{pq} H_{npq} - \frac{\alpha'}{4} (\text{tr } \mathcal{F}_m{}^p \mathcal{F}_{np} - \text{tr } \mathcal{R}_m{}^+{}^p \mathcal{R}_{np}^+)$$

$$\text{eom}[\varphi] = R + 4\nabla^2 \varphi - 4(\nabla \varphi)^2 - \frac{1}{2} H^2 - \frac{\alpha'}{8} (\text{tr } \mathcal{F}^2 - \text{tr } \mathcal{R}_+^2)$$

$$\text{eom}[B] = \star e^{2\varphi} d(e^{-2\varphi} \star H)$$

$$\text{eom}[\mathcal{A}] = \star e^{2\varphi} \mathcal{D}_- (e^{-2\varphi} \star \mathcal{F})$$

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Supersymmetric compactifications and Hull–Strominger equations

Preserving $\mathcal{N} = 1$ supersymmetry requires solving the Killing spinor equations

$$D_m \epsilon = 0 \quad \not{D} \epsilon = 0 \quad \not{F} \epsilon = 0$$

with the **supersymmetry operators**

$$D_m = \nabla_m + \frac{1}{8} H_{mn_1 n_2} \gamma^{n_1 n_2}$$

$$\not{D} = \gamma^m \nabla_m + \frac{1}{24} H_{m_1 \dots m_3} \gamma^{m_1 \dots m_3} - \nabla_m \varphi \gamma^m$$

$$\not{F} = \frac{1}{2} \mathcal{F}_{m_1 m_2} \gamma^{m_1 m_2}$$

→ very restrictive! e.g. $\partial_m (\epsilon^\dagger \epsilon) = 0$

Internal space should be endowed with globally defined forms

$$J_{m_1 m_2} = -i \epsilon^\dagger \gamma_{m_1 m_2} \gamma_* \epsilon \quad \Omega_{m_1 \dots m_3} = -i \epsilon^\dagger \gamma_{m_1 \dots m_3} (I + \gamma_*) \epsilon$$

defining a **SU(3) structure** on X

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defining a **SU(3) structure** on X

Supersymmetric compactifications and Hull–Strominger equations

Hull–Strominger system

[Hull 1986, Strominger 1986]

Conditions for a supersymmetric solution:

- X is a complex manifold
- X has **SU(3) structure**: $(1,1)$ -form J and $(3,0)$ -form Ω satisfying

$$J \wedge \Omega = 0 \quad J \wedge J \wedge J = \frac{3i}{4} \Omega \wedge \bar{\Omega}$$

as well as the differential conditions

$$d(e^{-2\varphi} J \wedge J) = 0 \quad d(e^{-2\varphi} \Omega) = 0$$

- gauge bundle satisfies the **Hermitian Yang–Mills equations**

$$J \wedge J \wedge \mathcal{F} = 0 \quad \Omega \wedge \mathcal{F} = \bar{\Omega} \wedge \mathcal{F} = 0$$

- H -flux is defined from $H = -i(\partial - \bar{\partial})J$ and constrained by the **Bianchi identity**

$$2i\partial\bar{\partial}J = \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+)$$

Flux solutions

$$H = -i(\partial - \bar{\partial})J$$

non-vanishing H -flux \Leftrightarrow non-Kähler background

Numerous works on non-Kähler geometry in both math.DG and hep-th

[Adams,Becker,Curio,Dall'Agata,Dasgupta,Ernebjerg,Fei,Fernandez,Fino,García-Fernández, Grantcharov,Huang,Israel,Ivanov,Lapan,Lopes Cardoso,Lust,Manousselis,Melnikov,Minasian, Otal,Petrini,Picard,Sethi,Tseng,Ugarte,Vassilev,Vezzoni,Villacampa,Yau,Zoupanos,...,...]

Fu–Yau backgrounds

[Dasgupta–Rajesh–Sethi 1999, Goldstein–Prokushkin 2004]

- well motivated from the physics side (M-theory dual)
- X constructed as a principal torus fibration over a K3 surface

$$\begin{array}{c} T^2 \hookrightarrow X \\ \downarrow \\ \text{K3} \end{array}$$

- gauge connection pulled back from a HYM connection on K3
- Bianchi identity is a top form on K3 and admits solutions!

