

# Recent Results in Sparse Domination

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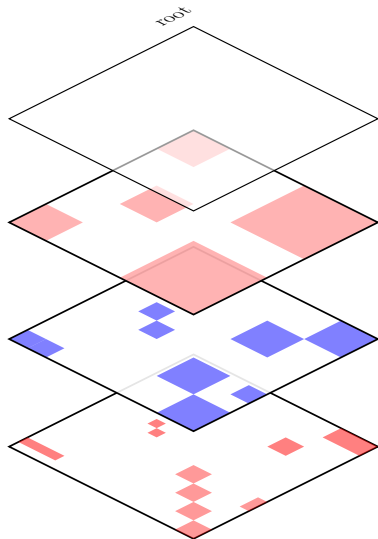
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# A Sparse Operator

A collection of cubes  $\mathcal{S}$  is sparse if for each  $S \in \mathcal{S}$ , there is a an  $E_S \subset S$ , so that  $|E_S| > \frac{1}{100}|S|$  and  $\{E_S : S \in \mathcal{S}\}$  are disjoint.

$$\Lambda_{r,s}(f,g) = \sum_{S \in \mathcal{S}} |S| \langle f \rangle_{S,r} \langle g \rangle_{S,s}.$$

$$\langle f \rangle_{S,r} = \left[ \frac{1}{|S|} \int_S |f| \, dx \right]^{1/r}.$$



## Definition

For sublinear operator  $T$  and  $1 \leq r, s < \infty$ ,

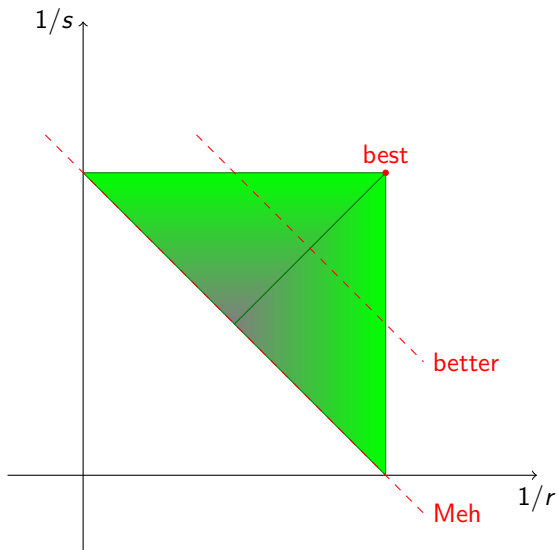
$$\|T : (r, s)\|$$

is the smallest constant  $C$  so that for all bounded compactly supported functions  $f, g$

$$\underbrace{|\langle Tf, g \rangle|}_{\text{messy, complicated}} \leq C \sup_{\Lambda} \underbrace{\Lambda_{r,s}(f, g)}_{\text{positive, localized}}$$

- 1 Definition only requires a bilinear form, not a linear operator.
- 2 The supremum over sparse forms is essentially obtained.
- 3 A  $(1, r)$  bound implies weak-type, for any  $r \geq 1$ .
- 4 A  $(r, s)$  bound implies weighted inequalities:  $r < p < s'$ ,

$$\|T : L^p(w) \mapsto L^p(w)\| \lesssim C(\|w\|_{A(p/r)}, \|w\|_{RH((s'/p)')})$$



# The Sparse $T1$ Theorem

## Theorem (L.-Mena)

Let  $T$  be a Calderón-Zygmund operator with kernel satisfying

$$|\nabla^\alpha K(x, y)| \leq |x - y|^{-1-\alpha}, \quad \alpha = 0, 1.$$

Assume for all cubes  $Q$  we have  $\int_Q |T\mathbf{1}_Q| + |T^*\mathbf{1}_Q| dx \lesssim |Q|$ . Then

$$\|T : (1, 1)\| < \infty$$

- Many people contributed to this: Lerner, Conde-Rey, Hytönen, Volberg, Petermichl, Frey, Bernicot, di Plinio, Ou, Culiuc,.....
- This implies virtually all the standard mapping properties of  $T$ , with sharp constants ( $A_2$  Theorem)
- Missing in this formulation:  $H^1/BMO$  type estimates.

## Why is this (1,1) sparse bound true?

If  $f$  is supported on cube  $Q$ , then  $Tf$  is typically no more than  $\langle f \rangle_Q$ .

$$\|T : L^1_{loc} \mapsto L^\infty\| < \infty$$

# Bilinear Hilbert Transform

$$BHT(f_1, f_2, f_3) = \int \int f_1(x-y) f_2(x-2y) f_3(x) \frac{dy}{y} dx$$

## Theorem (Culiuc, di Plinio, Ou)

*For admissible  $(p_1, p_2, p_3)$*

$$\|BHT : (p_1, p_2, p_3)\| < \infty.$$

*For instance  $(2, 2, 1)$  is at the boundary of admissible.*





Sparse bounds have been proved for a wide variety of operators. Virtually the entire  $A_p$  literature has been completely rewritten in the last three years.