[Fu–Yau 2008]

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II. Anomaly flows

Anomaly flows [Phong–Picard–Zhang 2015]

Anomaly flows are a coupled flow on a complex manifold X for

- a $SU(3)$ structure on $X \rightarrow J_\lambda, \Omega_\lambda, \varphi_\lambda$,
- a hermitian gauge bundle over $X \rightarrow h_\lambda$

Anomaly flow equations

$$\partial_\lambda (e^{-2\varphi} J \wedge J) = 2i \partial \bar{\partial} J - \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_{[\Gamma]} \wedge \mathcal{R}_{[\Gamma]})$$

$$\partial_\lambda (e^{-2\varphi} \Omega) = 0$$

$$h^{-1} \partial_\lambda h = -g^{i\bar{j}} \mathcal{F}_{i\bar{j}}$$

- preserves supersymmetry: $\partial_\lambda d(e^{-2\varphi} J \wedge J) = 0 \quad \partial_\lambda d(e^{-2\varphi} \Omega) = 0$
- fixed points solve Bianchi and HYM!
- weakly parabolic \rightarrow short-time existence

Anomaly flow on Fu–Yau backgrounds

On Fu–Yau manifolds $T^2 \hookrightarrow X \rightarrow K3$, the anomaly flow becomes a flow for a scalar field on K3
[Phong–Picard–Zhang 2016]

$$\partial_\lambda e^{2\varphi} = \frac{1}{2} \Delta_{K3} e^{2\varphi} - \mu[\varphi]$$

with $\mu \operatorname{vol}_{K3} = \mathcal{G}_{IJ} F^I \wedge F^J + \frac{\alpha'}{4} (\operatorname{tr} \mathcal{F} \wedge \mathcal{F} - \operatorname{tr} \mathcal{R} \wedge \mathcal{R})$
(topological requirement $\int_X \mu \operatorname{vol}_{K3} = 0$)

Properties

- parabolic complex Monge–Ampère type equation
 - long time existence
 - convergence
- alternative proof of existence of the Fu–Yau solution!

Understanding anomaly flows

Embedding anomaly flow in heterotic?

$$\partial_\lambda (e^{-2\varphi} J \wedge J) = dH - \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}^C \wedge \mathcal{R}^C)$$

$$\partial_\lambda (e^{-2\varphi} \Omega) = 0$$

$$h^{-1} \partial_\lambda h = -g^{i\bar{j}} \mathcal{F}_{i\bar{j}}$$

Heterotic formulation

(1) Connection Γ appearing in Bianchi:

- change of connection doesn't affect topology
- $\text{tr } \mathcal{R} \wedge \mathcal{R}$ should be a $(2,2)$ -form (?)
- torsional connection Γ_+ singled out by supersymmetry

(2) expect **corrections** at higher orders in α'

- α'^2 -corrected flow equations?

Finding how anomaly flows emerge in the heterotic theory would give insight on both issues!

Understanding anomaly flows

Embedding anomaly flow in heterotic:

$$\partial_\lambda (e^{-2\varphi} J \wedge J) = dH - \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}^+ \wedge \mathcal{R}^+)$$

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Understanding anomaly flows

Embedding anomaly flow in heterotic

$$\partial_\lambda (e^{-2\varphi} J \wedge J) = dH - \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}^+ \wedge \mathcal{R}^+) + \mathcal{O}(\alpha'^2)$$

$$\partial_\lambda (e^{-2\varphi} \Omega) = \mathcal{O}(\alpha'^2)$$

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Understanding anomaly flows

For now:

- focus on the geometric part (existence results for HYM)
- set α' to zero \Rightarrow α' corrections later ($\alpha' \rightarrow 0$ limit is only formal!)

Simplified “anomaly flow” on a $SU(3)$ structure manifold X

$$\begin{aligned}\partial_\lambda (e^{-2\varphi} J \wedge J) &= dH \\ \partial_\lambda (e^{-2\varphi} \Omega) &= 0\end{aligned}$$

- initial data: J_0, Ω_0, φ_0 with

$$d(e^{-2\varphi} J \wedge J)|_{\lambda=0} = 0 \quad d(e^{-2\varphi} \Omega)|_{\lambda=0} = 0$$

- X has to be Kähler for convergence. . .
- non-trivial fixed points (astheno-Kähler metrics)

[Phong–Picard–Zhang 2018]

Understanding anomaly flows

The flow of the metric can be integrated from the flow of $J \wedge J$ as

$$\partial_\lambda g_{mn} = \frac{1}{4} e^{2\varphi} J^{p_1 p_2} J_m{}^q dH_{p_1 p_2 q n}$$

For supersymmetric configurations, using identities of conformally balanced manifolds, this flow can be recast in the form

$$\partial_\lambda g_{mn} = -e^{2\varphi} \left(R_{mn} + 2\nabla_m \nabla_n \varphi - \frac{1}{4} H_m{}^{pq} H_{npq} \right)$$

→ flow by the equation of motion!

Similar structure for the dilaton:

$$\partial_\lambda \varphi = -\frac{1}{4} e^{2\varphi} \left(R + 4\nabla^2 \varphi - 4(\nabla \varphi)^2 - \frac{1}{2} H^2 \right)$$

Questions

- derive from an action?
- what about the B -field?

III. Recasting as a gradient flow

Flow and supergravity fields

Recall for a $SU(3)$ structure: $\{J, \Omega, \varphi\} \leftrightarrow \{e^a, \epsilon, \varphi\}$

Flow of the supergravity fields

$$\begin{aligned}\partial_\lambda \epsilon &= -\frac{1}{4} e^{2\varphi} (I - \epsilon \epsilon^\dagger) dH \epsilon \\ \partial_\lambda e_m^a &= -\frac{1}{4} e^{2\varphi} \frac{1}{3!} (dH)_{mn_1 \dots n_3} \epsilon^\dagger \gamma^{an_1 \dots n_3} \epsilon \\ \partial_\lambda \varphi &= -\frac{1}{4} e^{2\varphi} \epsilon^\dagger dH \epsilon \quad dH = \frac{1}{4!} (dH)_{m_1 \dots m_4} \gamma^{m_1 \dots m_4} \epsilon\end{aligned}$$

Correspond to “functional derivatives” of

$$\begin{aligned}I &= \int_X |e| e^{-2\varphi} \epsilon^\dagger dH \epsilon \\ &= \int_X e^{-2\varphi} J \wedge dH\end{aligned}$$

with dH **kept fixed**...

(using $(J \wedge J)_{m_1 \dots m_4} = \epsilon^\dagger \gamma_{m_1 \dots m_4} \epsilon$)

Where is the B -field?

Missing degree of freedom corresponding to the B -field

Supersymmetric configurations satisfy $H = -i(\partial - \bar{\partial})J = \star e^{2\varphi} d(e^{-2\varphi} J)$

- defining B -field from H as $H = dB$ is inconsistent with $dH = 2i\partial\bar{\partial}J$
- however $d(e^{-2\varphi} \star H) = d^2(-e^{-2\varphi} J) = 0$

→ possible to **dualize**!

Dual \tilde{B} -field

$$e^{-2\varphi} \star H = d\tilde{B}$$

- constrained by supersymmetry to be (up to gauge transformations)

$$\tilde{B} = -e^{-2\varphi} J$$

- for consistency with anomaly flow

$$\begin{aligned} \partial_\lambda \tilde{B} &= -\partial_\lambda (e^{-2\varphi} J) \\ &= -\frac{1}{2} \star dH \end{aligned}$$

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A functional for anomaly flows

Define the **anomaly flow functional** as

$$\mathcal{I} = \int_X \left(\tilde{B} + e^{-2\varphi} J \right) \wedge dH$$

The equations of motion of \mathcal{I} reproduce the (simplified) anomaly flow equations

Explicitly

$$\begin{aligned} \partial_\lambda e^a &= \frac{1}{4} e^{2\varphi} \frac{\delta \mathcal{I}}{\delta e_a} + \frac{1}{8} e^{2\varphi} \frac{\delta \mathcal{I}}{\delta \varphi} e^a & \partial_\lambda \epsilon &= -\frac{1}{4} e^{2\varphi} \frac{\delta \mathcal{I}}{\delta \epsilon} \\ \partial_\lambda \varphi &= \frac{1}{8} e^{2\varphi} \frac{\delta \mathcal{I}}{\delta \varphi} & \partial_\lambda \tilde{B} &= -\frac{1}{2} e^{-2\varphi} \frac{\delta \mathcal{I}}{\delta \tilde{B}} \end{aligned}$$

→ Does \mathcal{I} appear in the heterotic theory?

Bismut–Lichnerowicz formula

Lichnerowicz formula:

$$(\nabla^m \nabla_m - \nabla^2) \epsilon = \frac{1}{4} R \epsilon$$

[Lichnerowicz 1963]

Coupling to H -flux:

[Bismut 1989]

$$\begin{aligned} D_m &= \nabla_m + \alpha \frac{1}{2!} H_{mn_1 n_2} \gamma^{n_1 n_2} \\ \not{D} &= \gamma^m \nabla_m + \beta \frac{1}{3!} H_{m_1 \dots m_3} \gamma^{m_1 \dots m_3} \end{aligned}$$

Difference of squares:

$$\begin{aligned} (D^m D_m - \not{D}^2) \epsilon &= \frac{1}{4} (R - 12(\alpha^2 - \frac{1}{3} \beta^2) H^2) \epsilon - \beta \frac{1}{4!} dH_{m_1 \dots m_4} \gamma^{m_1 \dots m_4} \epsilon \\ &\quad + \frac{1}{2} (\alpha - \beta) (\star d \star H)_{m_1 m_2} \gamma^{m_1 m_2} \epsilon \\ &\quad + \frac{1}{4} (\alpha^2 - \beta^2) H_{m_1 m_2}^n H_{m_3 m_4 n} \gamma^{m_1 \dots m_4} \epsilon \\ &\quad + (\alpha - \beta) H_{m_1 m_2}^n \gamma^{m_1 m_2} \nabla_n \epsilon \end{aligned}$$

- setting $\alpha = \beta \rightarrow$ **Bismut–Lichnerowicz** ($\alpha = \frac{1}{4}$ for correct normalization of H^2)
- coupling to dilaton?

Bismut–Lichnerowicz formula

Lichnerowicz formula:

$$(\nabla^m \nabla_m - \not{D}^2)\epsilon = \frac{1}{4} R \epsilon$$

[Lichnerowicz 1963]

Coupling to H -flux:

[Bismut 1989]

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Bismut–Lichnerowicz formula

With dilaton coupling:

[Minasian–Petrini–Svanes 2017]

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$$\not{D} = \gamma^m \nabla_m + \frac{1}{24} H_{m_1 \dots m_3} \gamma^{m_1 \dots m_3} - \nabla_m \varphi \gamma^m$$

→ supersymmetry operators!