Along the way, bounds have been extended, simplified, and quantified.

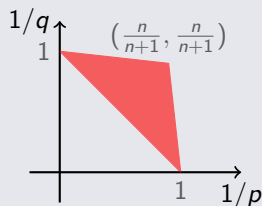
# Littman/Strichartz Inequality

$$A_t f(x) = \int_{|y|=t} f(x-y) d\sigma(t)$$

Theorem (Littman (1971), Strichartz (1971))

*For  $(1/p, 1/q)$  are in the  $L^p$  improving triangle below,*

$$\langle A_1 f, g \rangle \lesssim \|f\|_p \|g\|_q$$



# Small Improvement, Inside the Triangle

$$\langle (A_1 - A_1 \circ \tau_y)f, g \rangle \lesssim |y|^{\delta_{p,q}} \|f\|_p \|g\|_q$$

Combine this with the Calderón-Zygmund-Christ method to deduce sparse bounds.

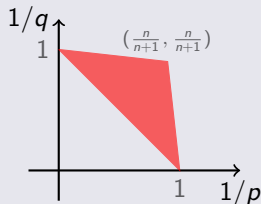
# Lacunary Spherical Maximal Function

$$M_{\text{lac}} f(x) = \sup_{j \in \mathbb{Z}} \int_{\mathbb{S}^{n-1}} f(x - 2^j y) \sigma(dy)$$

## Theorem (L.)

For  $(1/p, 1/q)$  are in the  $L^p$  improving triangle below,

$$\|M_{\text{lac}} : (p, q)\| < \infty$$



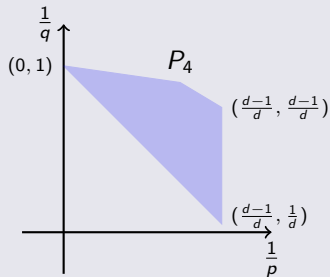
# Stein Maximal Function

$$\tilde{M}f = \sup_{1 \leq t \leq 2} A_t f$$

## Theorem (Schlag and Sogge)

For  $(1/p, 1/q)$  are in the  $L^p$  improving triangle below,

$$\|\tilde{M} : (p, q)\| < \infty$$



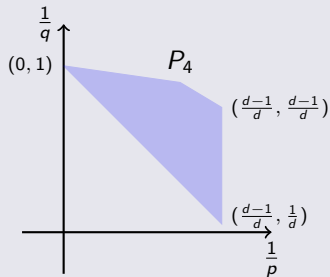
# Stein Maximal Function

$$M_{\text{full}} f = \sup_{t>0} A_t f$$

## Theorem

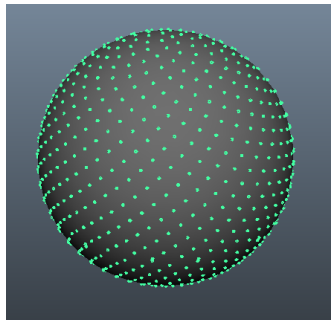
For  $(1/p, 1/q)$  are in the  $L^p$  improving triangle below,

$$\|M_{\text{full}} : (p, q)\| < \infty$$



# Discrete Spherical Averages

$$A_\lambda f(x) = \frac{1}{|\mathbb{Z}^d \cap \mathbb{S}_\lambda|} \sum_{n: |n|^2 = \lambda^2} f(x - n)$$
$$|\mathbb{Z}^d \cap \mathbb{S}_\lambda| \simeq \lambda^{d-2}, \quad d \geq 5.$$



- ① Started with Bourgain, and averages along square integers:  
$$\frac{1}{N} \sum_{n=1}^N f(x - n^2)$$
- ② Discrete implies Continuous, but the two cases are dramatically different.
- ③ Entails Hardy-Littlewood method, and sometimes some serious number theory.
- ④ Many new difficulties, and fine distinctions with the continuous case.
- ⑤ Deep recent developments, including work of Bourgain, Mirek, Krause and Stein.



# Magyar Stein Wainger Theorem (2002)

## Theorem

For dimensions  $d \geq 5$ ,

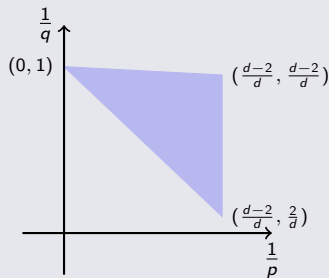
$$\|\sup_{\lambda} A_{\lambda} f\|_p \lesssim \|f\|_p, \quad \frac{d}{d-2} < p < \infty$$

- 1 Compare to  $\frac{d}{d-1}$  in the continuous case.
- 2 The case of 2, 3, 4 dimensions are excluded here, due to irregularities on the number of lattice points in these dimensions.