- still non-tensorial. . .

$$(D^m D_m - \not{D}^2) \epsilon = \frac{1}{4} (R - \frac{1}{2} H^2 - 4(\nabla \varphi)^2 + 4\nabla^2 \varphi) \epsilon$$

$$- \frac{1}{4} \frac{1}{4!} dH_{m_1 \dots m_4} \gamma^{m_1 \dots m_4} \epsilon$$

$$+ 2 \nabla^m \varphi D_m \epsilon$$

- contract with ϵ and integrate by part:

$$\int_X |e| e^{-2\varphi} (R + 4(\nabla \varphi)^2 - \frac{1}{2} H^2) \epsilon^\dagger \epsilon = 4 \int_X |e| e^{-2\varphi} (|\not{D}\epsilon|^2 - |D\epsilon|^2)$$

$$+ \int_X |e| e^{-2\varphi} \epsilon^\dagger dH \epsilon$$

Bismut–Lichnerowicz formula

With dilaton coupling:

[Minasian–Petrini–Svanes 2017]

$$D_m = \nabla_m + \frac{1}{8} H_{mn_1 n_2} \gamma^{n_1 n_2}$$

$$\not{D} = \gamma^m \nabla_m + \frac{1}{24} H_{m_1 \dots m_3} \gamma^{m_1 \dots m_3} - \nabla_m \varphi \gamma^m$$

→ supersymmetry operators!

- still non-tensorial. . .

$$(D^m D_m - \not{D}^2) \epsilon = \frac{1}{4} (R - \frac{1}{2} H^2 - 4(\nabla \varphi)^2 + 4\nabla^2 \varphi) \epsilon$$

$$- \frac{1}{4} \frac{1}{4!} dH_{m_1 \dots m_4} \gamma^{m_1 \dots m_4} \epsilon$$

$$+ 2 \nabla^m \varphi D_m \epsilon$$

- contract with ϵ and integrate by part:

$$\int_X |e| e^{-2\varphi} (R + 4(\nabla \varphi)^2 - \frac{1}{2} H^2) \epsilon^\dagger \epsilon = 4 \int_X |e| e^{-2\varphi} (|\not{D}\epsilon|^2 - |D\epsilon|^2)$$

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- still non-tensorial. . .

$$(D^m D_m - \not{D}^2) \epsilon = \frac{1}{4} (R - \frac{1}{2} H^2 - 4(\nabla \varphi)^2 + 4\nabla^2 \varphi) \epsilon$$

$$- \frac{1}{4} \frac{1}{4!} dH_{m_1 \dots m_4} \gamma^{m_1 \dots m_4} \epsilon$$

$$+ 2 \nabla^m \varphi D_m \epsilon$$

- contract with ϵ and integrate by part:

$$\int_X |e| e^{-2\varphi} (R + 4(\nabla \varphi)^2 - \frac{1}{2} H^2) \epsilon^\dagger \epsilon = 4 \int_X |e| e^{-2\varphi} (|\not{D}\epsilon|^2 - |D\epsilon|^2)$$

$$+ \boxed{\int_X |e| e^{-2\varphi} \epsilon^\dagger dH \epsilon} \leftarrow \text{appears in } \mathcal{I}$$

Recognizing the anomaly flow functional

For a supersymmetric background ($\epsilon^\dagger \epsilon = 1$ and $D\epsilon = \not{D}\epsilon = 0$)

$$\mathcal{I} = \int_X |e| e^{-2\varphi} (R + 4(\nabla\varphi)^2 - \tfrac{1}{2}H^2) + \int_X \tilde{B} \wedge dH$$

The anomaly flow functional reproduces the dualized heterotic bosonic action

So far

- rephrased (simplified) anomaly flow as a flow for supergravity fields
- defined a functional \mathcal{I} for the flow
- identified \mathcal{I} with the heterotic bosonic action

IV. Including α' corrections

Choice of connection

Original formulation of anomaly flows uses the **Chern connection** Γ^C

- $\text{tr } \mathcal{R}^C \wedge \mathcal{R}^C$ in Bianchi is a $(2,2)$ -form
- usual choice in (part of) the literature

Changing connection on TX

- does not affect topological properties
- is correlated with the local form of supersymmetry equations
- corresponds to changing regularization scheme in the effective action

Choice singled out by supersymmetry: **Hull connection** Γ^+

(not a new degree of freedom! $\Gamma^+ = \Gamma^+[J]$)

Choice of connection

How Γ^+ appears in heterotic supergravity

- (1) Hull connection fits in a composite Yang–Mills multiplet with the gravitino curvature ψ_{ab} [Bergshoeff–de Roo 1989]

$$\delta\psi_{ab} = \frac{1}{8}R_{abcd}^+\gamma^{cd}\epsilon \quad \frac{1}{2}\delta\Gamma_{mab}^+ = -\epsilon^\dagger\gamma_m\psi_{ab}$$

- (2) compatibility between susy and eoms requires an **instanton condition** on the curvature [Ivanov 2009, de la Ossa–Svanes 2014]

$$\mathcal{R}\epsilon = \mathcal{O}(\alpha')$$

which distinguishes the Hull connection

$$\begin{aligned} \mathcal{R}_{a_1a_2}^+\epsilon &= 2[D_{a_1}, D_{a_2}]\epsilon - \frac{1}{4}dH_{m_1m_2a_1a_2}\gamma^{m_1m_2}\epsilon \\ &= \mathcal{O}(\alpha') \text{ for solutions of the Bianchi identity} \end{aligned}$$

(can be computed from $R_{m_1m_2n_1n_2}^- = R_{n_1n_2m_1m_2}^+ + \frac{1}{2}dH_{m_1m_2n_1n_2}$ where Γ^- is the connection associated to D_m)

→ The choice of Γ^+ is also singled out by anomaly flows!

Anomaly flow at first order in α'

Consider the anomaly flow with couplings to an arbitrary connection $\check{\Gamma}$

Flow of the metric

- integrate $\partial_\lambda g$ from the $SU(3)$ structure flow

$$\partial_\lambda g_{m_1 m_2} = \frac{1}{4} e^{2\varphi} J^{n_1 n_2} J_{m_1}{}^p \partial_\lambda (e^{-2\varphi} J \wedge J)_{n_1 n_2 p m_2}$$

- rewrite using susy operators D and \not{D}

At zeroth order in α'

$$\begin{aligned} \partial_\lambda g_{mn} &= -e^{2\varphi} \left(R_{mn} + 2\nabla_m \nabla_n \varphi - \frac{1}{4} H_m{}^{p_1 p_2} H_{n p_1 p_2} \right) \\ &\quad + e^{2\varphi} \left(\epsilon^\dagger \gamma_{(m} \not{D} D_{n)} \epsilon - \epsilon^\dagger \gamma_{(m} D_{n)} \not{D} \epsilon + \frac{1}{2} H_{(m}{}^{pq} \epsilon^\dagger \gamma_{n)} \gamma_p D_q \epsilon + \text{c.c.} \right) \\ &\quad + \mathcal{O}(\alpha') \\ &= \boxed{-e^{2\varphi} \text{eom}[g]_{mn} + [D, \not{D} \text{ bilinears}] + \mathcal{O}(\alpha')} \end{aligned}$$

→ for an initial susy configuration, flow by the equation of motion

Anomaly flow at first order in α'

Consider the anomaly flow with couplings to an arbitrary connection $\check{\Gamma}$

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- integrate $\partial_\lambda g$ from the $SU(3)$ structure flow

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→ for an initial susy configuration, flow by the equation of motion

Anomaly flow at first order in α'

At first order in α' this structure breaks down

$$\begin{aligned} \partial_\lambda g_{mn} = & -e^{2\varphi} \text{eom}[g]_{mn} + [D, \not{D} \text{ bilinears}] \\ & + \frac{\alpha'}{4} e^{2\varphi} \left(\text{tr } \epsilon^\dagger \mathcal{F}_{mp} \gamma_n^p \not{\mathcal{F}} \epsilon - \text{tr } \epsilon^\dagger \check{\mathcal{R}}_{mp} \gamma_n^p \check{\not{\mathcal{R}}} \epsilon \right) \end{aligned}$$

- $\not{\mathcal{F}} \epsilon$ and $\check{\not{\mathcal{R}}} \epsilon$ should vanish at fixed points of the flow up to $\mathcal{O}(\alpha'^2)$ terms
 - $\not{\mathcal{F}} \epsilon = 0$ by HYM
 - recover instanton condition $\check{\not{\mathcal{R}}} \epsilon = \mathcal{O}(\alpha')$

Chern connection is generically not an $SU(3)$ instanton

[Martelli–Sparks 2011]

Anomaly flow and α' expansion

Employing the Hull connection without an α' expansion is inconsistent

- $\text{tr } \mathcal{R}^+ \wedge \mathcal{R}^+$ is $(2, 2)$ only up to $\mathcal{O}(\alpha')$
- $\mathcal{R}^+ \epsilon = 0$ at fixed points $\Rightarrow X$ is Calabi–Yau

[Ivanov–Papadopoulos 2000]

Higher order α' corrections

- to the equations of motion
 - to the Bianchi identity
 - to the supersymmetry operators
- e.g. at order α'^2

$$D_m \epsilon = \nabla_m \epsilon + \frac{1}{8} H_{mn_1 n_2} \gamma^{n_1 n_2} \epsilon - \frac{3}{2} \alpha' e^{2\varphi} \nabla_-^n (e^{-2\varphi} dH_{nmp_1 p_2}) \gamma^{p_1 p_2} \epsilon$$

[de la Ossa–Svanes 2015]