## Theorem (Kesler, 2018)

For  $(1/p, 1/q)$  are in the triangle below,

$$\|\sup_{\lambda} A_{\lambda} f : (p, q)\| < \infty$$



- ① The sparse bound implies the  $\ell^p$ -improving inequality, which is a result w/o precedent in the subject.
- ②  $\ell^p$ -improving does NOT imply the sparse bound. The 'Holder continuity' gain fails in the discrete setting, and there is no replacement for it.
- ③ Proof heavily exploits the representation of the multiplier from Magyar, Stein, Wainger.
- ④ The sparse bound implies a very rich set of weighted and vector valued consequences, which are entirely new in this subject.

# $\ell^p$ -improving in the fixed radius case

## Theorem (Kesler-L (2018))

- 1  $\|A_\lambda f\|_{\ell^{p'}} \lesssim \lambda^{d(1-2/p)} \|f\|_p, \quad \frac{d}{d-2} < p < 2$
- 2  $\|A_\lambda f\|_{\ell^{p'}} \lesssim C_{\omega(\lambda^2)} \lambda^{d(1-2/p)} \|f\|_p, \quad \frac{d+1}{d-1} < p \leq \frac{d}{d-2} \text{ where } \omega(\lambda^2) = \text{number of distinct prime factors of } \lambda^2.$
- 3 If for all  $\epsilon > 0$ , all  $\lambda$ ,  $\|A_\lambda f\|_{\ell^{p'}} \lesssim \lambda^{\epsilon+d(1-2/p)} \|f\|_p$ , then  $p \geq \frac{d+1}{d-1}$ .

- ① The sufficient proof uses
  - ① Magyar's very fine analysis of the 'minor arcs.'
  - ② Andre Weil's estimates for Kloosterman sums.
  - ③ A result of Bourgain on average values of Ramanujan sums.
- ② The necessary direction uses a subtle 'self-improving' aspect of the sufficient direction.
- ③ We do not know what the counterexample looks like!  
We just know that it exists.
- ④ These results hold in dimension  $d = 4$ , if  $\lambda^2$  is odd.

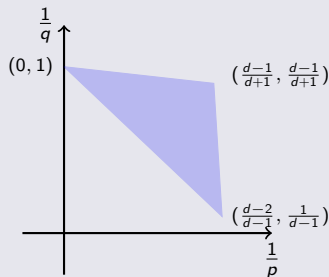
- 1 The theory of the discrete lacunary spherical maximal operator is rather different than the continuous case.
- 2 Due to an example of Zienkiewicz, there are lacunary radii  $\lambda_k$  for which  $\sup_k A_{\lambda_k} f$  is *unbounded* for  $1 < p < \frac{d}{d-1}$ .
- 3 On the other hand, we should expect results for  $A_{\text{lac}} f = \sup_k A_{p^{k/2}} f$ , for prime  $p$ , since  $\omega(p^k) = 1$ , for all primes  $p$  and integers  $k$ .
- 4 More evidence that the  $\ell^p$ -improving and sparse bounds decouple in the discrete setting.

# Sparse bounds of the discrete lacunary spherical maximal function

## Theorem (Kesler-L, 2018)

*For  $(1/p, 1/q)$  are in the triangle below,*

$$\|\sup_{\lambda} A_{\text{lac}} f : (p, q)\| < \infty$$



$$A_\lambda f = C_\lambda f + R_\lambda f,$$

$$C_\lambda f = \sum_{1 \leq \lambda \leq q} \sum_{a=1}^q e(-\lambda^2 a/q) C_\lambda^{a/q} f,$$

$$c_\lambda^{a/q}(\xi) := \sum_{\ell \in \mathbb{Z}^d} G(a/q, \ell) \Phi_q(\xi - \ell/q) \widehat{d\sigma_\lambda}(\xi - \ell/q)$$

$$G(a/q, \ell) = q^{-d} \sum_{n \in \mathbb{Z}_q^d} e(|n|^2 a/q + n \cdot \ell/q).$$

$$K(\lambda, \ell, q) = \sum_{a=1}^q e(-\lambda^2 a/q) G(a/q, \ell)$$

## Theorem (Magyar)

$$\|R_\lambda\|_{2 \rightarrow 2} \lesssim \lambda^{-\frac{d-3}{2}}$$



## Theorem (Weil)

$$|K(\lambda, \ell, q)| \lesssim q^{-\frac{d-1}{2}} \sqrt{(\lambda^2, q_{\text{odd}}) q_{\text{even}}}$$

$$c_q(n) = \sum_{\substack{a=1 \\ (a,q)=1}}^q e^{2\pi i n a/q}$$

## Theorem (Bourgain)

For  $n \neq 0$

$$\sum_{q=1}^Q |c_q(n)| \lesssim Q^{1+\epsilon}$$

# Alan McIntosh