Anomaly flow and α' expansion

At order α' , the functional driving the flow becomes

$$\mathcal{I} = \int_X (\tilde{B} + e^{-2\varphi} J) \wedge \left(dH + \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+) \right)$$

- functional derivatives of \mathcal{I} reproduce the anomaly flow equations
- Lichnerowicz structure

$$\mathcal{I} = \underbrace{\int_X e^{-2\varphi} \mathcal{L}}_{\text{(dualized) bosonic action}} - \underbrace{\int_X |e| e^{-2\varphi} \left(4(|\not{D}\epsilon|^2 - |D\epsilon|^2) + \frac{\alpha'}{4} (\text{tr } |\mathcal{F}\epsilon|^2 - \text{tr } |\mathcal{R}^+ \epsilon|^2) \right)}_{=\mathcal{O}(\alpha'^2) \text{ along the flow or at fixed points}}$$

Guiding principle for α' expansion of the flow (schematically)

- expect the flow to be corrected order by order in α'
- construct $\mathcal{I}(\alpha')$ by maintaining Lichnerowicz structure at every order in α' (with α' -corrected functional, action and susy operators)

Anomaly flow and α' expansion

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- functional derivatives of \mathcal{I} reproduce the anomaly flow equations
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$$\mathcal{I} = \underbrace{\int_X e^{-2\varphi} \mathcal{L}}_{\text{(dualized) bosonic action}} - \underbrace{\int_X |e| e^{-2\varphi} \left(4(|\not{D}\epsilon|^2 - |D\epsilon|^2) + \frac{\alpha'}{4} (\text{tr } |\mathcal{F}\epsilon|^2 - \text{tr } |\mathcal{R}^+ \epsilon|^2) \right)}_{=\mathcal{O}(\alpha'^2) \text{ along the flow or at fixed points}}$$

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V. Generalization to G_2 and $\text{Spin}(7)$ structure manifolds

Other heterotic flows

Generalizations of anomaly flows

- $SU(n)$ **structure** manifolds

[Phong–Picard–Zhang 2018]

$$\partial_\lambda (e^{-2\varphi} J^{n-1}) = 2i \partial \bar{\partial} J^{n-2} + \dots \quad \partial_\lambda (e^{-2\varphi} \Omega) = 0$$

- other spaces?
 - supergravity reformulation of the flow is dimension-agnostic
 - Lichnerowicz identity exists on any (spin) manifold
- should extend to any manifold with a **covariantly constant spinor**
 - properties? (e.g. supersymmetry)

Two examples

- G_2 compactifications
- Spin(7) compactifications

G_2 heterotic flow

G_2 structure manifolds

- G_2 structure defined from a nowhere vanishing spinor in seven dimensions
- associative three-form ϕ and coassociative four-form $\star\phi$

$$\phi_{m_1\dots m_3} = -i\epsilon^\dagger \gamma_{m_1\dots m_3} \epsilon$$

$$\star\phi_{m_1\dots m_4} = \epsilon^\dagger \gamma_{m_1\dots m_4} \epsilon$$

Supersymmetric geometries

- supersymmetry conditions for Minkowski $D = 3$ compactifications

$$d(e^{-2\varphi} \star \phi) = 0$$

$$\phi \wedge d\phi = 0$$

with H -flux is defined as $H = -e^{2\varphi} \star d(e^{-2\varphi} \phi)$

- dual three-form field $\tilde{B} = -e^{-2\varphi} \phi$

G_2 heterotic flow

Define a flow for the supergravity fields — inspired by anomaly flows — as

$$\begin{aligned}\partial_\lambda \epsilon &= \alpha_1 e^{2\varphi} (I - \epsilon \epsilon^\dagger) dH \epsilon \\ \partial_\lambda e_m{}^a &= \alpha_2 e^{2\varphi} \frac{1}{3!} \epsilon^\dagger \gamma_m{}^{n_1 \dots n_3} \epsilon dH^a{}_{n_1 \dots n_3} \\ \partial_\lambda \varphi &= \alpha_3 e^{2\varphi} \epsilon^\dagger dH \epsilon\end{aligned}$$

Flow of the G_2 form

$$\partial_\lambda \phi = e^{2\varphi} (12\alpha_2 \mathbb{P}_1 + (8\alpha_1 - 6\alpha_2) \mathbb{P}_7 - 2\alpha_2 \mathbb{P}_{27}) \star dH$$

In particular

$$\partial_\lambda (e^{-2\varphi} \star \phi) = ((16\alpha_2 - 14\alpha_3) \mathbb{P}_1 + (8\alpha_1 - 6\alpha_2) \mathbb{P}_7 + 2\alpha_2 \mathbb{P}_{27}) dH$$

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\partial_\lambda \varphi &= \alpha_3 e^{2\varphi} \epsilon^\dagger dH \epsilon
\end{aligned}$$

Flow of the G_2 form

$$\partial_\lambda \phi = e^{2\varphi} (12\alpha_2 \mathbb{P}_1 + (8\alpha_1 - 6\alpha_2) \mathbb{P}_7 - 2\alpha_2 \mathbb{P}_{27}) \star dH$$

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&= \boxed{2\alpha_1 dH} \quad \text{for } \alpha_1 = \alpha_2 = \alpha_3
\end{aligned}$$

G_2 heterotic flow

G_2 version of anomaly flows

$$\partial_\lambda (e^{-2\varphi} \star \phi) = -\frac{1}{2} \left(dH + \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+) \right)$$

- preserves the supersymmetry condition $d(e^{-2\varphi} \star \phi) = 0$
- fixed points solve Bianchi identity
- reproduces $SU(3)$ anomaly flow on $X_7 = X_6 \times S^1$
- gradient flow formulation with

$$\mathcal{I} = \int_X (\tilde{B} + e^{-2\varphi} \phi) \wedge \left(dH + \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+) \right)$$

Open questions

- supersymmetry condition $\phi \wedge d\phi = 0$ not generically preserved (study torsion classes and G_2 cohomology?)
- flow of the gauge bundle?
- weak parabolicity?

Spin(7) heterotic flow

Spin(7) structure manifolds

- Spin(7) structure defined from a nowhere vanishing spinor in eight dimensions
- Cayley four-form Φ (self-dual)

$$\Phi_{m_1 \dots m_4} = \epsilon^\dagger \gamma_{m_1 \dots m_4} \epsilon$$

Supersymmetric geometries

- supersymmetry conditions for Minkowski $D = 2$ compactifications

$$\Phi \wedge \star d\Phi = 12 \star d\varphi$$

with H -flux is defined as $H = \star e^{2\varphi} d(e^{-2\varphi} \Phi)$

- dual four-form field $\tilde{B} = -e^{-2\varphi} \Phi$

Spin(7) heterotic flow

Similarly

$$\begin{aligned}\partial_\lambda \epsilon &= \alpha_1 e^{2\varphi} (I - \epsilon \epsilon^\dagger) dH \epsilon \\ \partial_\lambda e_m{}^a &= \alpha_2 e^{2\varphi} \frac{1}{3!} \epsilon_m{}^{n_1 \dots n_3} dH^a{}_{n_1 \dots n_3} \\ \partial_\lambda \varphi &= \alpha_3 e^{2\varphi} \epsilon^\dagger dH \epsilon\end{aligned}$$

Flow of the Spin(7) form

$$\partial_\lambda \Phi = \frac{1}{3} e^{2\varphi} (84\alpha_2 \mathbb{P}_1 + 48(\alpha_1 - \alpha_2) \mathbb{P}_7 + 12\alpha_2 \mathbb{P}_{35}) \star dH$$

simplifies for $\alpha_1 = \alpha_2 = \alpha_3 = \frac{1}{4}$

$$\partial_\lambda (e^{-2\varphi} \Phi) = -\frac{1}{2} (I - \star) dH$$

Spin(7) heterotic flow

Spin(7) version of anomaly flows

$$\partial_\lambda (e^{-2\varphi} \Phi) = -\frac{1}{2} (I - \star) \left(dH + \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+) \right)$$

- fixed points solve Bianchi identity
- reproduces $\begin{cases} \text{SU}(3) \text{ anomaly flows on } X_8 = X_6 \times T^2 \\ G_2 \text{ anomaly flows on } X_8 = X_7 \times S^1 \end{cases}$
- gradient flow formulation with

$$\mathcal{I} = \int_X (\tilde{B} + e^{-2\varphi} \Phi) \wedge \left(dH + \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+) \right)$$

Open questions: supersymmetry conditions? gauge bundle?

Summary and outlook

Conclusions

Anomaly flows from a heterotic perspective

- reframing of the flow equations as the heterotic equations of motion
- gradient flow formulation, $\mathcal{I} \sim$ heterotic action restricted to a susy locus
- generalization to manifolds with parallel spinors, e.g. $G_2/\text{Spin}(7)$

Outlook

- understand Yang-Mills part of the flow
 - SU(3): no gradient flow description
 - $G_2/\text{Spin}(7)$: canonical flow to couple to anomaly flows?
- study stability (and relate to α' corrections?) [Bedulli–Vezzoni 2020]
- embed the flow in generalized geometry?
- numerical implementation?
- relate to other geometric flows, e.g. spinor flows with flux [Collins–Phong 2021]

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Thank you!

A little more on anomaly flows. . .

SU(3) flows on T^2 fibrations over K3

Consider a Fu–Yau background $T^2 \hookrightarrow X \rightarrow \text{K3}$

- SU(2) structure of K3 $\frac{1}{2}j \wedge j = \frac{1}{4}\omega \wedge \omega = \text{vol}_{\text{K3}}$
- one-forms $\Theta^I = d\theta^I + A^I$ associated to $U(1)^2$ isometries
 \rightarrow complexified to $\Theta = \Theta^2 + i\Theta^1$ and $F = F^2 + iF^1$
- SU(3) structure

$$d\Theta^I = F^I$$

$$J = e^{2\varphi} j + \frac{i}{2} a \Theta \wedge \bar{\Theta}$$

$$\Omega = e^{2\varphi} \sqrt{a} \omega \wedge \Theta$$

- supersymmetry conditions require $F^{(0,2)} = 0$

SU(3) flow

$$\begin{aligned} \partial_\lambda e^{2\varphi} &= \frac{1}{2} \Delta_{\text{K3}} e^{2\varphi} - \mu[\varphi] \\ \partial_\lambda A &= \star_{\text{K3}} dF_{(2,0)} \end{aligned}$$

with

$$\mu[\varphi] \text{vol}_{\text{K3}} = \frac{1}{2} a (F_{(1,1)} \wedge \bar{F}_{(1,1)} - F_{(2,0)} \wedge \bar{F}_{(0,2)}) + \frac{\alpha'}{8} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}^+ \wedge \mathcal{R}^+)$$

G_2 flows on T^3 fibrations over $K3$

Consider a Fu–Yau like background $T^3 \hookrightarrow X \rightarrow K3$

- hyper-Kähler structure of $K3$ $\frac{1}{2}j_I \wedge j_J = \delta_{IJ} \text{vol}_{K3}$
- one-forms $\Theta^I = d\theta^I + A^I$ associated to $U(1)^3$ isometries
- G_2 structure

$$d\Theta^I = F^I$$

$$\phi = a^{1/2} e^{2\varphi} j_I \wedge \Theta^I - \frac{1}{6} a^{3/2} \epsilon_{IJK} \Theta^I \wedge \Theta^J \wedge \Theta^K$$

$$\star\phi = \frac{1}{2} a e^{2\varphi} \epsilon_{IJK} j^I \wedge \Theta^J \wedge \Theta^K - e^{4\varphi} \text{vol}_{K3}$$

- supersymmetry conditions require $F^I = f^I + \frac{1}{2} \lambda^{IJ} j_J$
(f^I anti-self dual, λ^{IJ} symmetric)

G_2 flow

$$\begin{aligned} \partial_\lambda e^{2\varphi} &= \frac{1}{2} \Delta_{K3} e^{2\varphi} + \frac{1}{4} a \lambda^{IJ} \lambda_{IJ} - \mu[\varphi] \\ \partial_\lambda A^I &= \frac{1}{2} \star_{K3} (d\lambda^{IJ} \wedge j_J) \end{aligned}$$

with $\mu[\varphi] \text{vol}_{K3} = \frac{1}{2} a f^I \wedge f_I + \frac{a'}{8} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}^+ \wedge \mathcal{R}^+)$

G_2 flow and anti-de Sitter compactifications

Supersymmetric $D = 3$ AdS compactifications allowed with external H -flux given by $\frac{2}{\ell} \text{vol}_{\text{AdS}_3}$ (ℓ : AdS radius)

Supersymmetric geometries

- supersymmetry conditions for AdS_3 backgrounds

$$d(e^{-2\varphi} \star \phi) = 0$$

$$\phi \wedge d\phi = -\frac{12}{7\ell} \phi \wedge \star \phi$$

with H -flux is defined as $H = -e^{2\varphi} \star d(e^{-2\varphi} \phi) - \frac{2}{\ell} \phi$
(Minkowski limit $\ell \rightarrow \infty$)

- G_2 flow takes the same form

$$\partial_\lambda (e^{-2\varphi} \star \phi) = -\frac{1}{2} \left(dH + \frac{\alpha'}{4} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R}_+ \wedge \mathcal{R}_+) \right)$$

G_2 flow and anti-de Sitter compactifications

Example: flow on $K3 \times S^3$

$$g_X = e^{2\varphi} g_{K3} + \frac{1}{4} \ell^2 g_{S^3}$$

- hyper-Kähler structure of $K3$ $\frac{1}{2} j_I \wedge j_J = \delta_{IJ} \text{vol}_{K3}$
- Maurer–Cartan triplet $d\vartheta^I + \frac{1}{2} \varepsilon_{IJK} \vartheta^J \wedge \vartheta^K = 0$
- G_2 structure

$$\phi = \frac{1}{2} e^{2\varphi} \ell j_I \wedge \vartheta^I - \frac{1}{8} \ell^3 \text{vol}_{S^3}$$

$$\star \phi = \frac{1}{8} e^{2\varphi} \ell^2 \varepsilon_{IJK} j^I \wedge \vartheta^J \wedge \vartheta^K - e^{4\varphi} \text{vol}_{K3}$$

As $dH = \Delta_{K3} e^{2\varphi} \text{vol}_{K3}$, the G_2 flow becomes a flow for the warp factor

$$\partial_\lambda e^{2\varphi} = \frac{1}{2} \Delta_{K3} e^{2\varphi} - \mu[\varphi]$$

with $\mu[\varphi] \text{vol}_{K3} = \frac{\alpha'}{8} (\text{tr } \mathcal{F} \wedge \mathcal{F} - \text{tr } \mathcal{R} \wedge \mathcal{R})$